

# Inferring genetic diversity from next-generation sequencing data: Computational methods and biomedical applications

**Niko Beerenwinkel**

**Karin Metzner**

**Volker Roth**

# About us



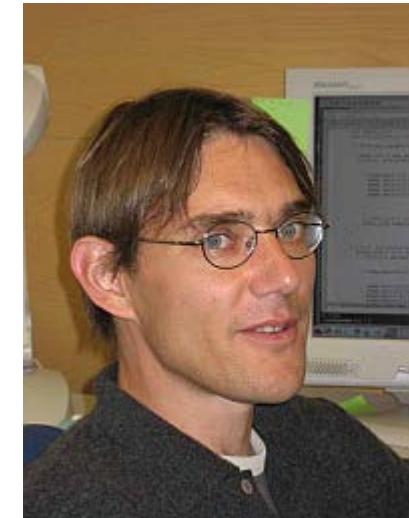
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ETH Zurich

Computational Biology



**Karin Metzner**  
University Hospital Zurich

Virology



**Volker Roth**  
University of Basel

Computer Science

# Goals

- learn about important **biomedical applications** of genetic diversity estimation
  - cancer: intra-tumor diversity
  - infectious diseases: intra-patient viral diversity
- understand the tasks of **SNV calling** and **haplotype reconstruction**
- appreciate the **opportunities and limitations of different NGS technologies** for diversity estimation
- survey existing approaches and **software**
- understand the **basic computational and statistical principles** underlying haplotype inference

# Schedule

**9:00 Introduction, Motivation, Case Studies,  
NGS Technology**



10:30 *Coffee break*

**11:00 Local Diversity Estimation**



12:30 *Lunch*

**13:30 Global Diversity Estimation**



15:00 *Coffee break*

**15:30 Comparative Assessment of Methods,  
Demonstration of Case Studies**



17:00 *End of workshop*

## Main references

- Beerenwinkel N and Zagordi O (2011). Ultra-deep sequencing for the analysis of viral populations. *Current Opinion in Virology* 1:413–418.  
doi: [10.1016/j.coviro.2011.07.008](https://doi.org/10.1016/j.coviro.2011.07.008)
- Beerenwinkel N, Günthard HF, Roth V and Metzner KJ (2012). Challenges and opportunities in estimating viral genetic diversity from next-generation sequencing data. *Frontiers in Microbiology* 3:329.  
doi: [10.3389/fmicb.2012.00329](https://doi.org/10.3389/fmicb.2012.00329)

# ECCB 2012 Tutorial 4

## Introduction: Motivation, Case Studies, NGS Technology

Karin J. Metzner

Division of Infectious Diseases and Hospital Epidemiology



University of  
Zurich<sup>UZH</sup>



University Hospital  
Zurich

# Topics

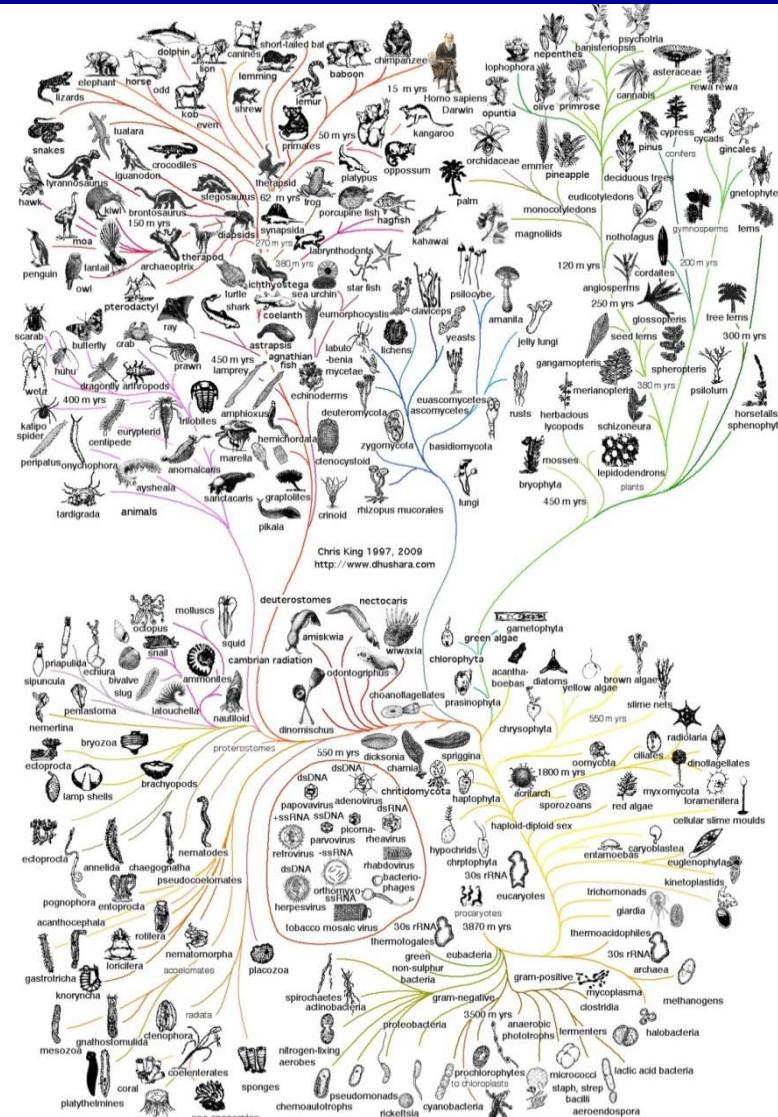
- genetic diversity
  - definitions and motivation
- case studies
  - cancer
  - viruses
- NGS technologies
  - techniques (mainly 454 and Illumina)
  - error pattern and quality scores

# Topics

- genetic diversity
  - definitions and motivation
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- NGS technologies
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# The global view on diversity

## The tree of life

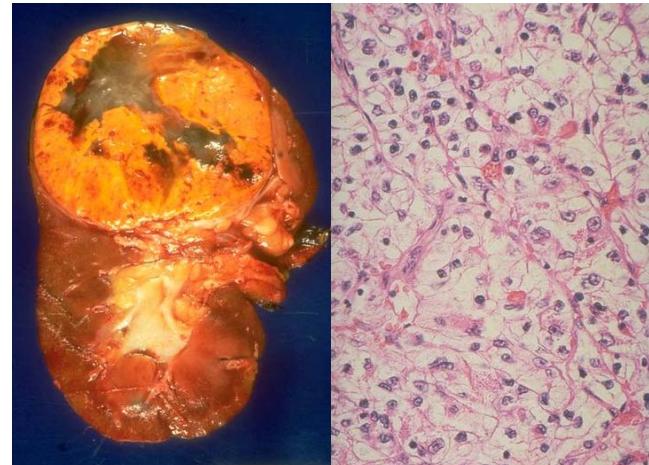


# Taxonomy

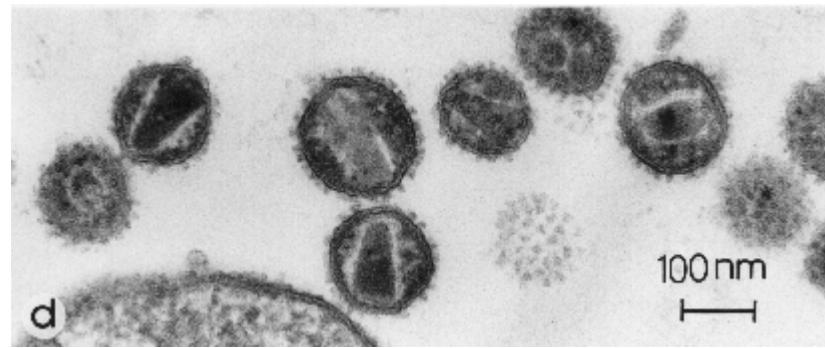
domain	eukaryote	unassigned
kingdom	animal	unassigned
phylum	chordate	unassigned
class	mammalia	unassigned
order	primate	unassigned
family	hominidae	retroviridae
genus	homo	lentivirus
species	homo sapiens	HIV-1 (example 2: in one host)
individuum	example 1	

# Case studies/examples

- Genetic diversity in an individuum:  
intra-tumour diversity
- Genetic diversity of a species in a defined environment:  
intra-patient diversity  
of HIV-1



Copyright 2004-2012 University of Washington, UW Medicine Pathology

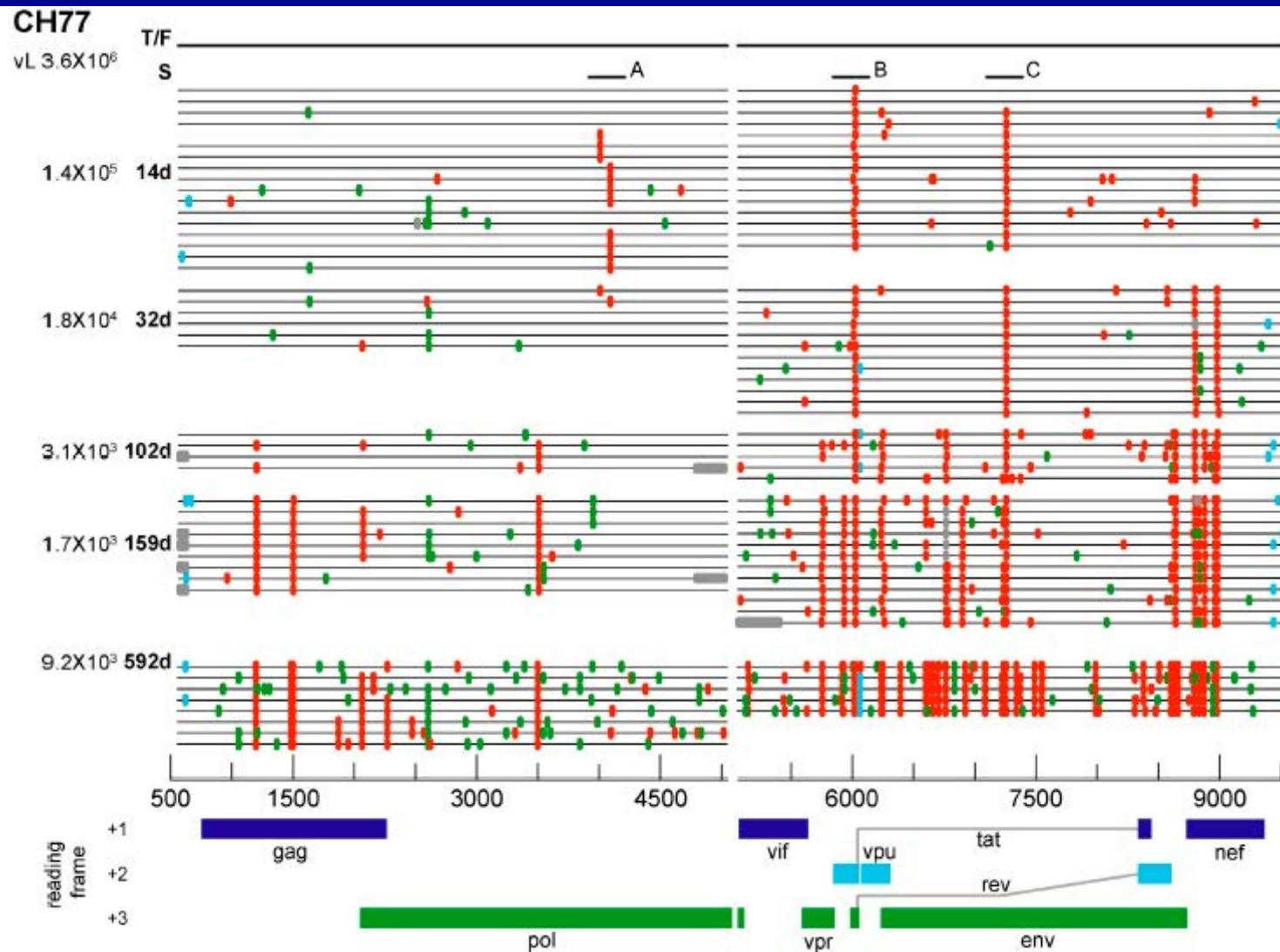


HR Gelderblom et al., Virology 1987

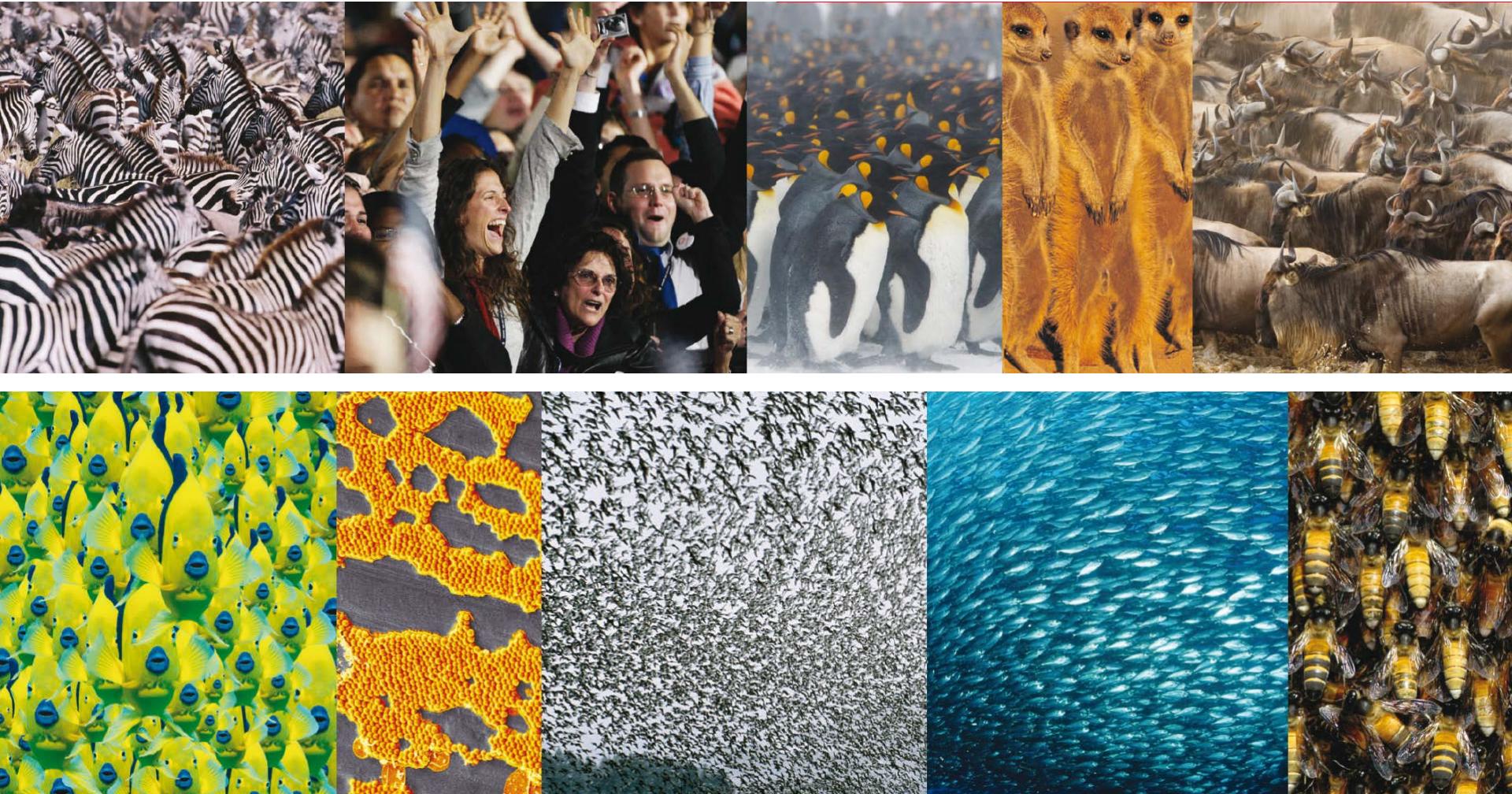
# Genetic diversity \_ divergence

- Genetic diversity:  
genetic characteristics at a certain time point
- Genetic divergence:  
changes in genetic diversity over time

# HIV-1 population dynamics within a host

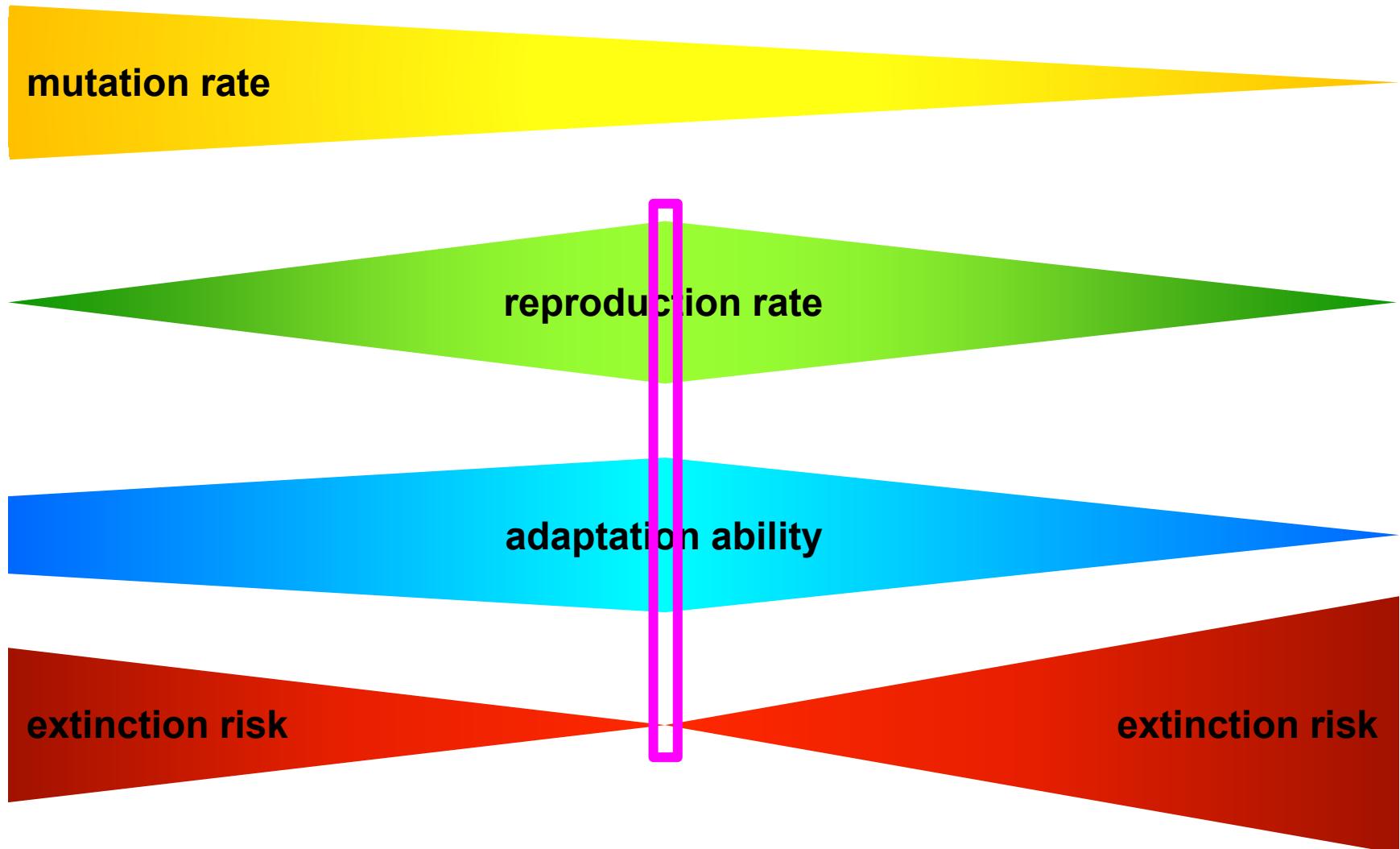


# The needs of the many

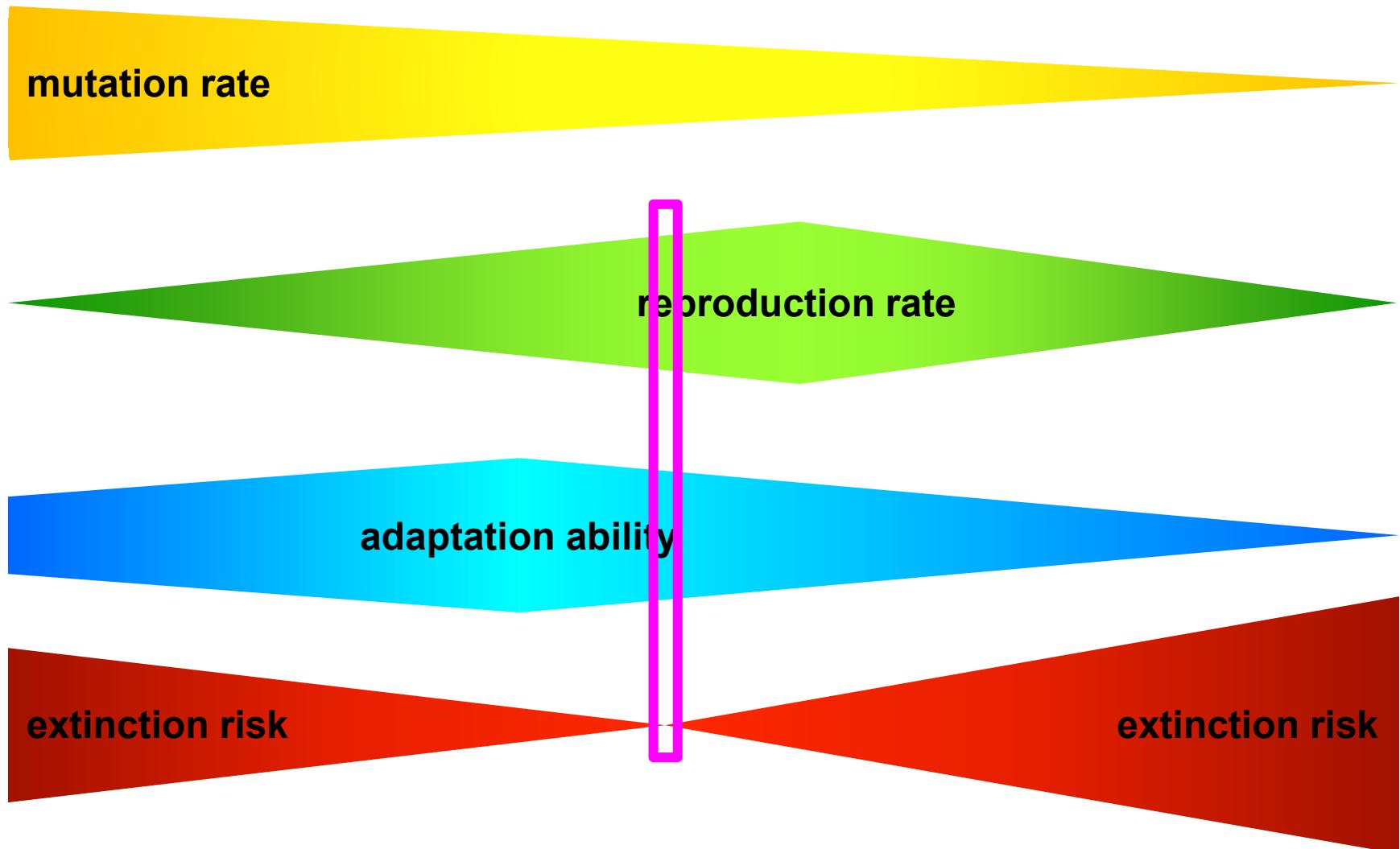


M Kohn, Nature 2008

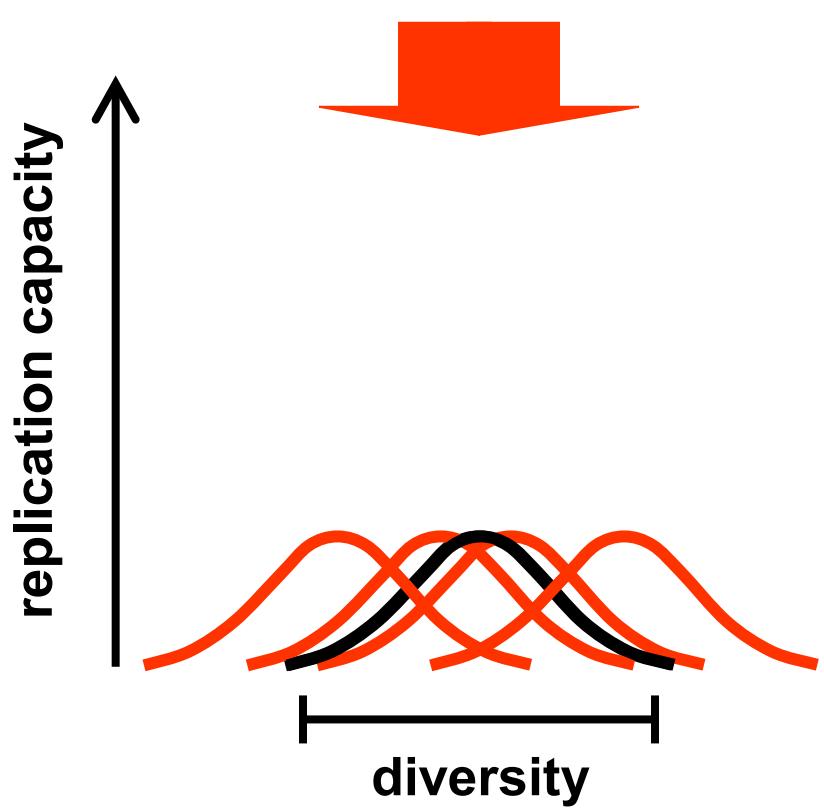
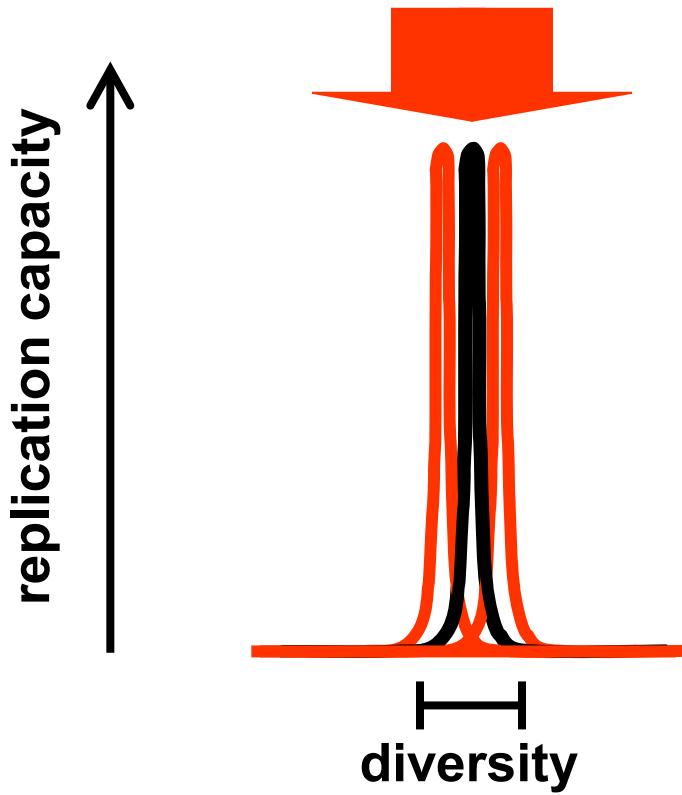
# Chances and risks of evolution



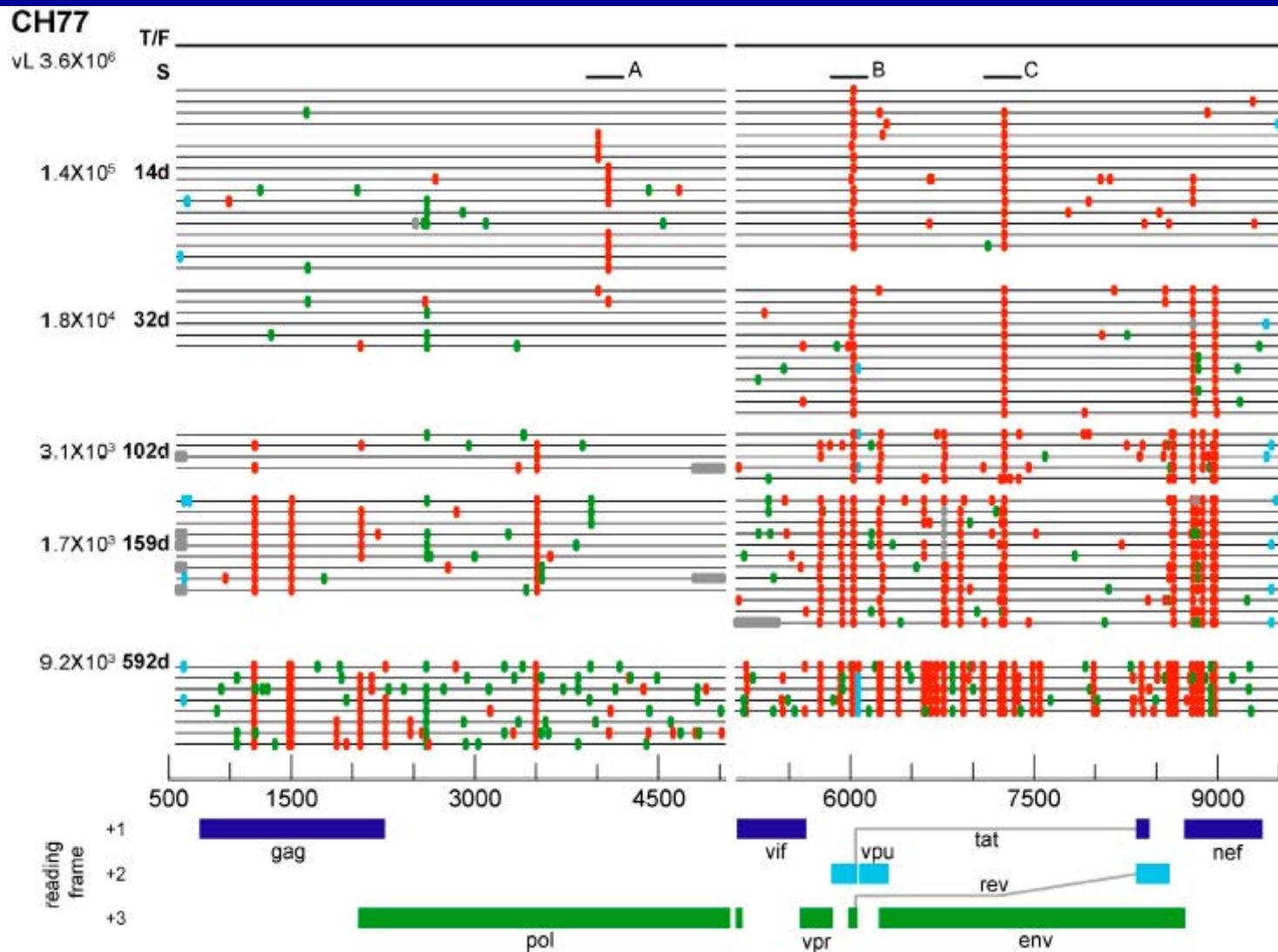
# Chances and risks of evolution



# Survival of populations



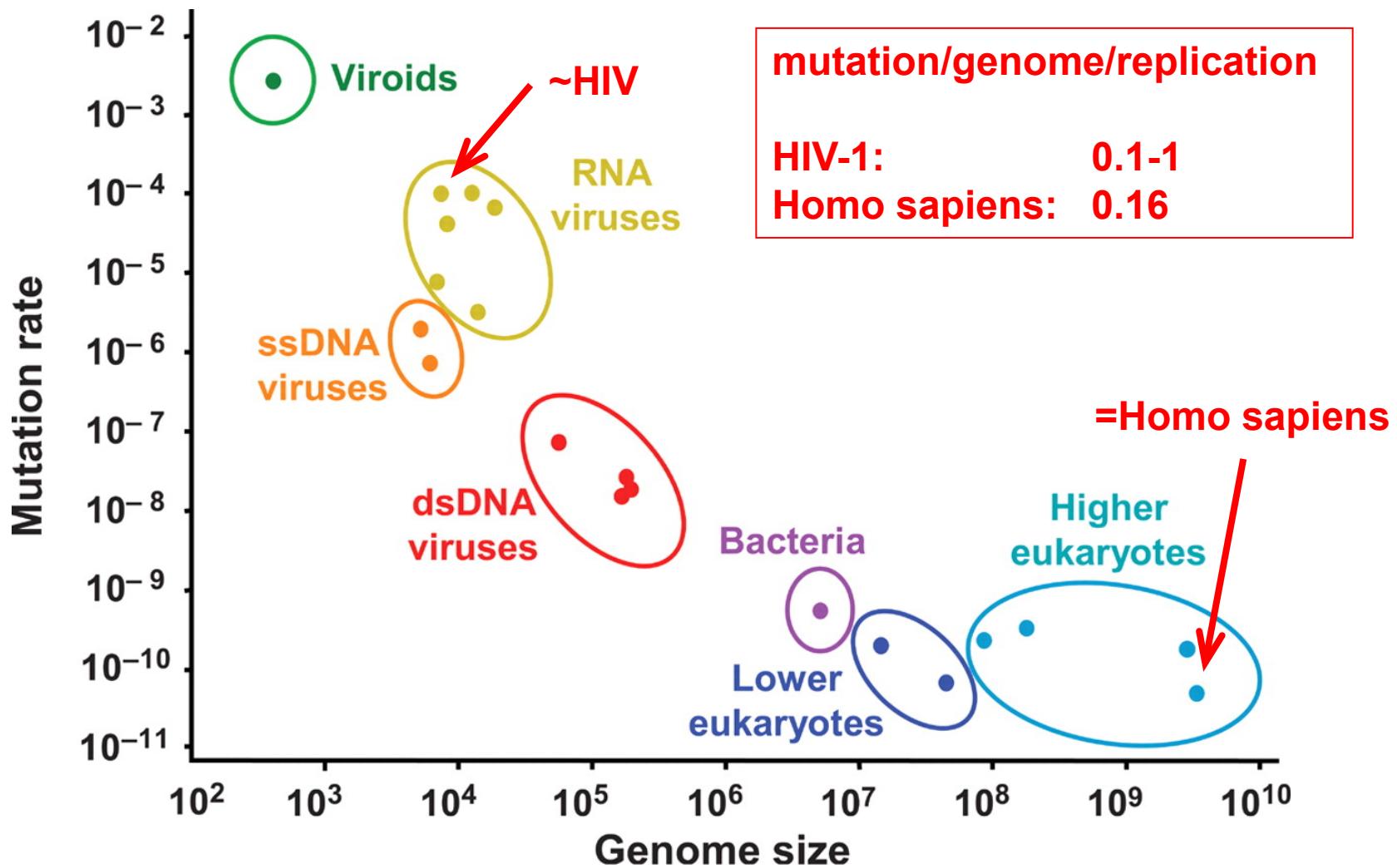
# HIV-1 population dynamics within a host mainly driven by immune responses



# Viral quasispecies

- quasispecies model of molecular evolution  
(M Eigen and P Schuster, 1979)
- selection pressure on the whole population rather than on single individuals
- viral quasispecies = viral population = mutant cloud = swarm
  - all virus variants within one host interconnected by mutations
- virus variant = viral haplotype

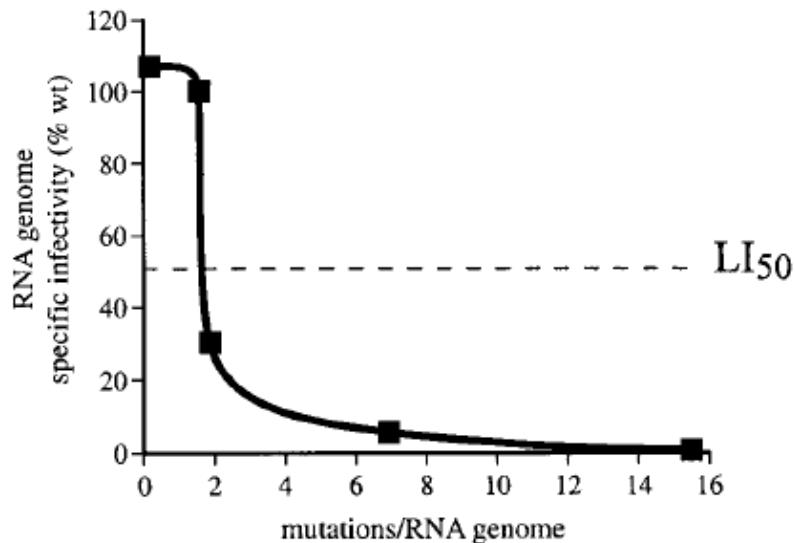
# Mutation rate correlates to genome size



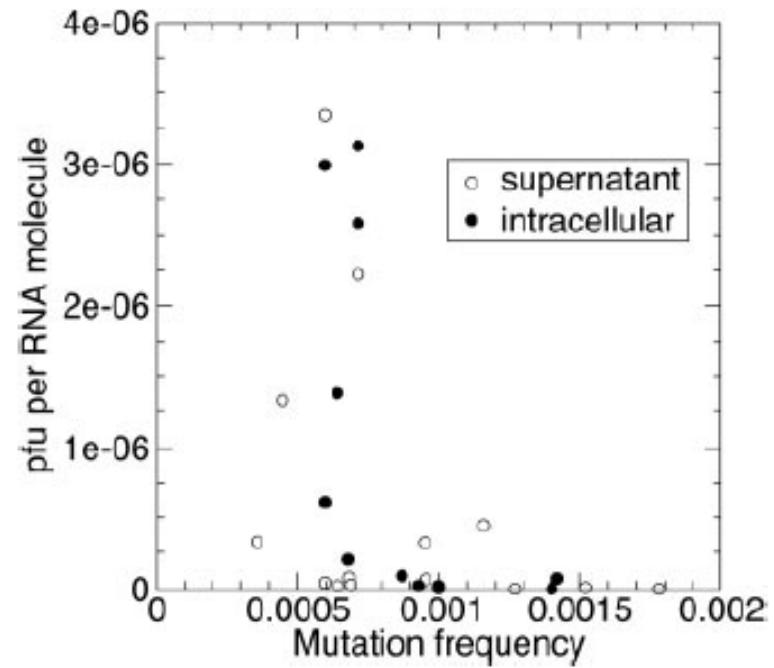
adapted from S Gago et al., Science 2009

# Error threshold

poliovirus



cytomegalovirus



S Crotty et al., PNAS 2001; A Grande-Pérez et al., PNAS 2005

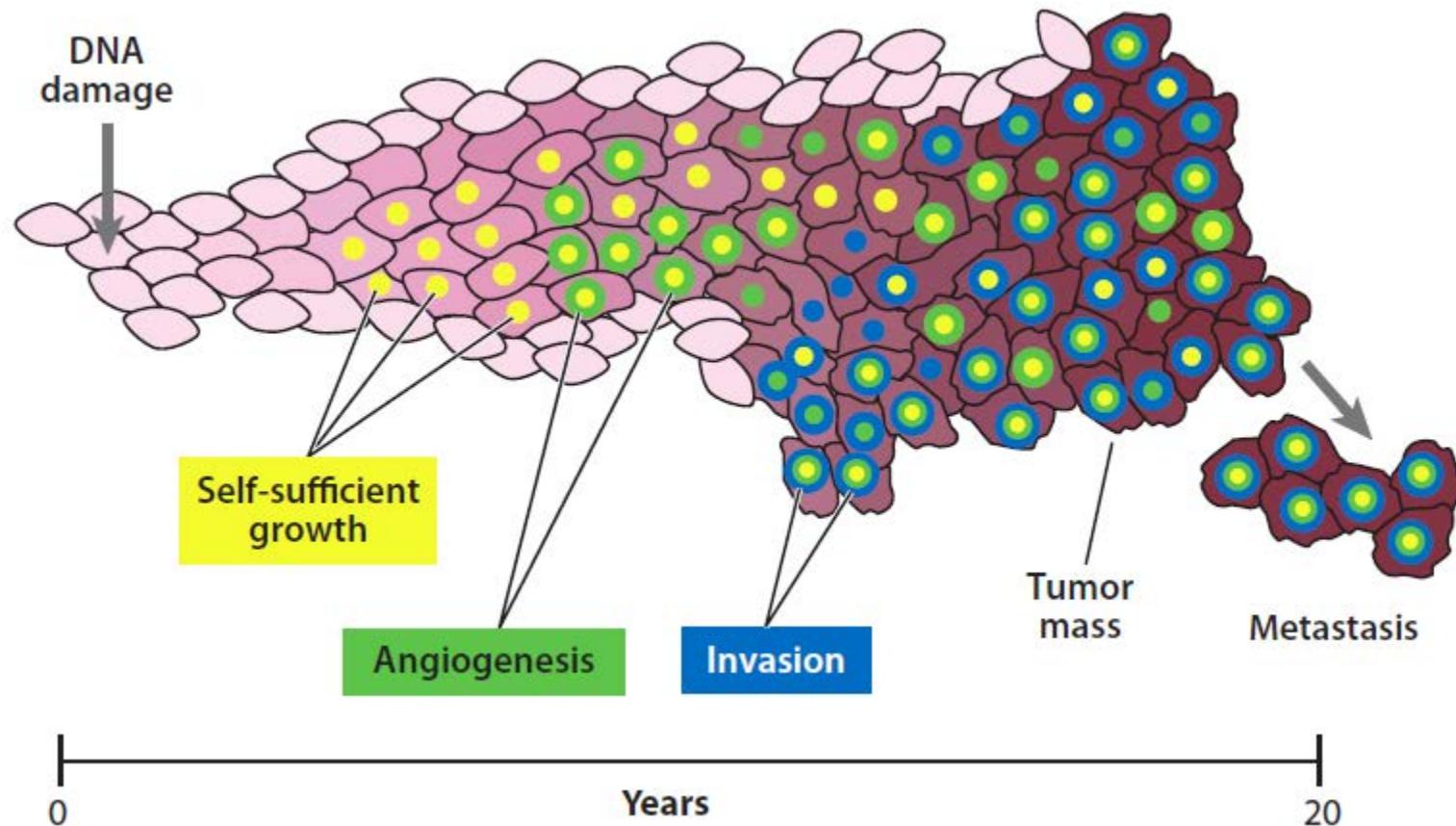
# Topics

- genetic diversity
  - definitions and motivation
- case studies
  - cancer
  - viruses
- NGS technologies
  - techniques (mainly 454 and Illumina)
  - error pattern and quality scores

# Case studies/examples

- Genetic diversity in tumors:  
Detecting low-frequency single-nucleotide variants (SNVs)
- Genetic diversity in virus populations:  
Local and global haplotype reconstruction

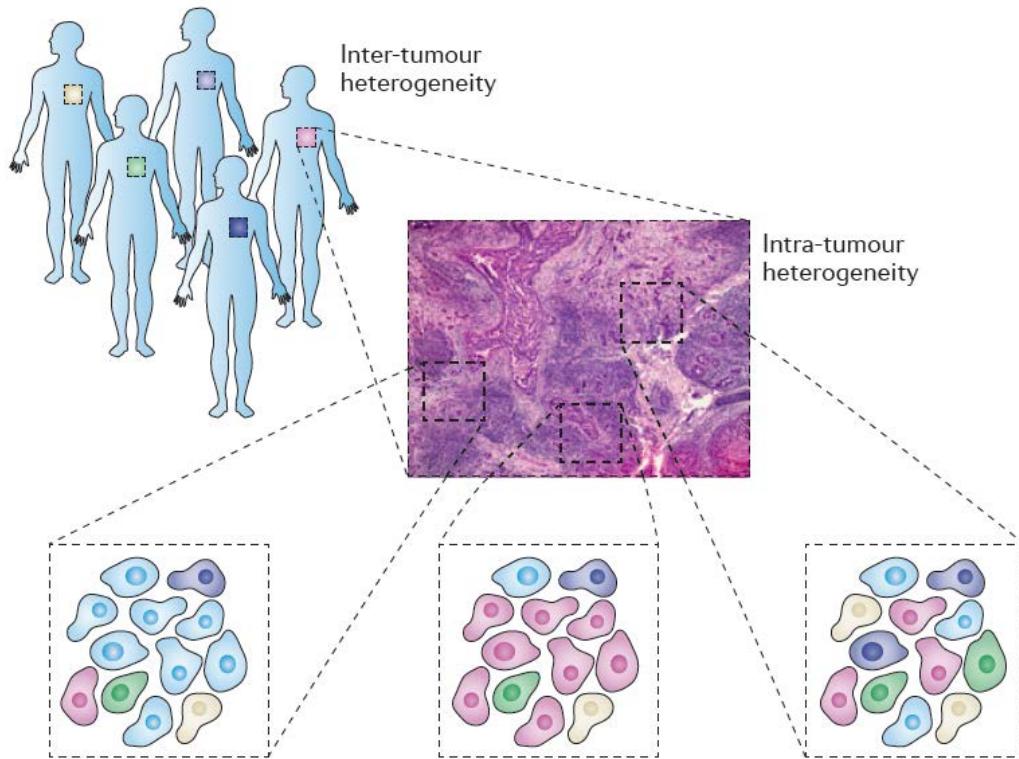
# Cancer is a somatic evolutionary process



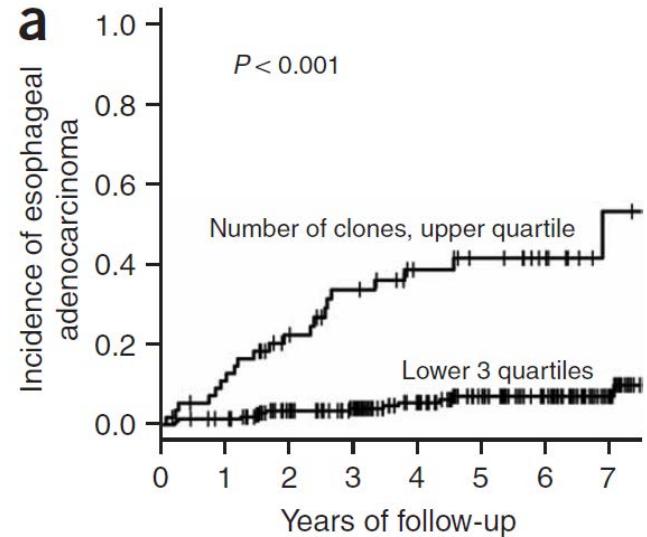
JJ Salk et al., Annu Rev Pathol 2010

# Intra-tumor diversity

## Diagnostics



## Prognostics



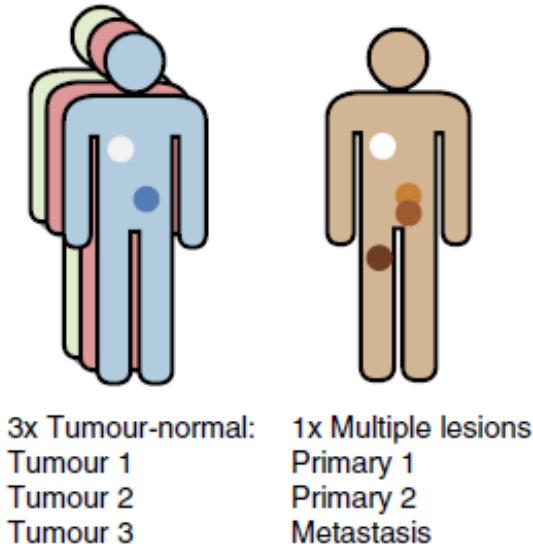
→ Personalized medicine

# Intra-patient genetic diversity of tumors

- Evolutionary dynamics
  - mutation rate can be elevated (genetic instability)
  - high turn-over
  - large population size
- Disease progression
- Drug resistance

# Cancer: Case study

- renal cell cancer
  - Three matched tumour-normal samples
  - one case with biopsies from multiple lesions
- Illumina genome sequencing
- detection of low-frequency single-nucleotide variants (SNVs)



# Topics

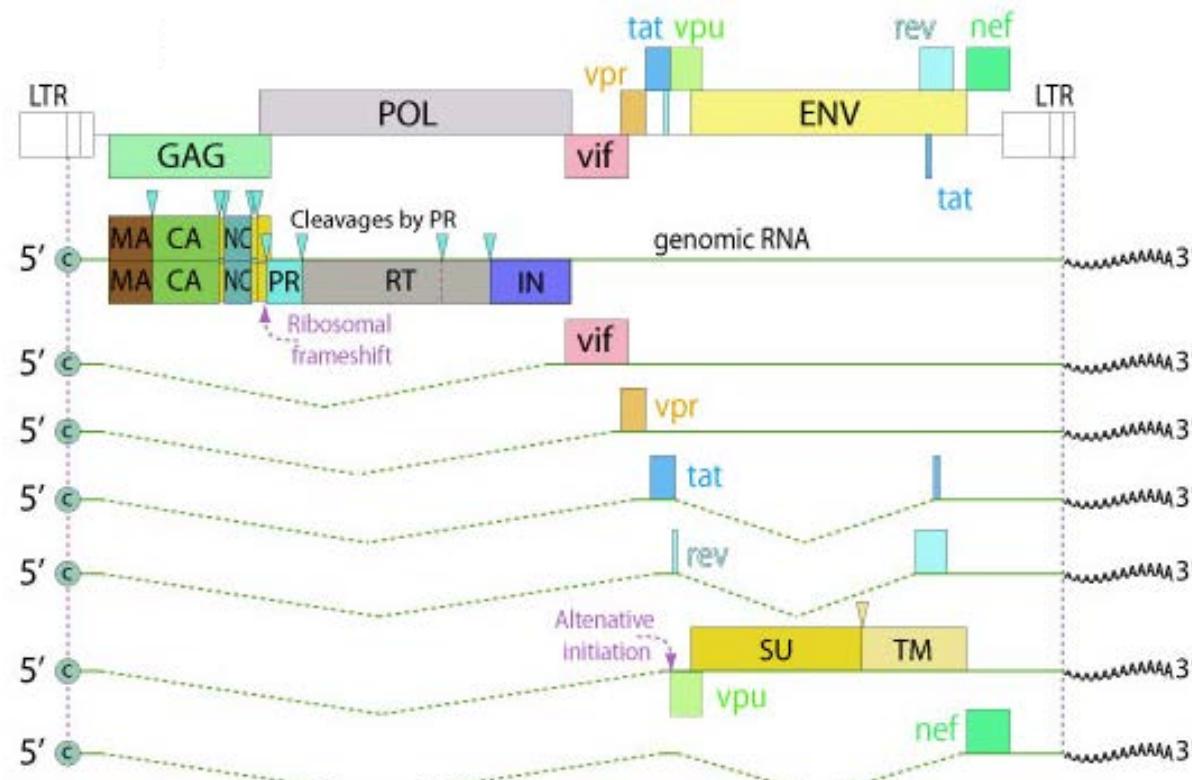
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# Advantages of viruses to study evolution

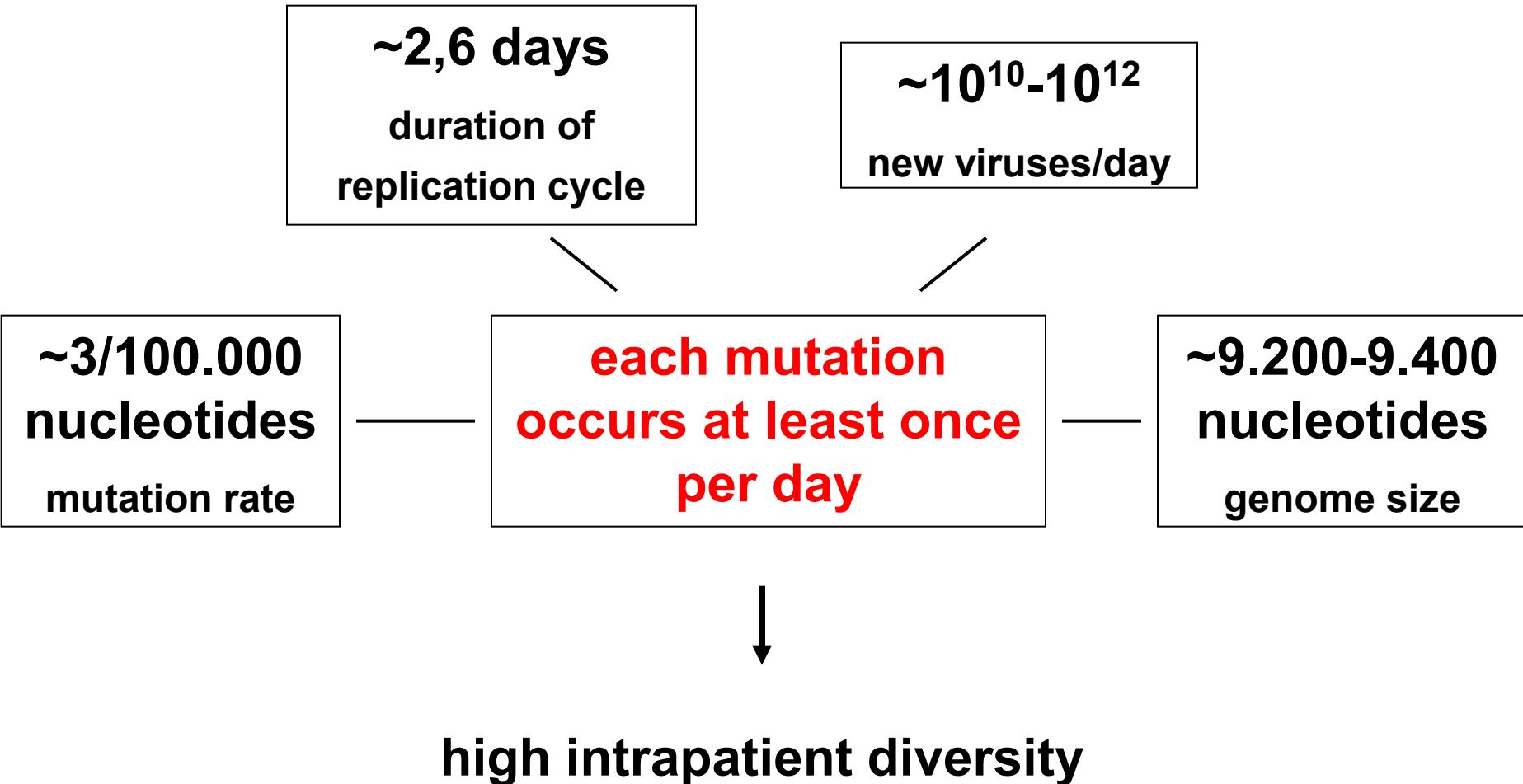
- low genome size
- rapid replication cycles
- broad knowledge available in viral proteins, their functions, pathogenesis, virus-host interactions, etc.
- established cell culture and animal models available
- huge amount of sequence data

# HIV-1 genome

- single-stranded, plus-sense RNA genome
- organized in a highly sophisticated way



# Evolution and diversity of HIV



# The natural variability of HIV-1 is extensive

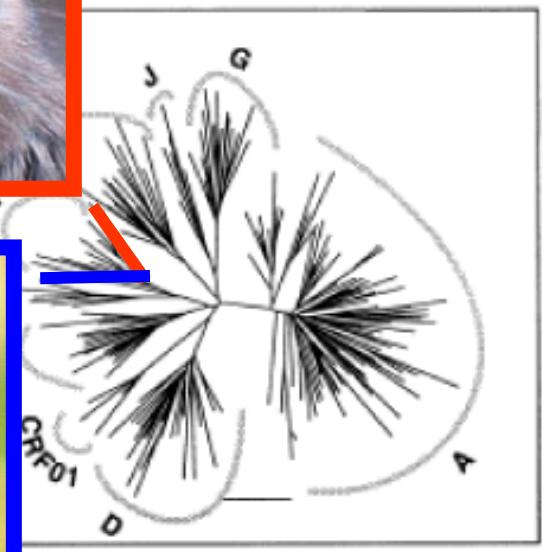
Influenza Sequences  
Hemagglutinin (H3), 1997  
 $n = 96$



HIV-1 Single  
Subtype B, n  
6 yrs post ser



Multiple Individuals  
Mongo, 1997  
 $n = 3$

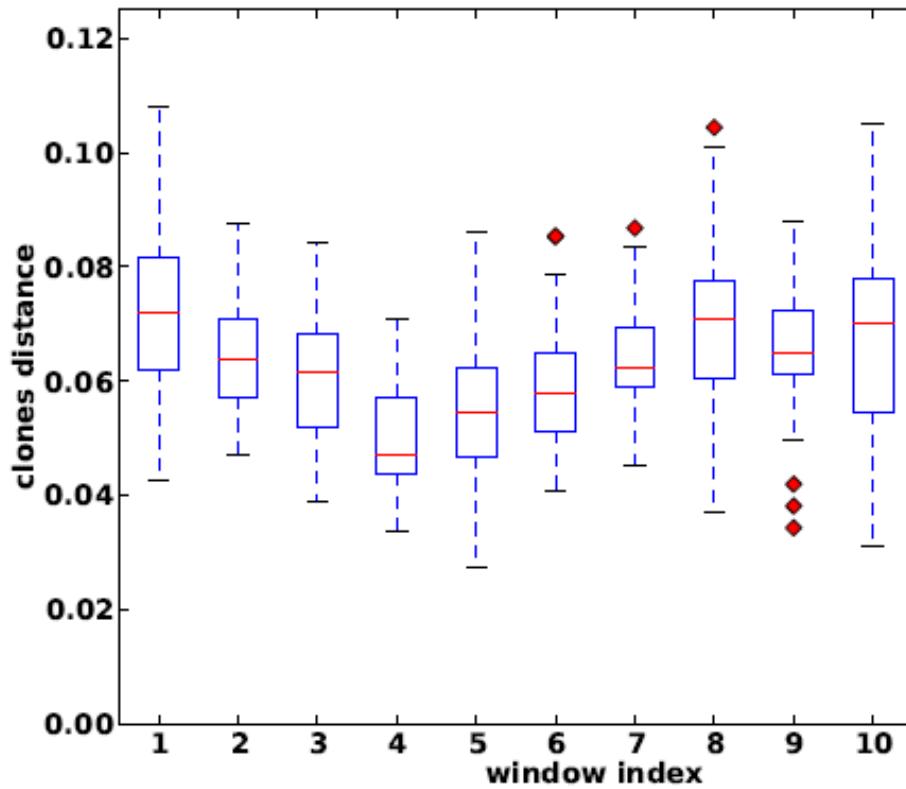


B Korber et al., BMB 2001

# HIV: Case study

- mixture of 10 well characterized patients' HIV-1 clones ('haplotypes')
  - O Zagordi et al., Nucl Acids Res 2010
- the data set is publicly available
  - [https://wiki-  
bsse.ethz.ch/display/ShoRAH/Data](https://wiki-bsse.ethz.ch/display/ShoRAH/Data)
- 454 sequencing of HIV-1 pol (~1'500 bp)
- Local and global haplotype reconstruction

# Local diversity of the original haplotypes

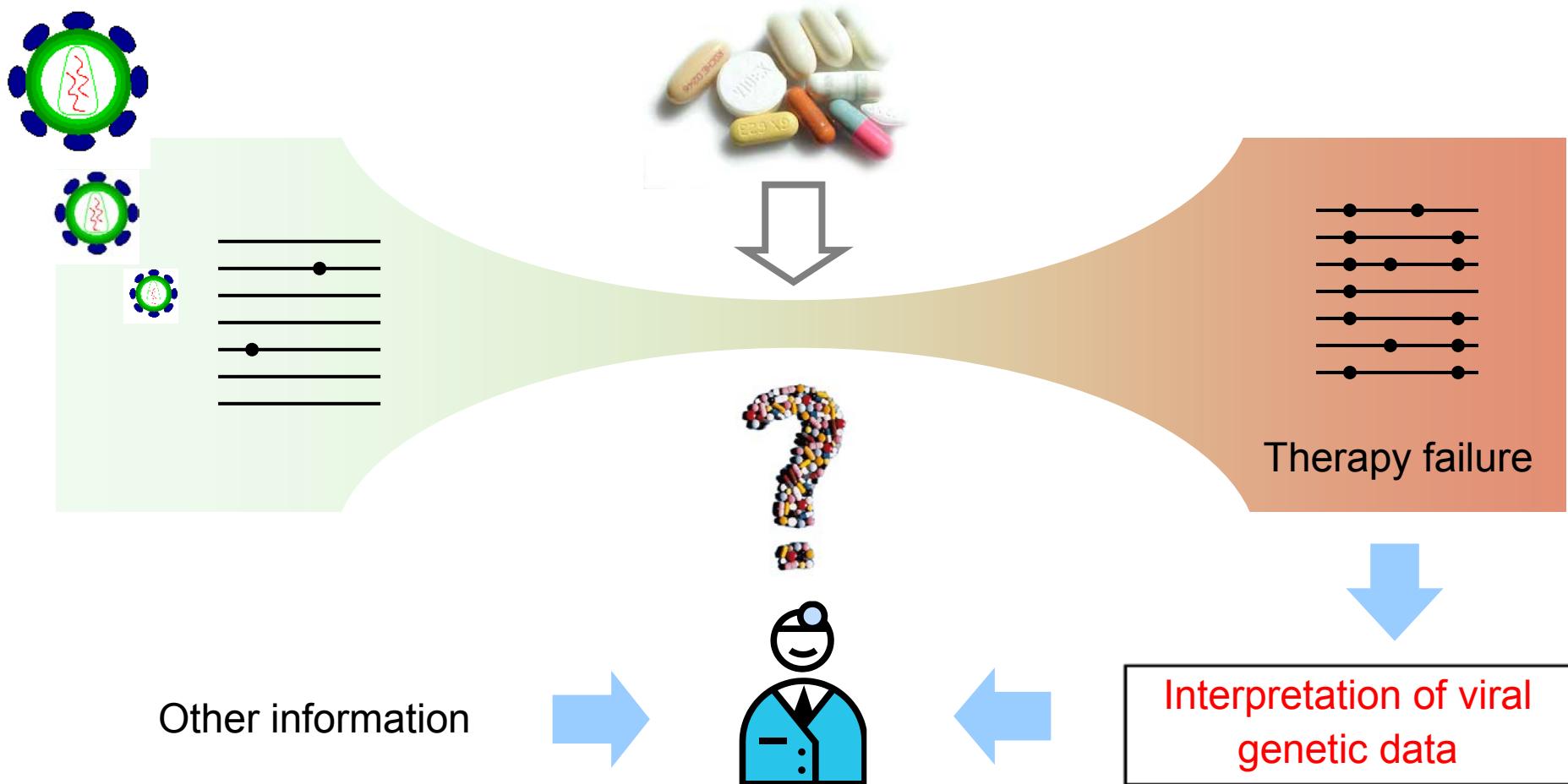


average distance of 6.8% among the 45 pairs

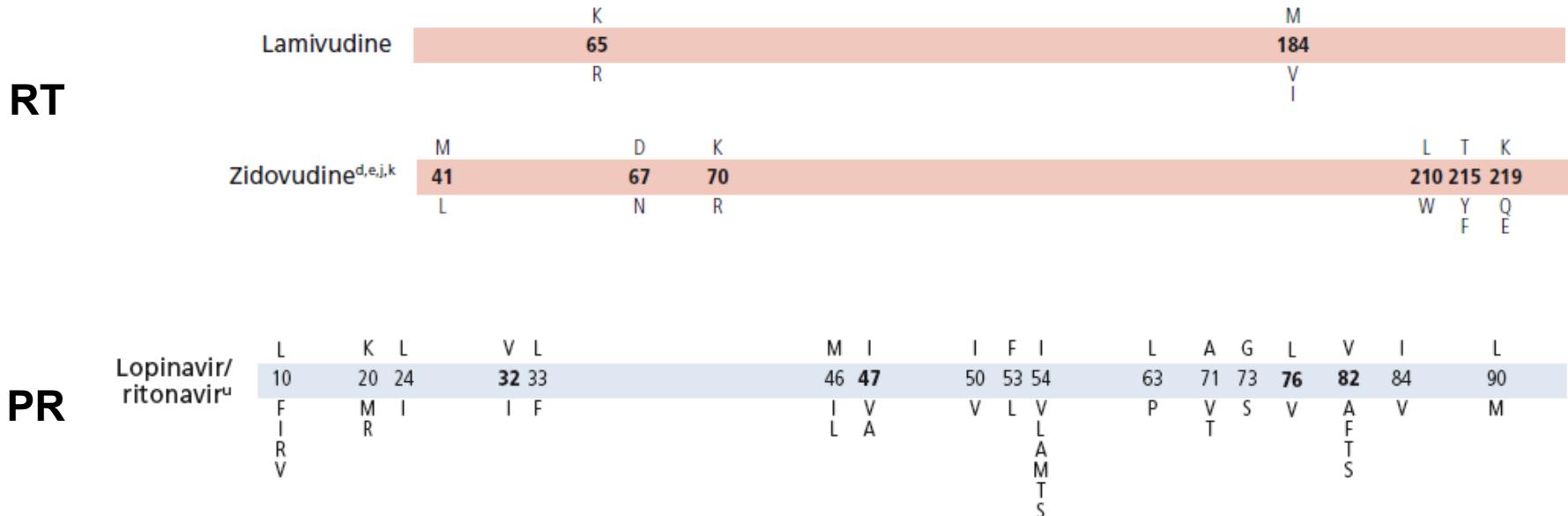
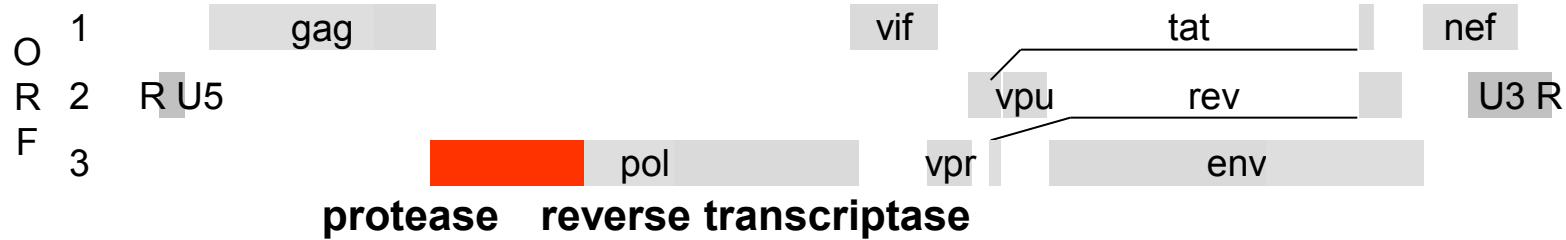
# Frequencies of the original haplotypes

- mixture of 10 haplotypes (%)
  - 50
  - 25
  - 12.5
  - 6.25
  - 3.125
  - 1.563
  - 0.781
  - 0.391
  - 0.195
  - 0.098

# HIV drug resistance, individualized treatment



# HIV-1 drug resistance

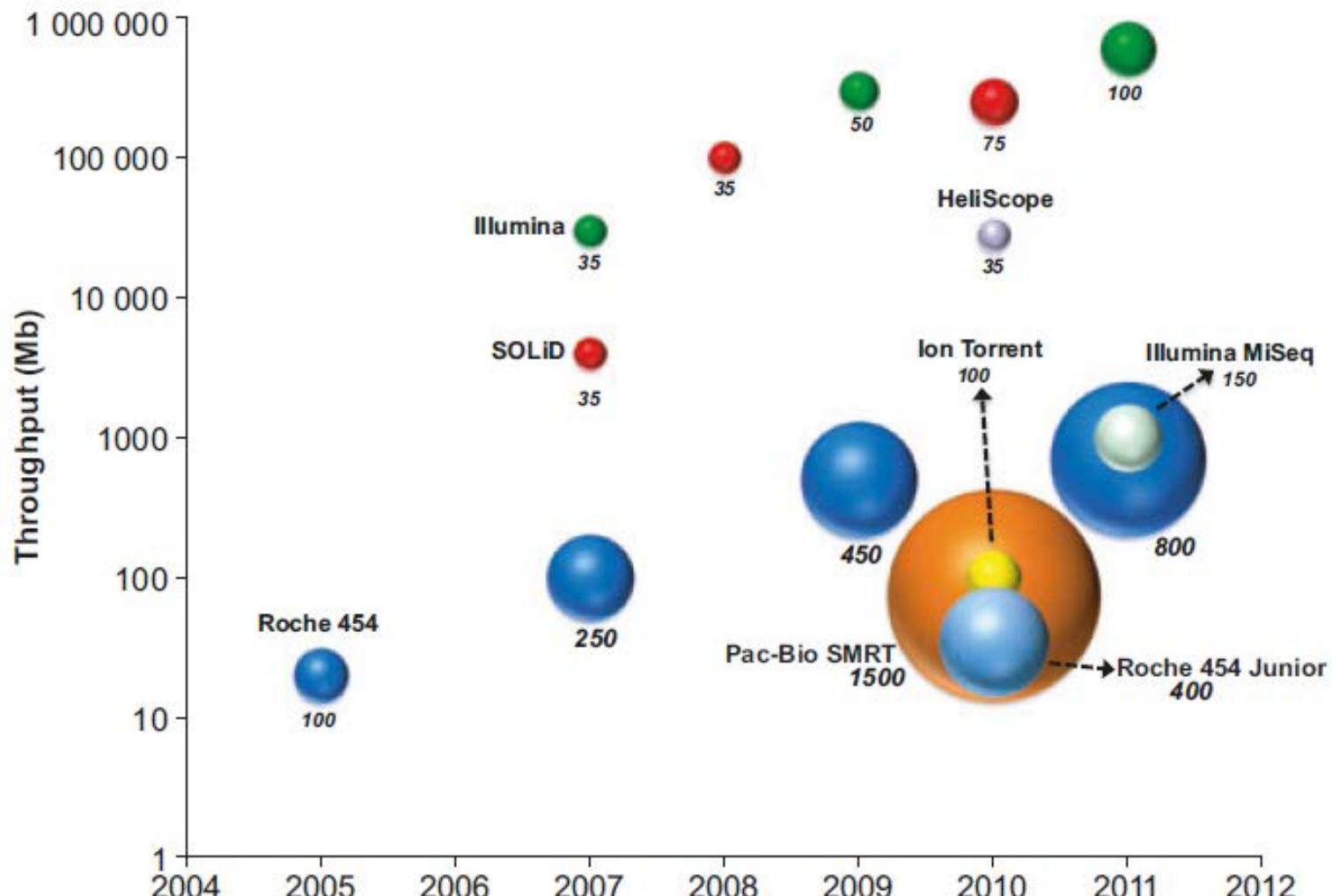


VA Johnson et al., Top HIV Med 2009

# Topics

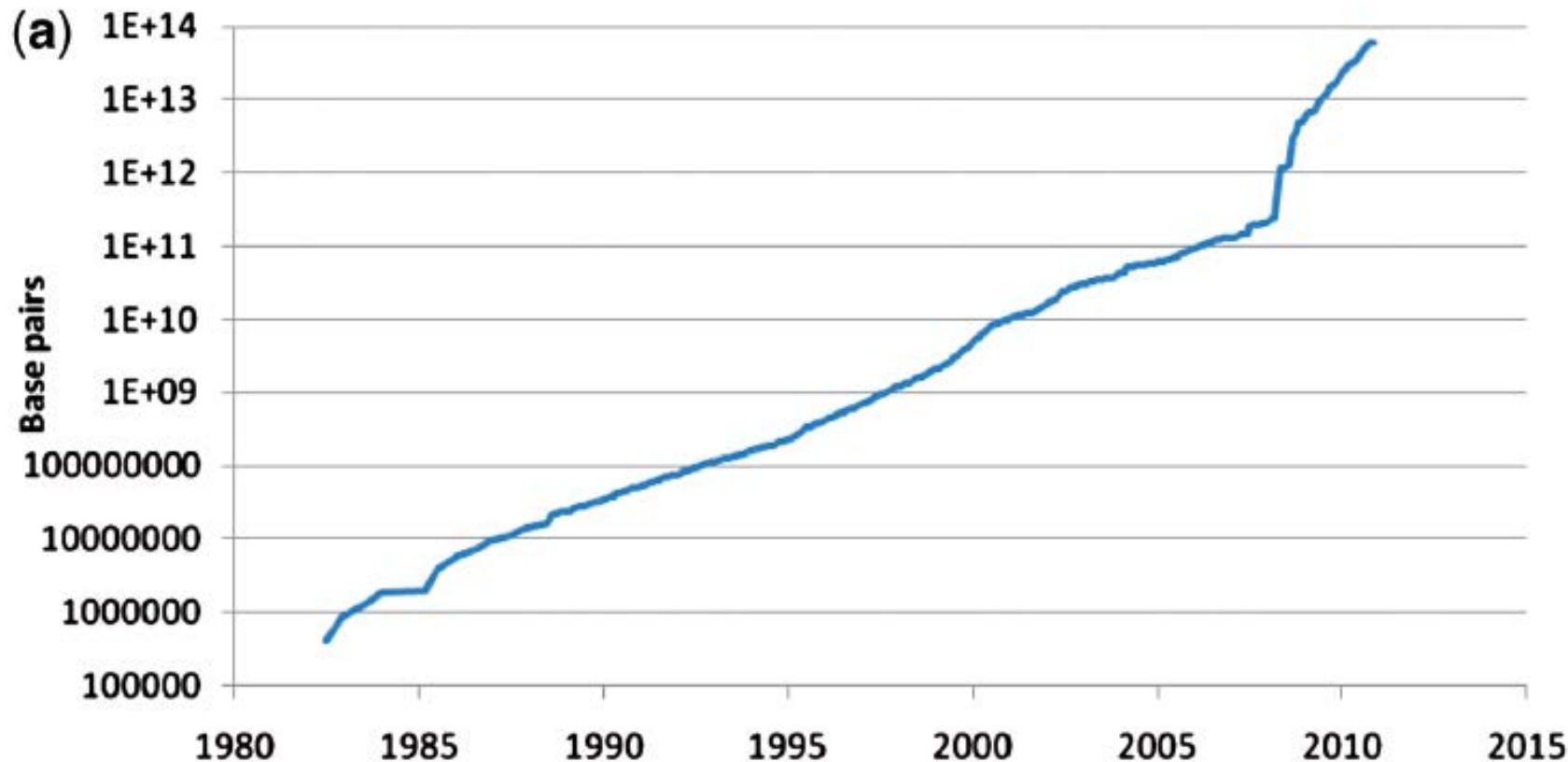
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# Historical development of next-generation sequencing technologies



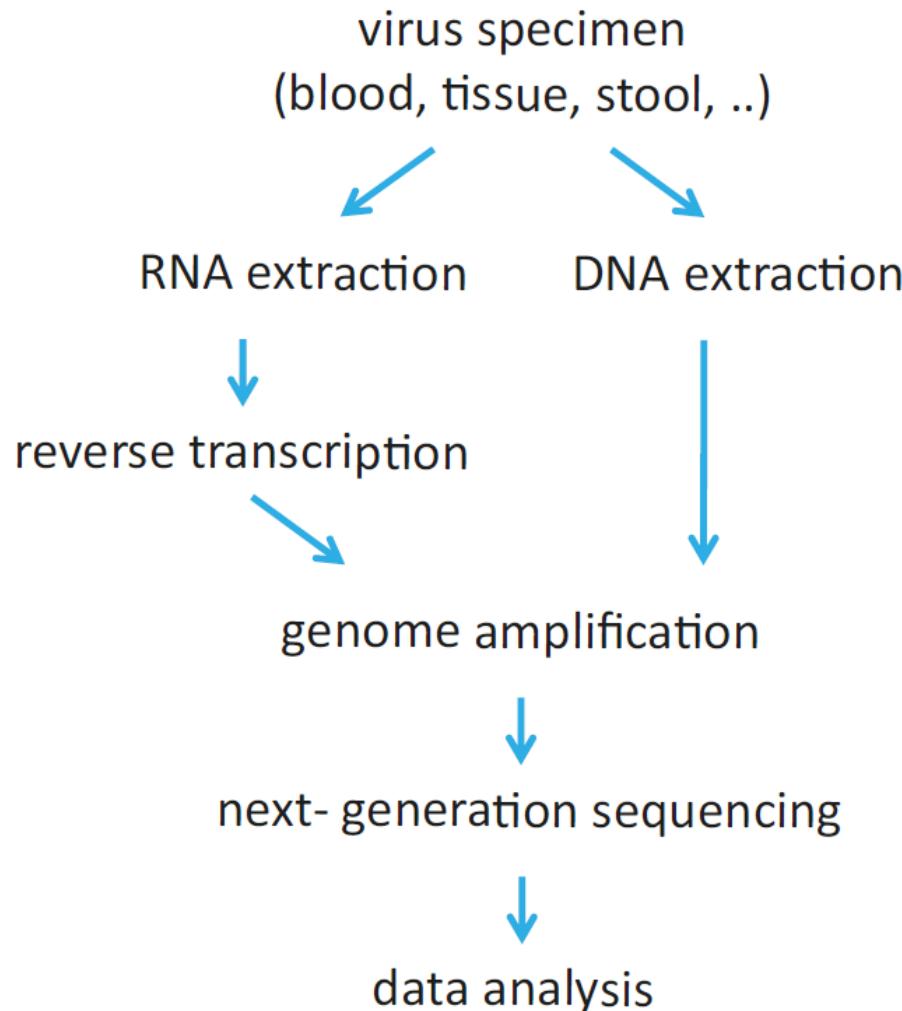
S Shokralla et al., Mol Ecol 2012

# Cumulative data volume in base pairs over time



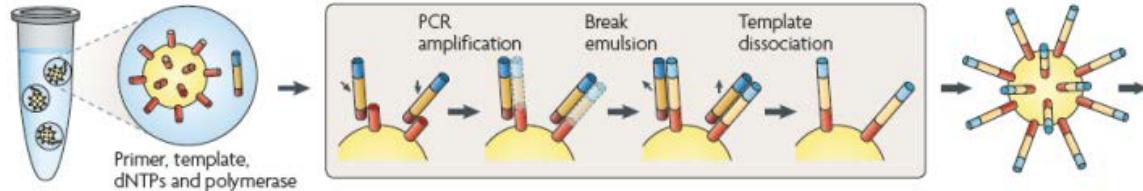
The International Nucleotide Sequence Database Collaboration, NAR 2010

# Biological sample to genotype

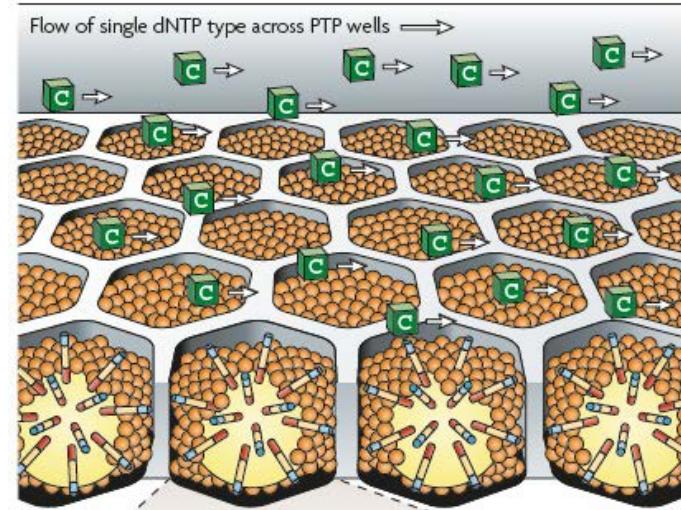


# 454 Life Sciences/Roche

One DNA molecule per bead. Clonal amplification to thousands of copies occurs in microreactors in an emulsion

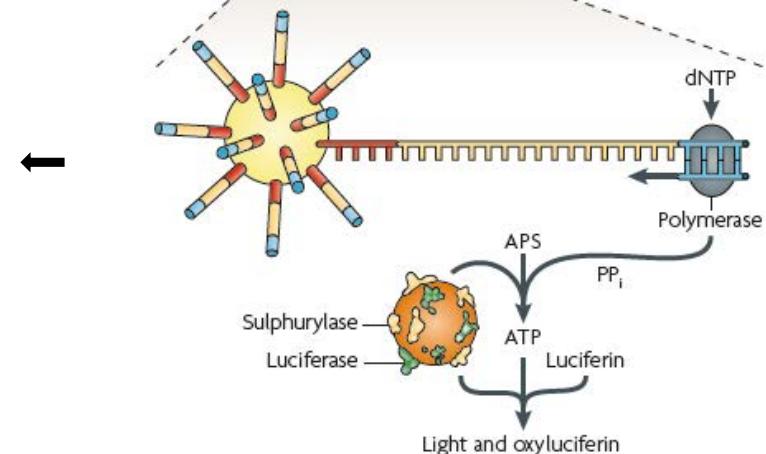
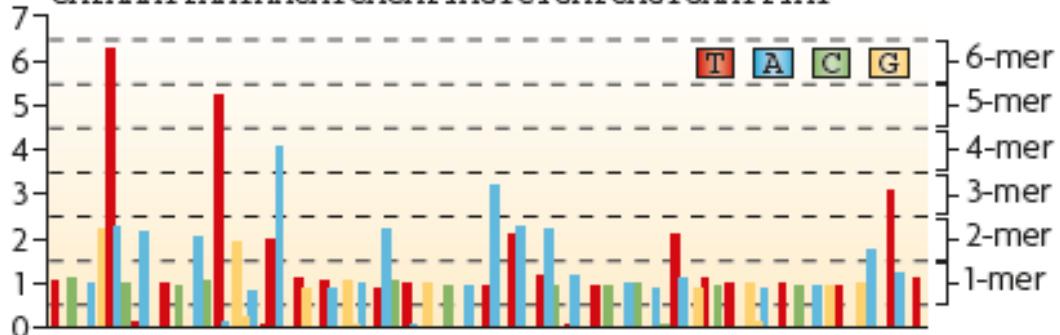


1–2 million template beads loaded into PTP wells



d Flowgram

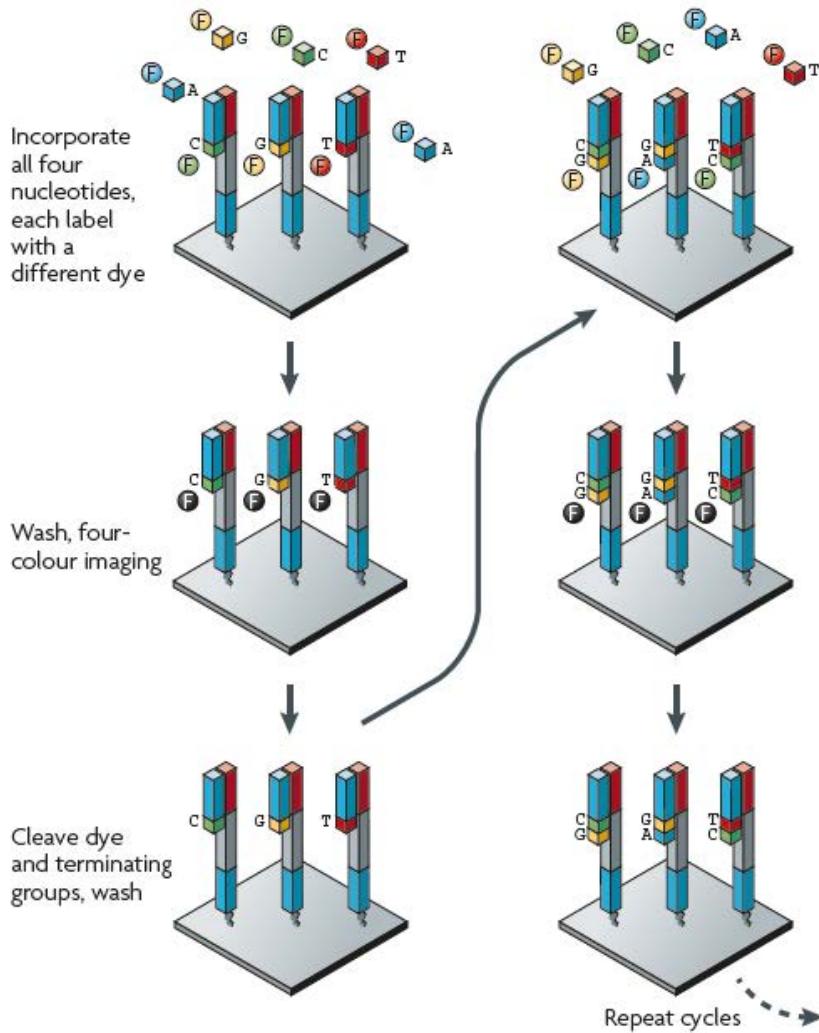
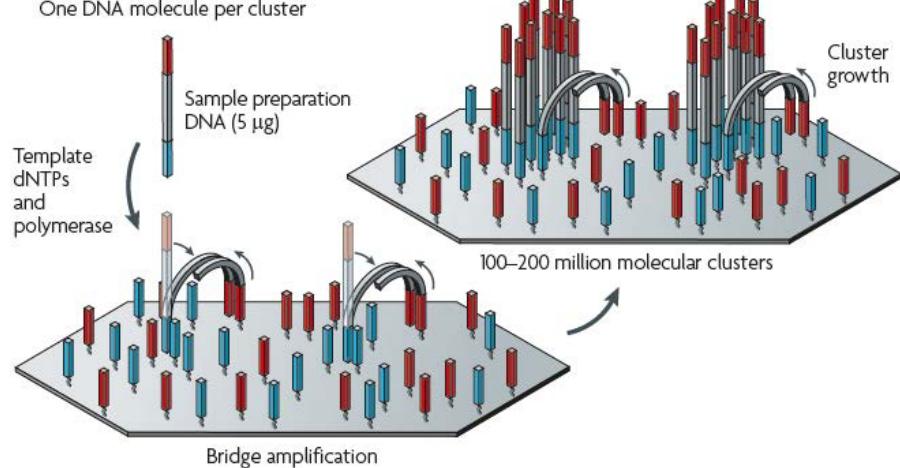
TCAGGTTTTAACAAATCAACTTTGGATTAAAATGTAGATAACTG  
CATAAATTAAACATCACATTAGTCTGATCAGTGAATTAT



ML Metzker, Nature Review Genetics 2010

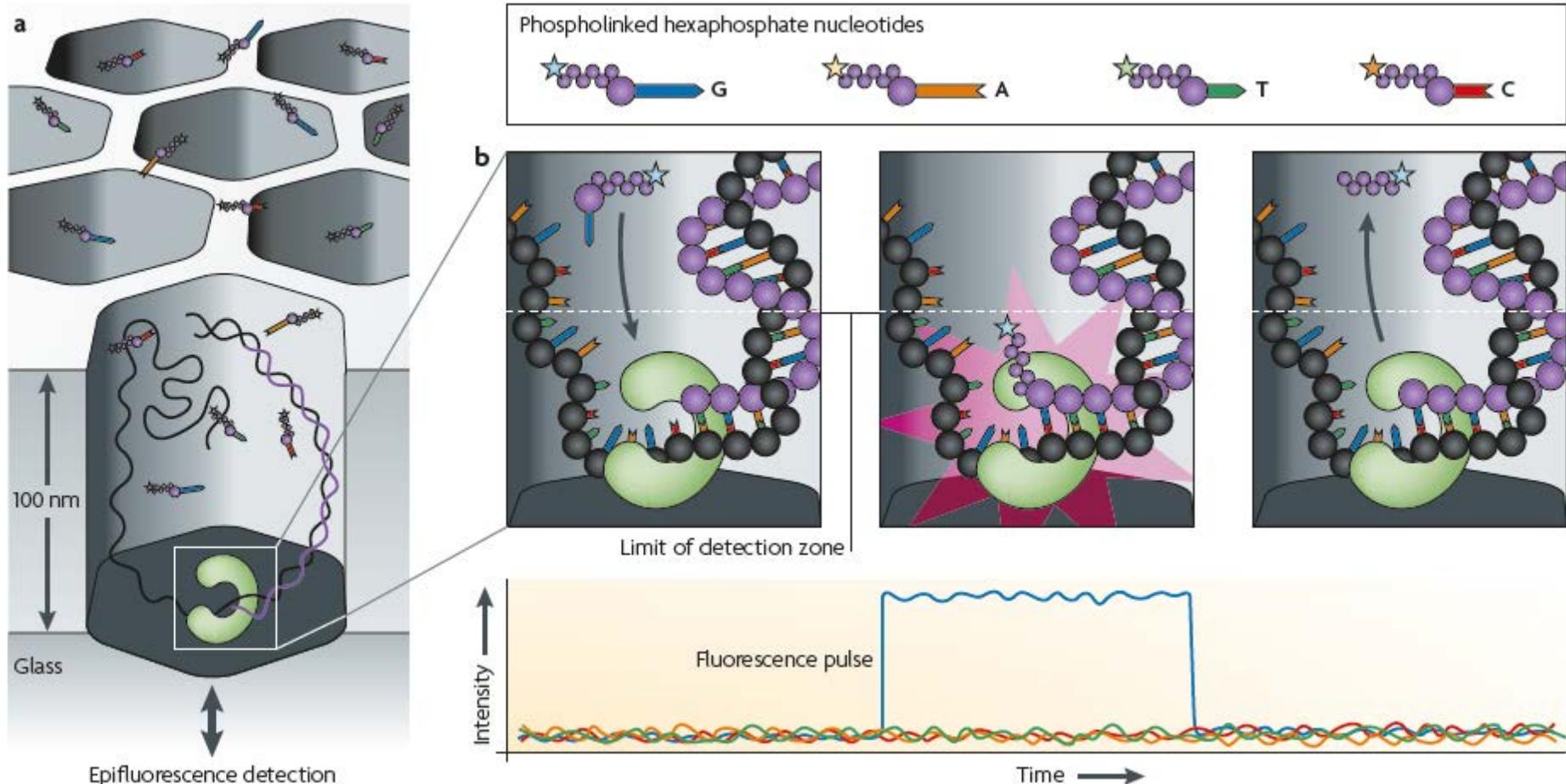
# Illumina/Solexa

**b Illumina/Solexa  
Solid-phase amplification**  
One DNA molecule per cluster



ML Metzker, Nature Review Genetics 2010

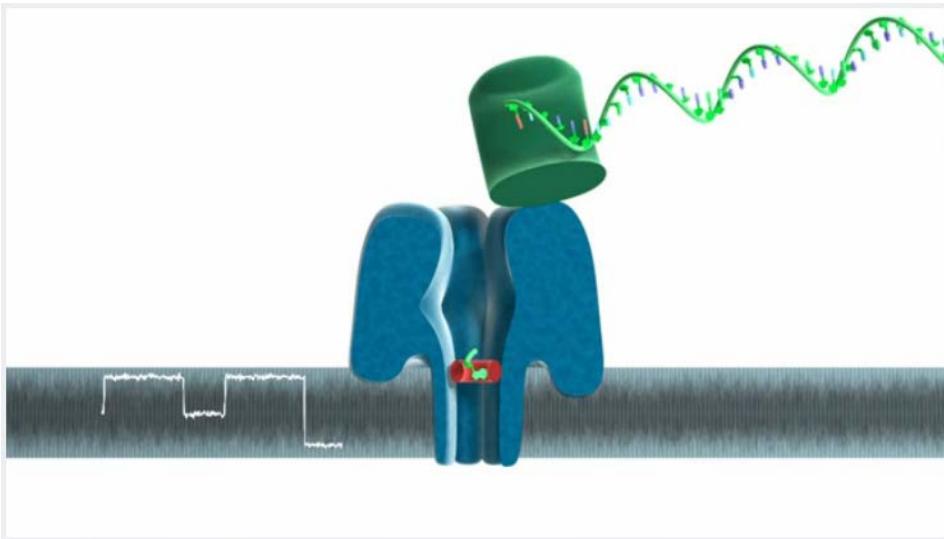
# Pacific Biosciences/PacBio RS



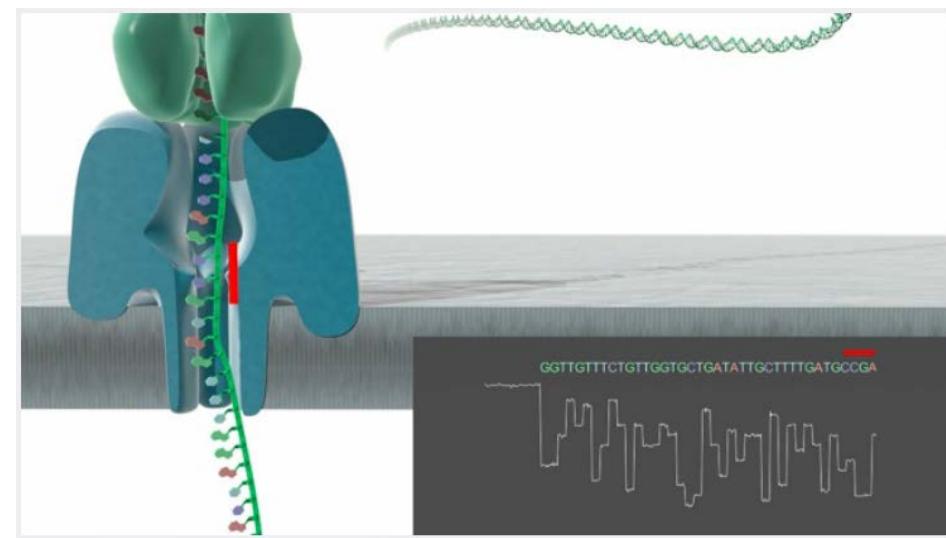
ML Metzker, Nature Review Genetics 2010

# Oxford Nanopore Technologies

exonuclease sequencing



strand sequencing



Oxford Nanopore Technologies

# Comparison of next-generation sequencing platforms



454/Roche GS-FLX:  
up to 1'000'000 sequences/run  
length: 400-700 bp/read



Illumina HiSeq 2000:  
up to 1'500'000'000 seq./run  
length: 2x100 bp/read



ABI 5500 SOLiD :  
up to 900'000'000 seq./run  
length: 50-75 bp/read



Pacific Biosciences RS:  
up to 800'000 seq./run  
length: ~1'500 bp/read



Ion Torrent PGM:  
up to 5'000'000 seq./run  
length: 35-200 bp/read

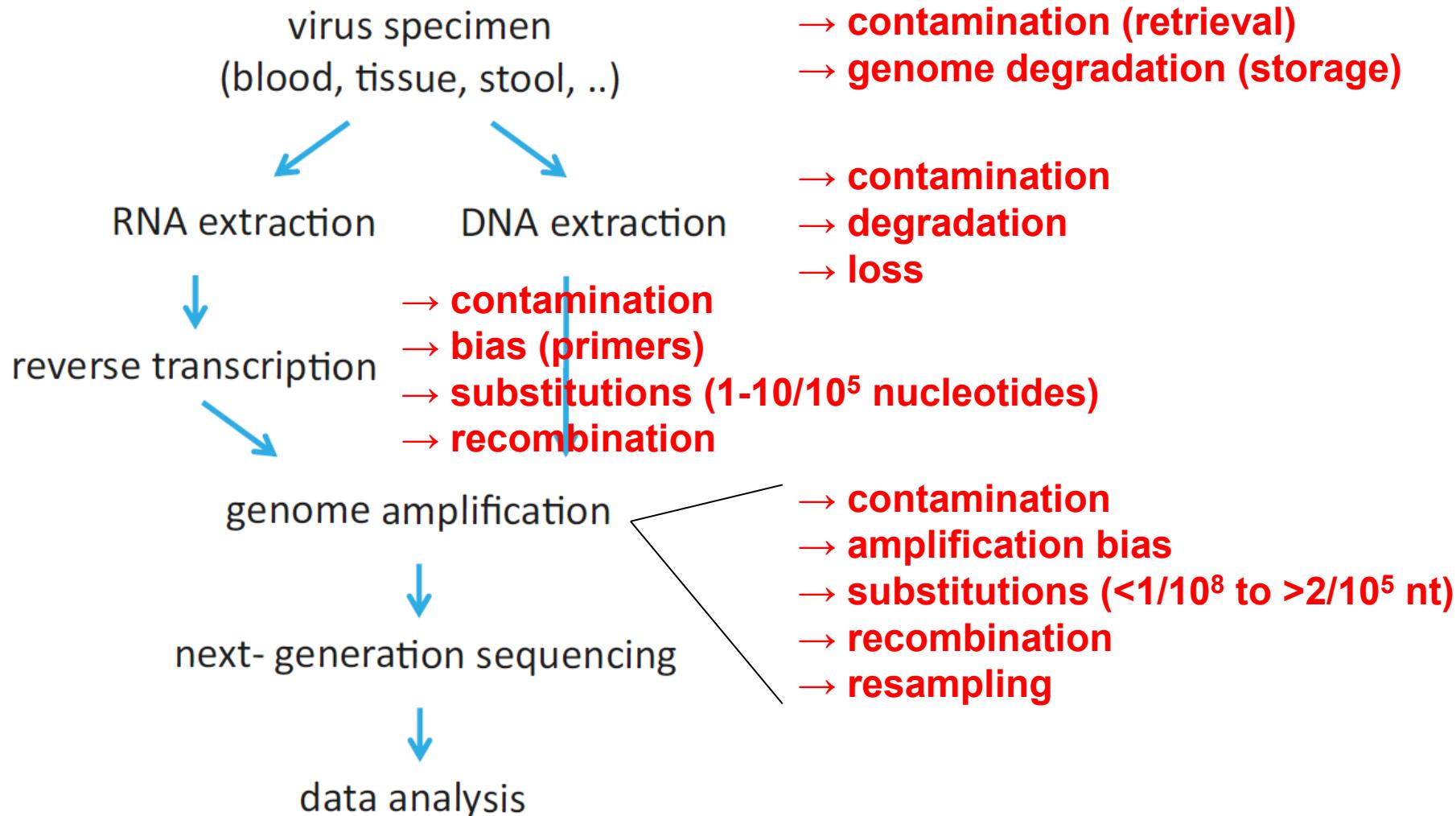


Helicos HeliScope:  
up to 800'000'000 seq./run  
length: 25-55 bp/read

# Topics

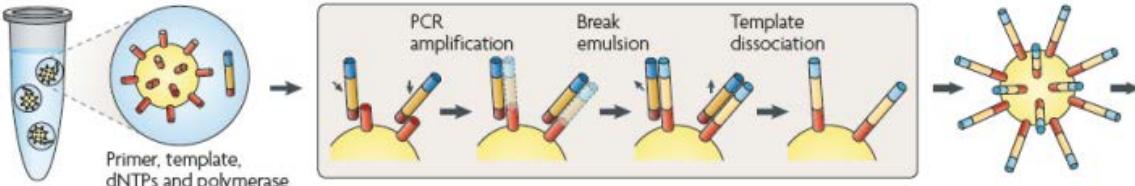
- genetic diversity
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# Error sources on the way from a biological sample to a pre-NGS sample



# NGS platform dependent errors: 454 Life Sciences/Roche

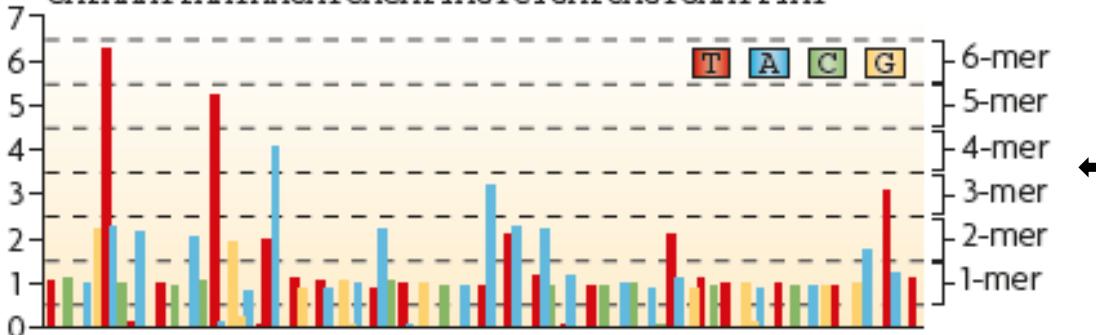
One DNA molecule per bead. Clonal amplification to thousands of copies occurs in microreactors in an emulsion



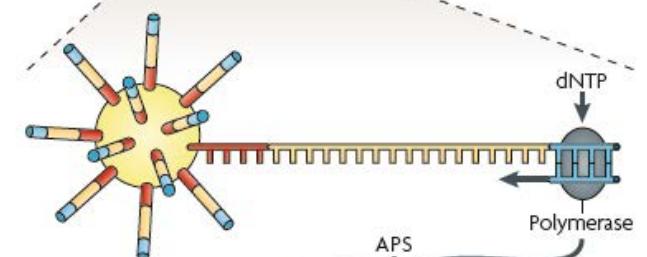
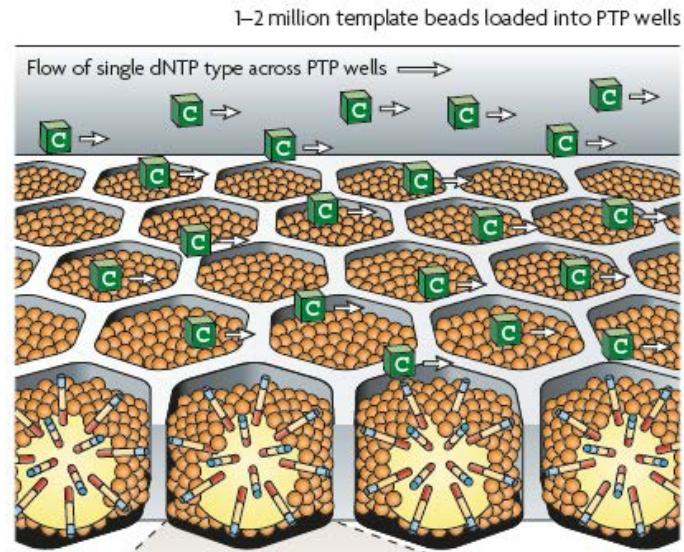
- substitutions (irrelevant)
- recombination (irrelevant)
- mixed beads (irrelevant)

d Flowgram

TCAGGTTTTAACAACTCAACTTTGGATTAAAATGTAGATAACTG  
CATAAATTAAACATCACATTAGTCTGATCAGTGAATTAT



- insertions and deletions (indels) in homopolymeric regions!!
- neighbor interference



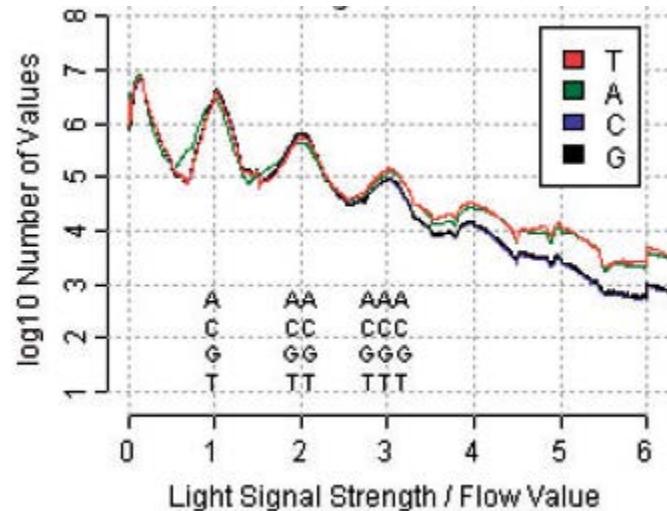
- substitutions (irrelevant)
- phasing limits

Light and oxyluciferin

ML Metzker, Nature Review Genetics 2010

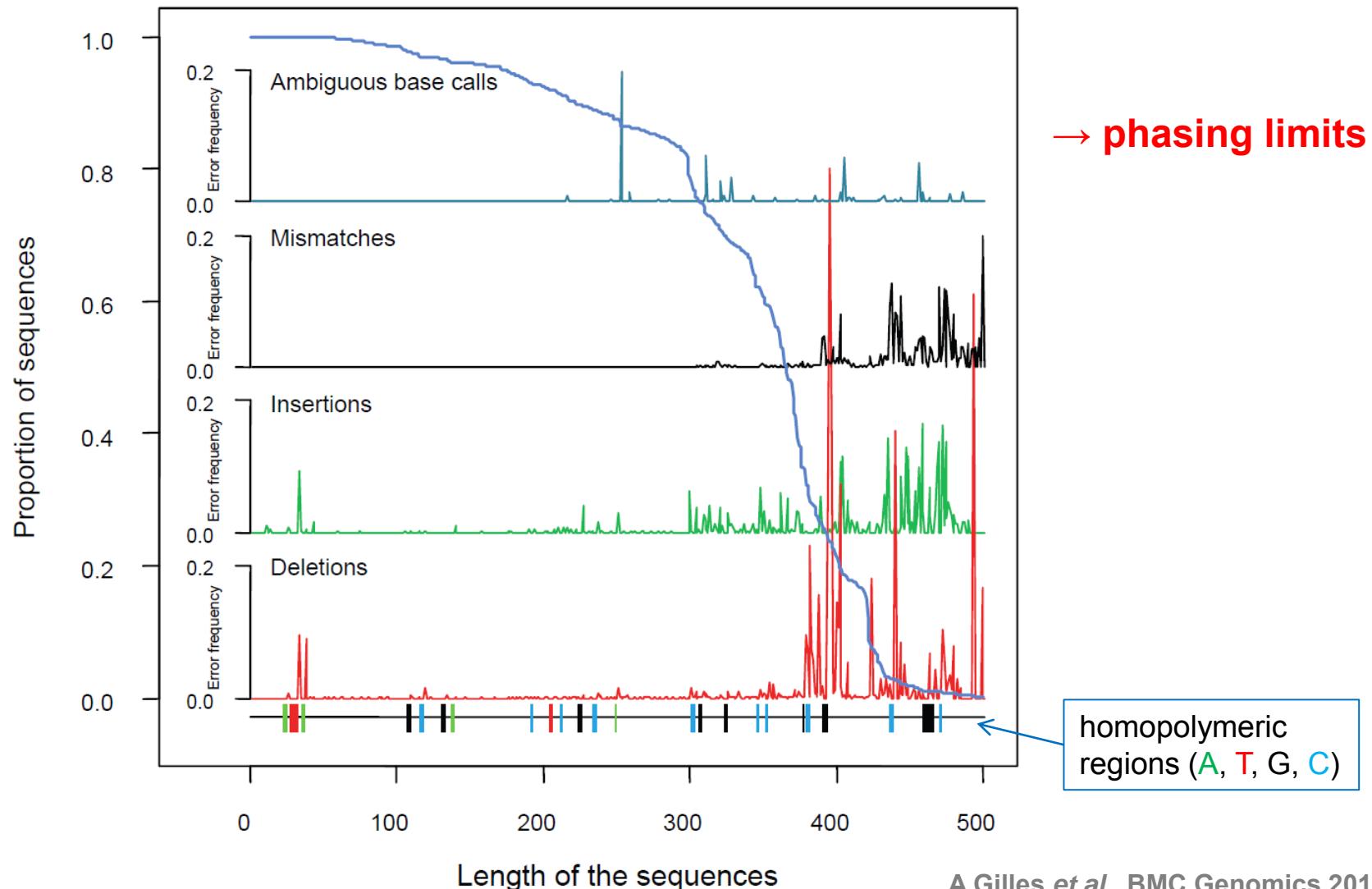
# Pyrosequencing errors

Individual read insertion error rate	0.44%
Individual read deletion error rate	0.15%
Individual read substitution error rate	0.004%
All errors	0.60%



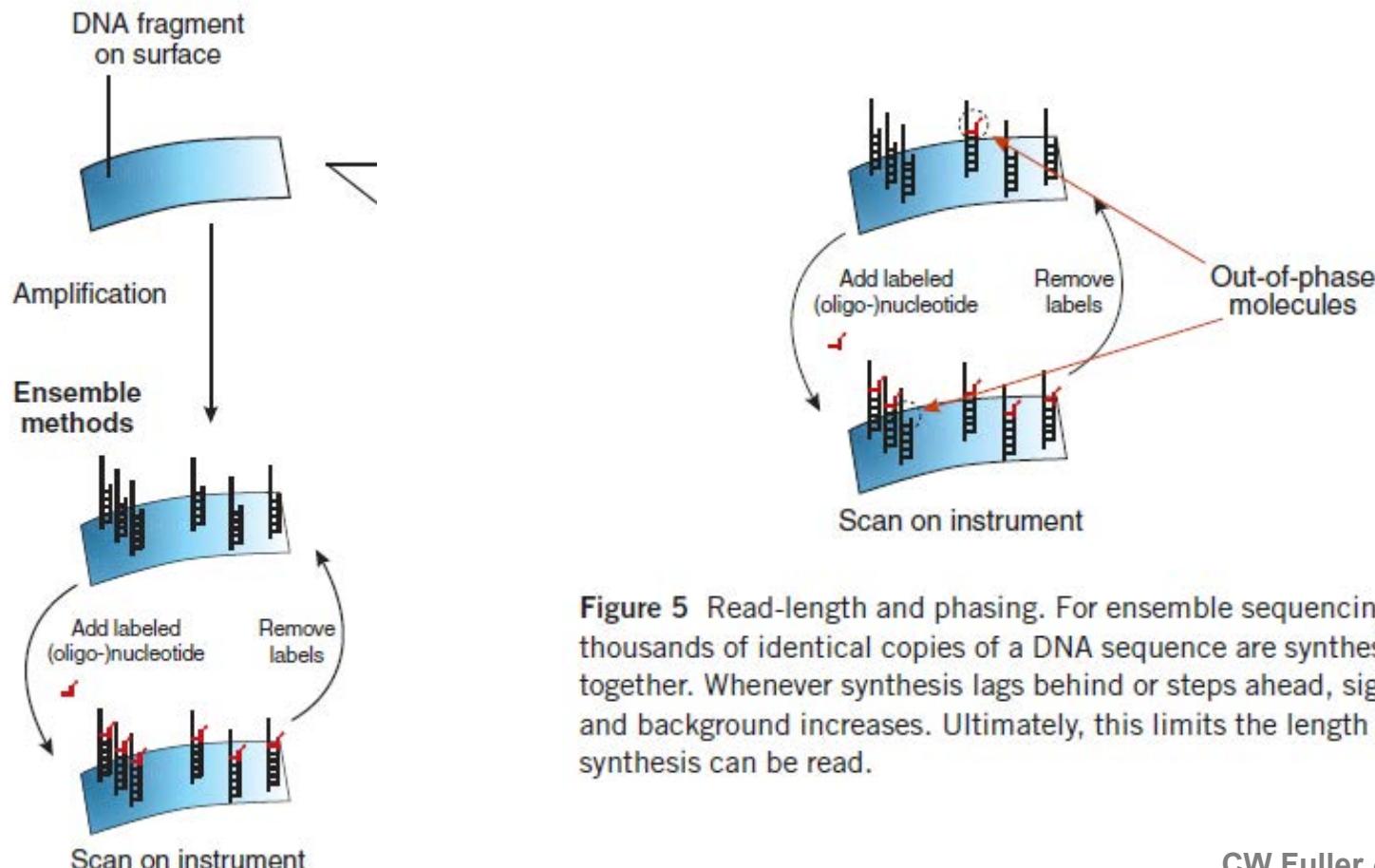
- In long homopolymeric regions, linearity between signal intensity and number of nucleotides incorporated fails.

# Error rates increase with read length



# Phasing limits

phasing = “maintaining synchronous synthesis among all the identical templates of the ensemble”



**Figure 5** Read-length and phasing. For ensemble sequencing methods, thousands of identical copies of a DNA sequence are synthesized together. Whenever synthesis lags behind or steps ahead, signal is lost and background increases. Ultimately, this limits the length over which synthesis can be read.

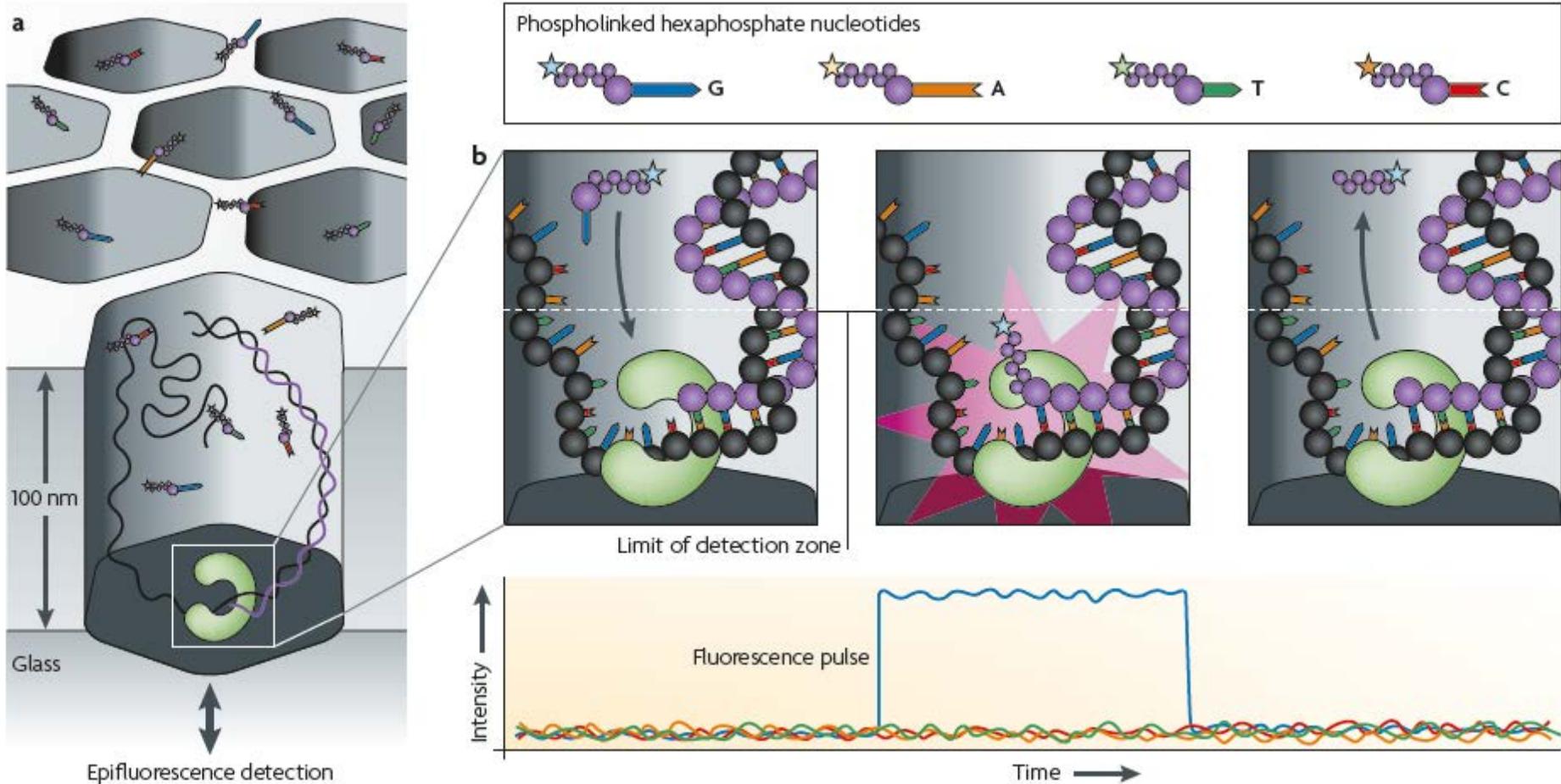
CW Fuller *et al.*, Nature Biot 2009

# NGS platform dependent errors: Illumina/Solexa



ML Metzker, Nature Review Genetics 2010

# NGS platform dependent errors: Pacific Biosciences/PacBio RS

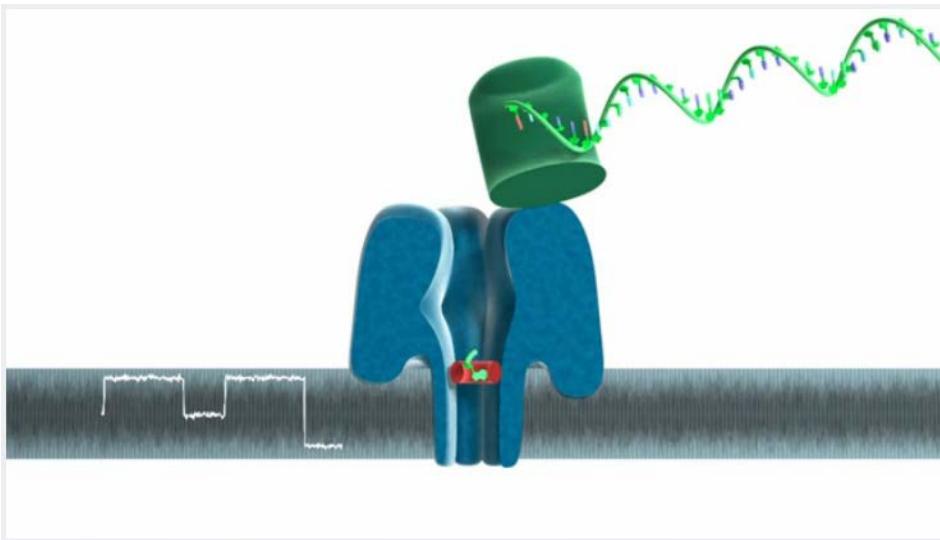


→ substitutions !! (repeated sequencing of the same molecule necessary)  
→ others?

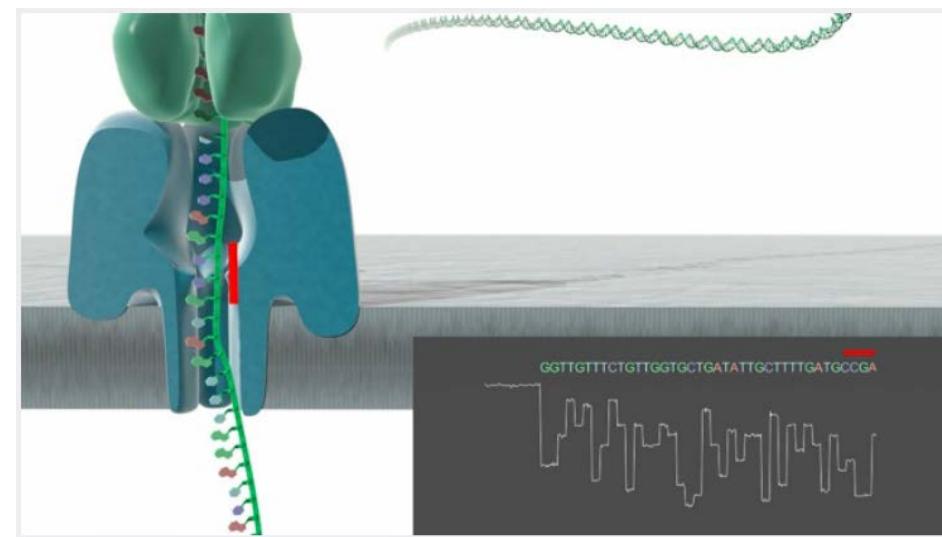
ML Metzker, Nature Review Genetics 2010

# NGS platform dependent errors: Oxford Nanopore Technologies

exonuclease sequencing



strand sequencing



- 0.1 - 4% raw read error rates are reported in press releases
- error sources?

Oxford Nanopore Technologies

# A lot of reads are incorrect

- Let us assume (454 data)
  - a sequencing error of 0.1% per base pair, and
  - an average read length of 500 bp
- Then the fraction of reads with at least one error is

$$1 - (1 - 0.001)^{500} = 0.394$$

- Thus, ~40% of the reads are incorrect!

# Thanks for your attention

# Local Diversity Estimation

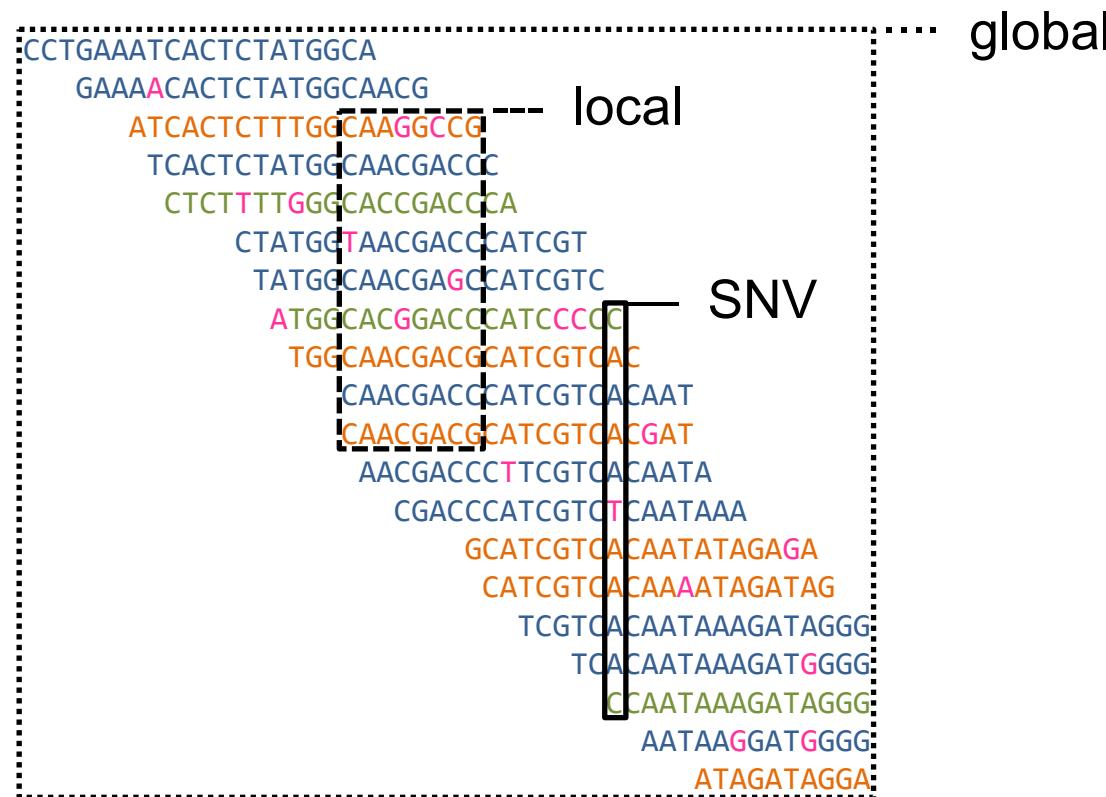
Niko Beerenwinkel, ETH Zurich

[nico.beerenwinkel@bsse.ethz.ch](mailto:niko.beerenwinkel@bsse.ethz.ch)

ECCB'12 Tutorial 4

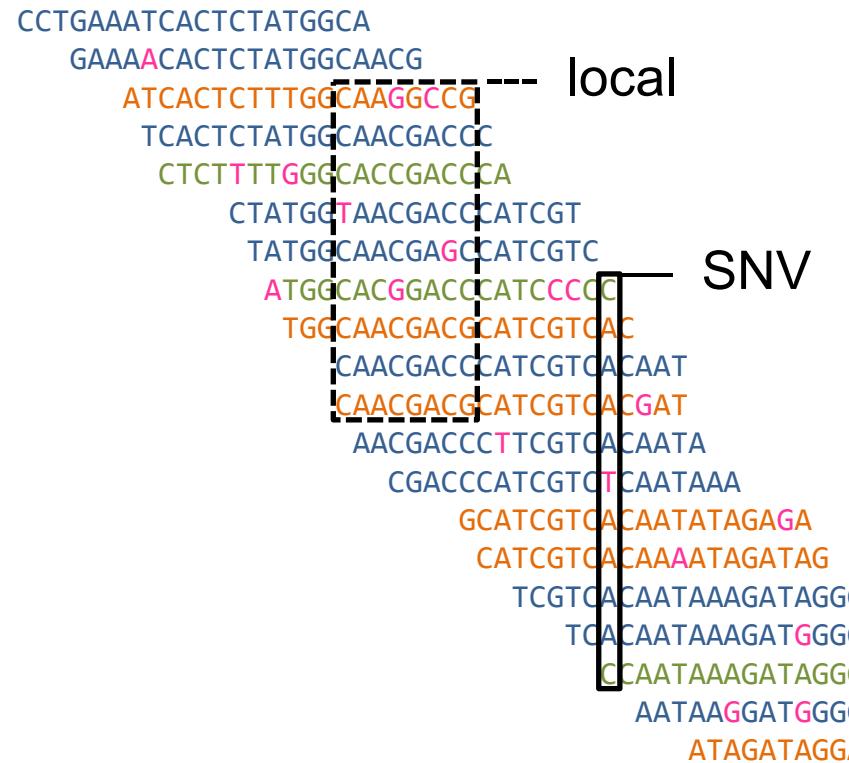
*Inferring Genetic Diversity from Next-generation Sequencing  
Data: Computational Methods and Biomedical Applications*

# Spatial scales of diversity estimation



Beerenwinkel et al (Front Microbio 2012)

# This session: SNVs and local diversity

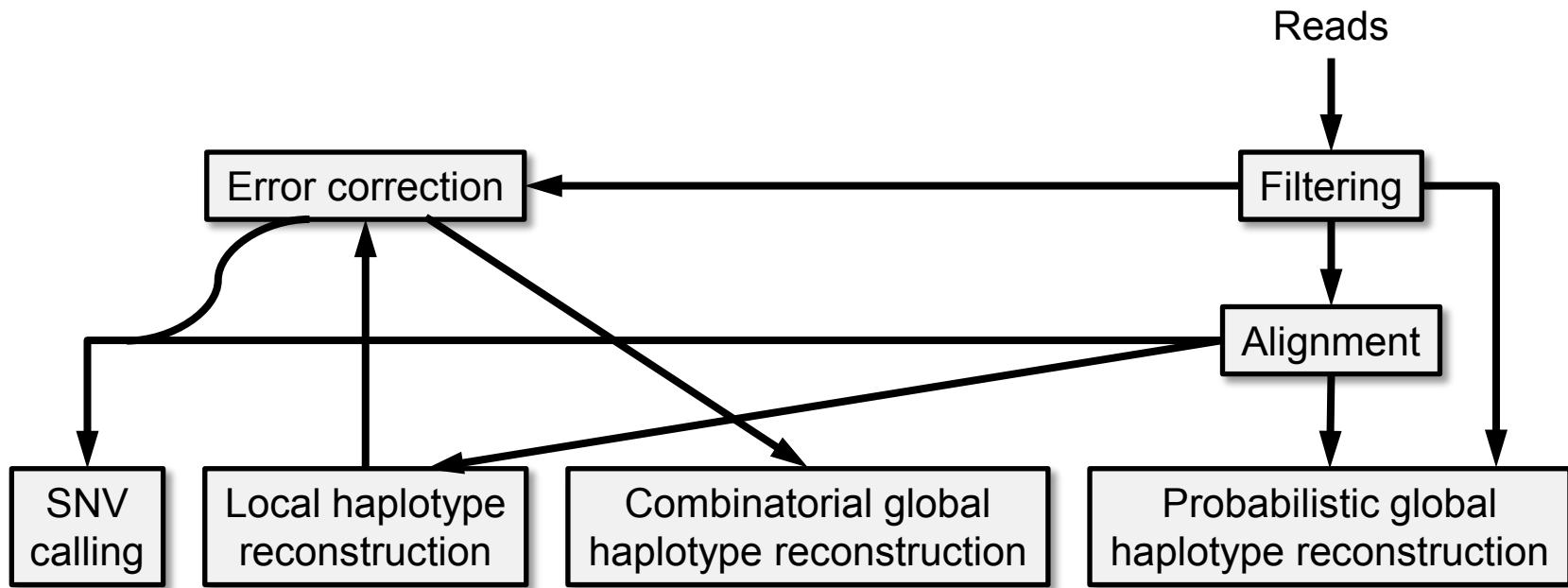


CCTGAAATCACTCTATGGCA  
GAAAACACTCTATGGCAACG  
ATCACTCTTGGCAAGGCCG  
TCACTCTATGCCAACGACCC  
CTCTTTTGGCACCGACCCA  
CTATGTAACGACCCATCGT  
TATGCCAACGAGCCCATCGTC  
ATGCCACGGACCCATCCCC  
TGGCAACGACGCATCGTCAC  
CAACGACCCATCGTCACAAT  
CAACGACGCATCGTCACGAT  
AACGACCCTTCGTCACAATA  
CGACCCATCGTCTCAATAAA  
GCATCGTCACAATATAGAGA  
CATCGTCACAAAATAGATAG  
TCGTCACAATAAGATAGGG  
TCACAATAAGATGGGG  
CCAATAAGATAGGG  
AATAAGGATGGGG  
ATAGATAGGA

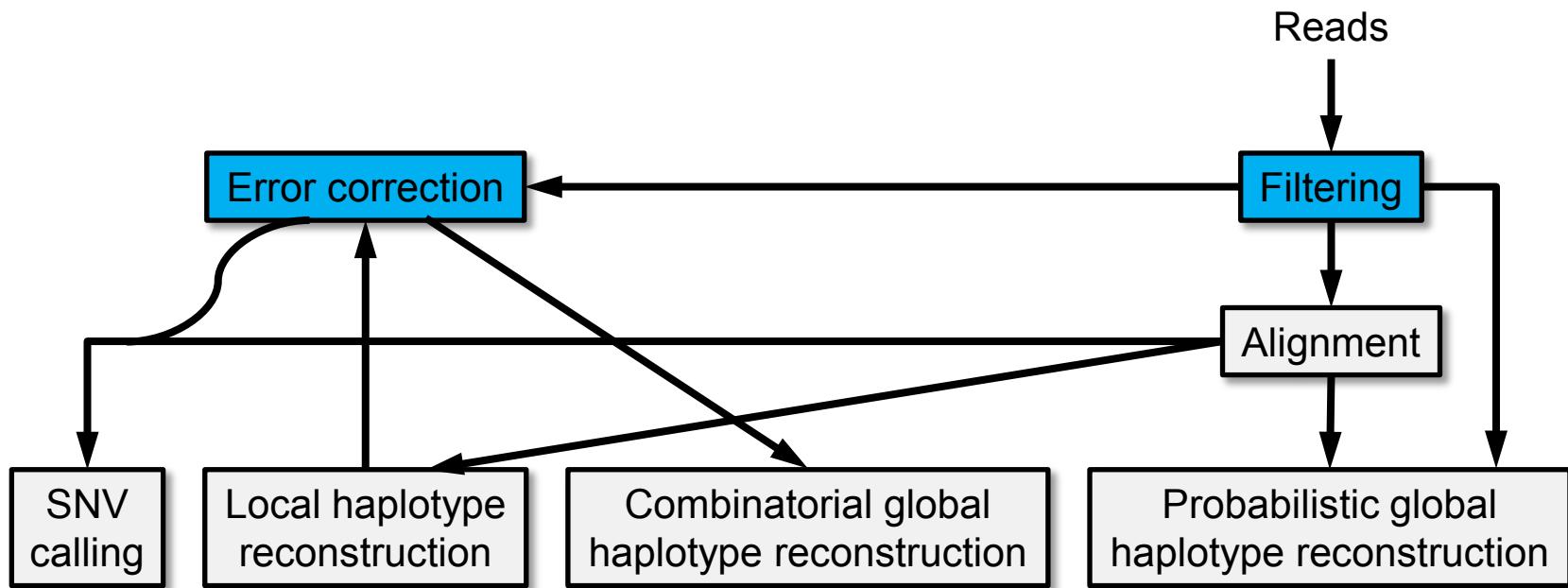
local

SNV

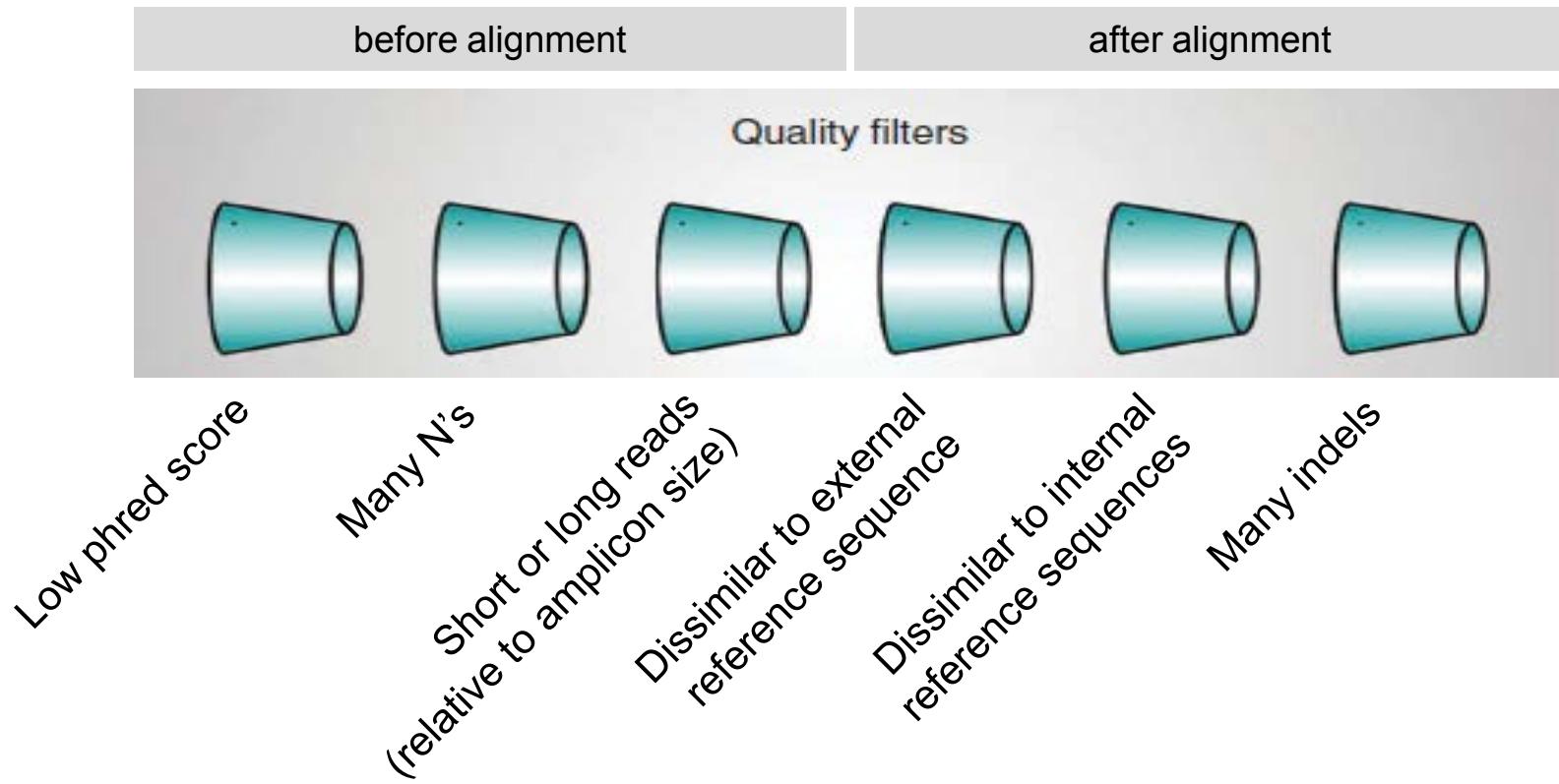
# Overview



# Overview



# Filtering



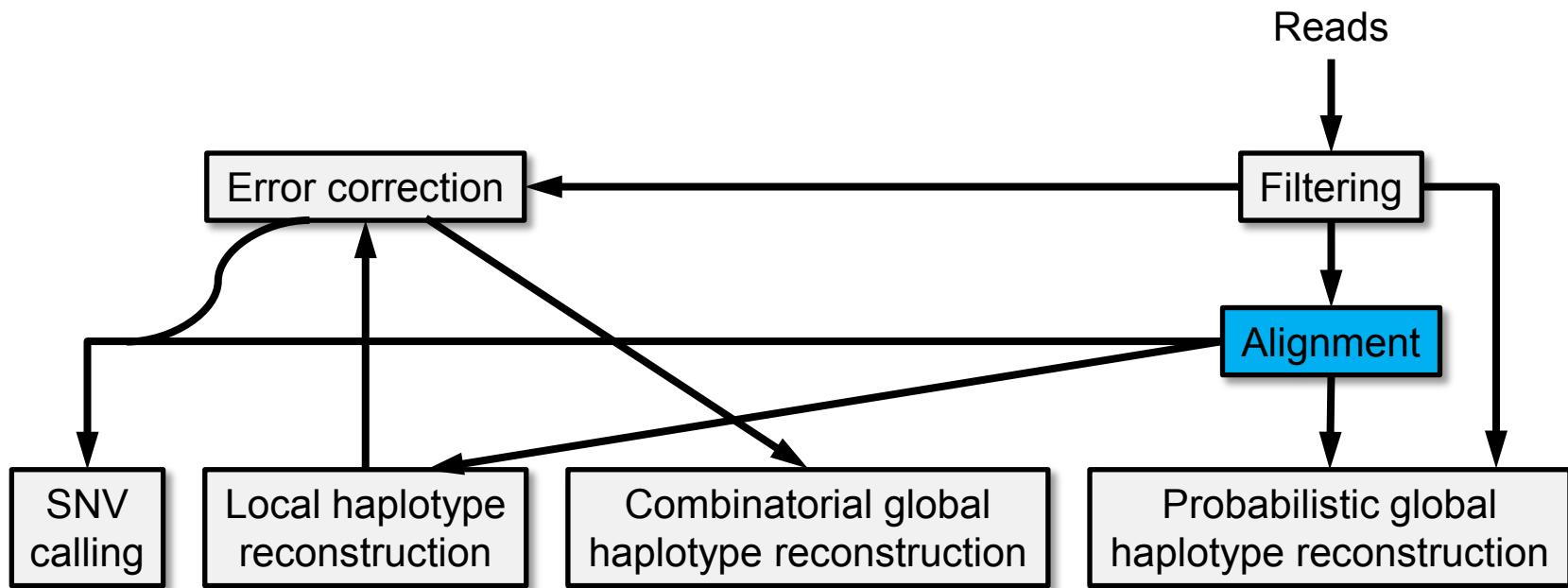
Reumers et al (Nat Biotech 2011), Skums et al (BMC Bioinformatics 2012)

# Alignment-free, k-mer-based read error correction

- k-mer = substring of length k
- Idea: Rare (“weak”) k-mers are likely to contain errors
- KEC algorithm:
  1. Calculate k-mers and their frequencies, called k-counts.
  2. Determine the threshold k-count which distinguishes solid k-mers from weak k-mers.
  3. Find error regions.
  4. Correct the errors in error regions.
- Example:

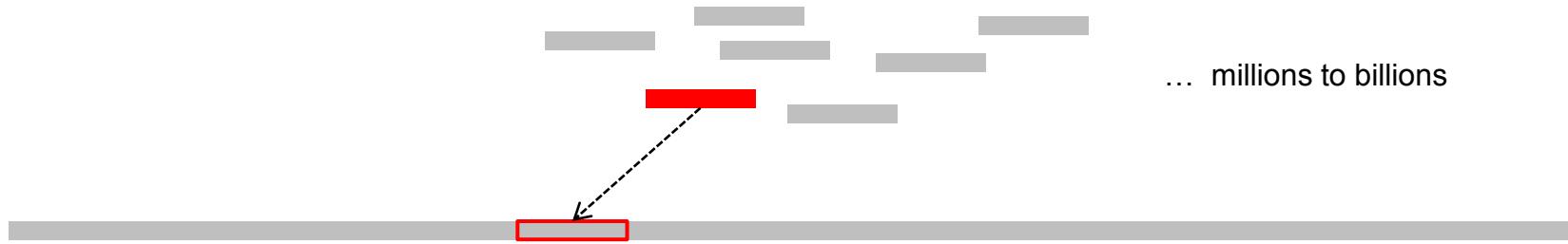
Sample:	M1	M2	M3	M4	M5
No. of reads before error correction:	4220	4222	4418	4344	4426
No. of unique/unique maximal reads after correction:	306/8	502/18	385/8	483/9	179/2

# Overview

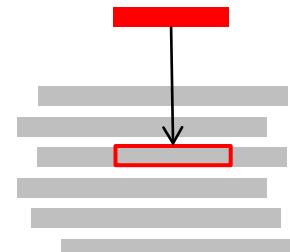


# Read alignment (mapping)

- Task: Find the location of each read in a given reference genome in the presence of errors

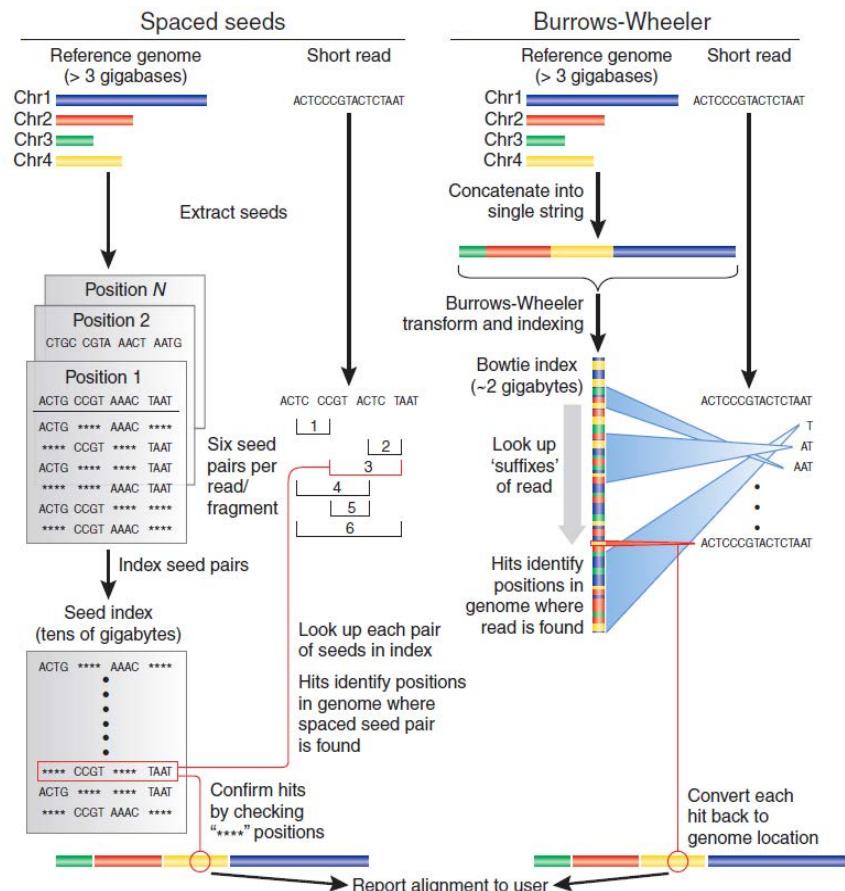


- dominated by sequencing errors, genetic diversity of the sequenced species, and uncertainty in reference genome assembly
- By comparison, “traditional alignment”
  - finds matches in (remote) homologous sequences in large databases (Smith-Waterman, BLAST, FASTA)
  - uses evolutionary models (DNA/protein substitution models, phylogenetic trees)



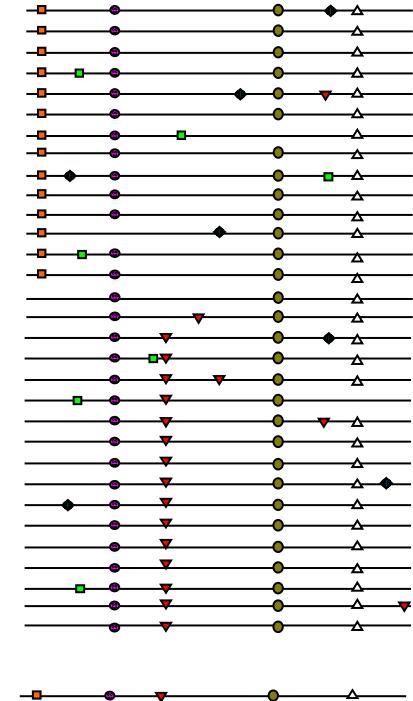
# Read mapping

- Challenges:
  - many short reads
  - long genomes
  - errors
  - repetitive DNA
- Read mappers are based on indexing techniques to locate reads, followed by high-quality local alignments.
- Around 50 mapping programs currently available
  - see [wikipedia list](#)

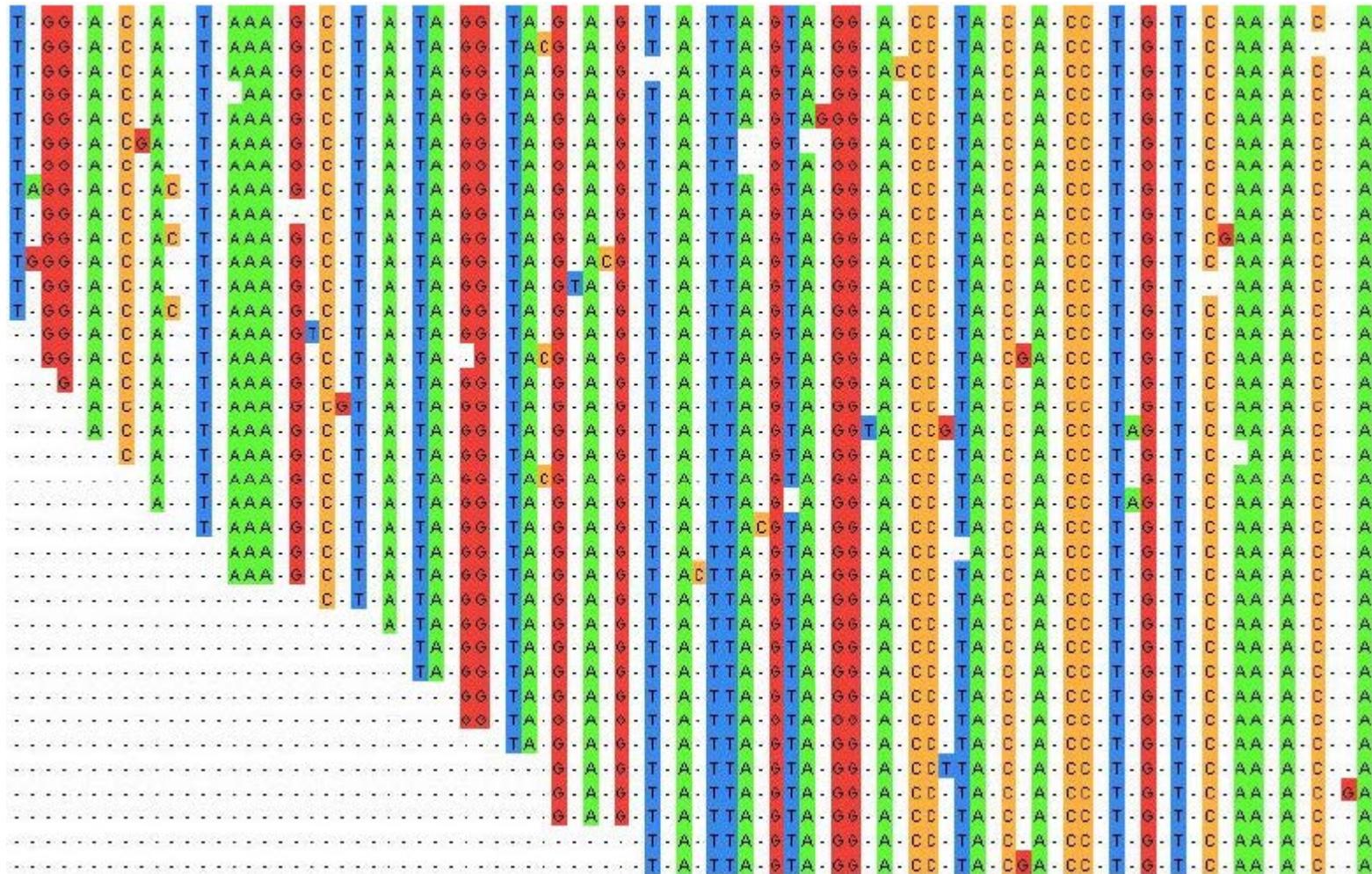


# Read mapping of diverse populations at high coverage

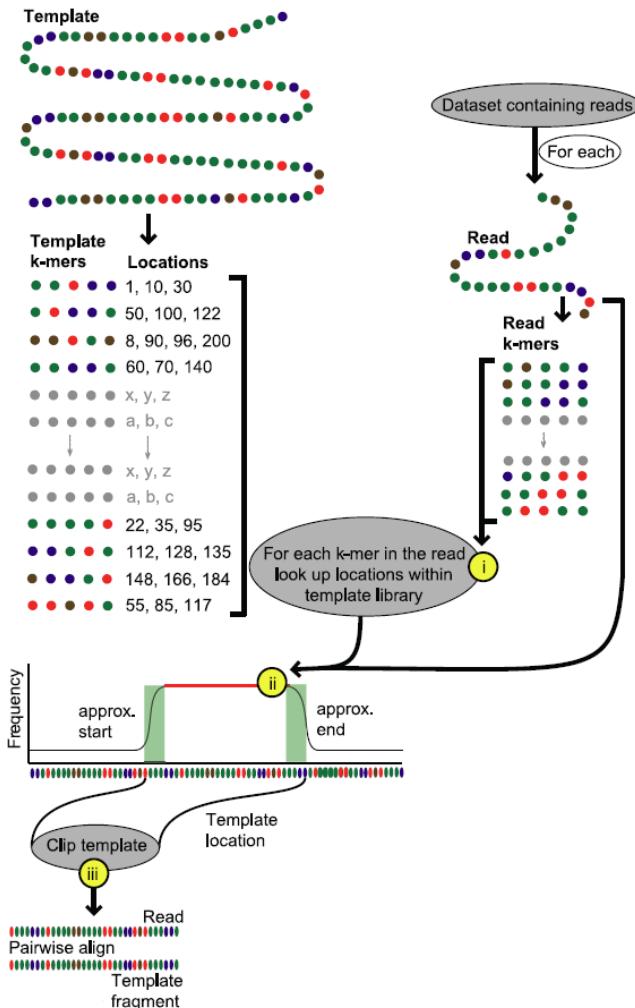
- Goals:
  1. Multiple alignment of all reads
  2. Error correction
- Strategies:
  - Mapping to reference genome
  - Mapping to consensus sequence
  - Pairwise local alignments (Smith-Waterman) to reference or consensus
  - Multiple sequence alignment (MSA)
  - De novo assembly
  - Account for quality scores, error patterns
- In practice, strategies are often combined.



# MSA of reads – snapshot

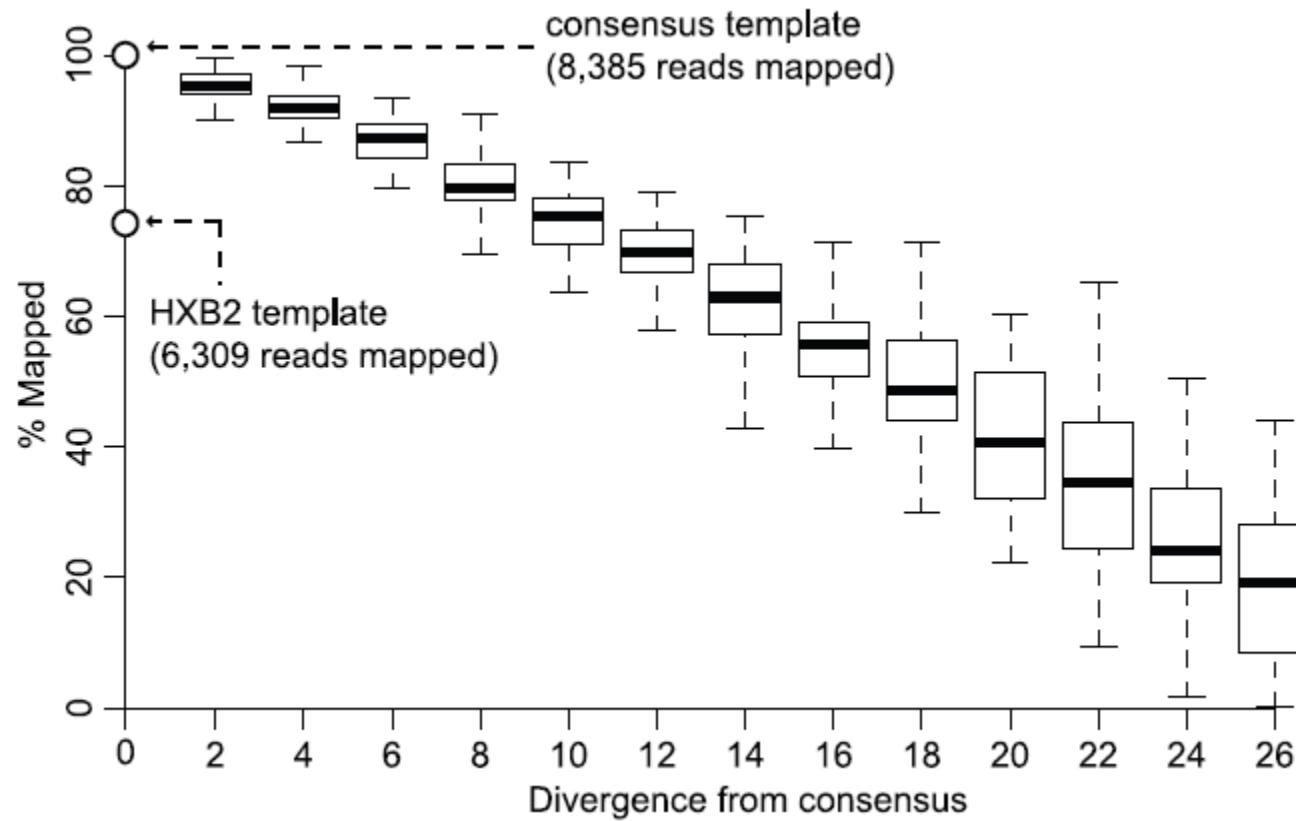


# Example: HIV env gene

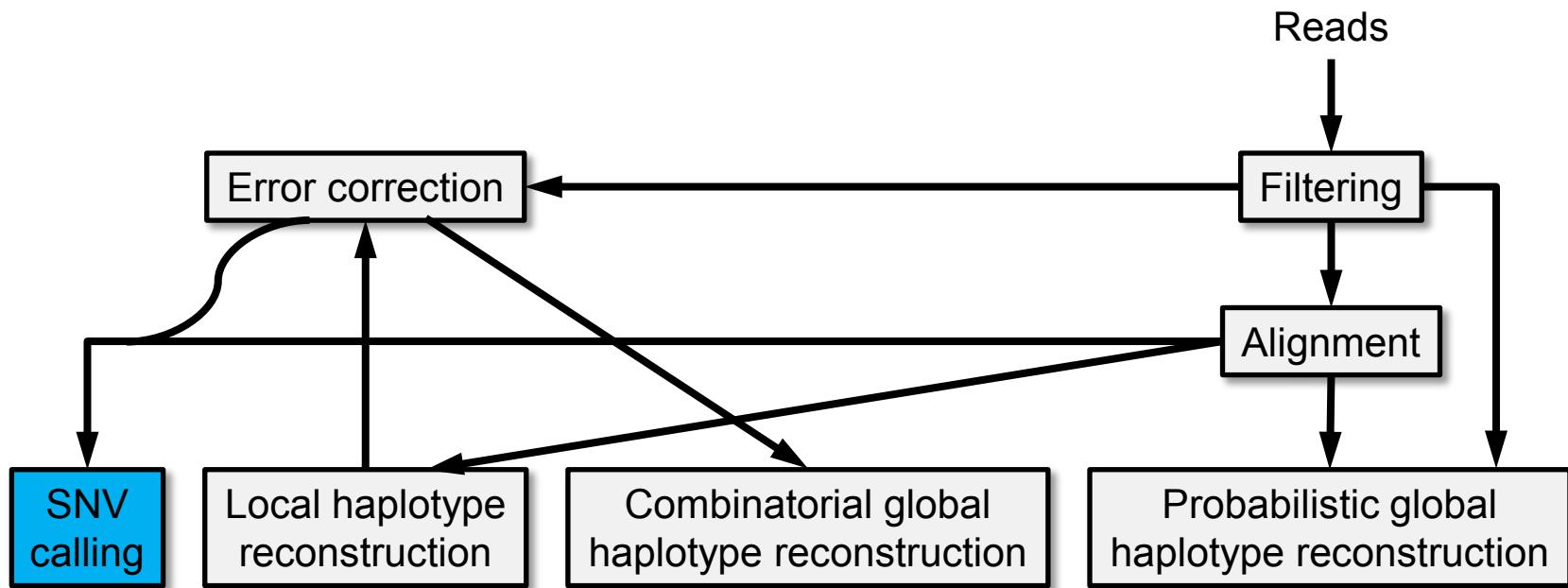


1. Locate reads by k-mer matching on reference (template) sequence (here: HIV-1 HXB2)
2. Build MSA in windows of size 70nt with overlap 20nt
3. Generate in-frame consensus sequences, concatenate
4. Align reads locally to consensus sequence (Smith-Waterman)
5. Remove indels causing frameshifts

# Read mapping improves with consensus over reference template sequence



# Overview



# SNV calling

CCTGAAATCACTCTATGGCA  
GAAAACACTCTATGGCAACG  
ATCACTCTTGGCAAGGCCG  
TCACTCTATGGCAACGACCC  
CTCTTTTGGGCACCGACCCA  
CTATGGTAACGACCCATCGT  
TATGGCAACGAGGCCATCGTC  
ATGGCACGGACCCATCCCC  
TGGCAACGACGCATCGTCAC  
CAACGACCCATCGTCACAAT  
CAACGACGCATCGTCACGAT  
AACGACCCTTCGTACAATA  
CGACCCATCGTCTCAATAAA  
GCATCGTCACAATATAGAGA  
CATCGTCACAAAATAGATAG  
TCGTCACAATAAGATAGGG  
TCACAATAAGATGGGG  
CCAATAAGATAGGG  
AATAAGGATGGGG  
ATAGATAGGA



SNV

# SNV detection in a mixed sample

- Let  $q$  be the per-site error rate.
- The number of errors at position  $i$  is

$$X_i \sim \text{Binom}(n_i, q)$$

where  $n_i$  is the coverage.

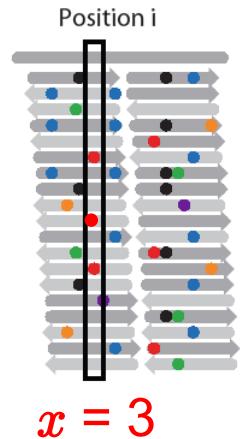
- With  $\lambda_i := n_i q = E[X_i]$ , approximately,

$$X_i \sim \text{Pois}(\lambda_i)$$

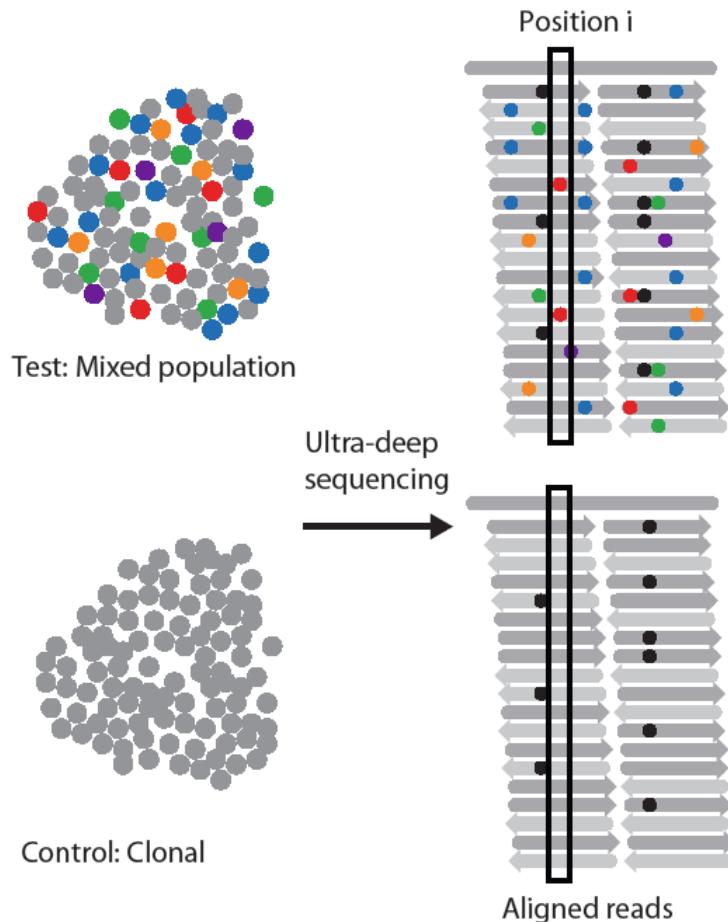
- For calling an allele observed  $x$  times, consider

$$P(X_i \geq x) = 1 - \sum_{k=0}^{x-1} \frac{\lambda_i^k e^{-\lambda_i}}{k!}$$

$$n_i = 19$$



# SNV detection via comparative sequencing



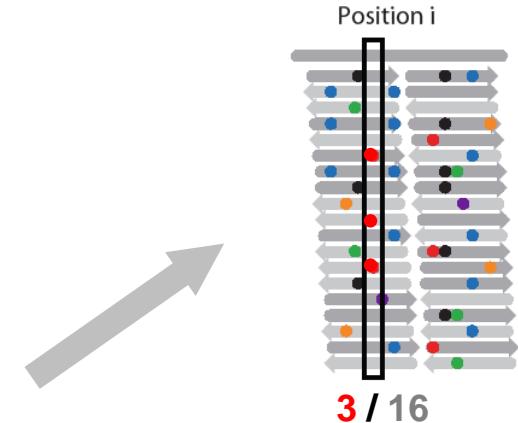
- Task: For each allele, decide whether its frequency in the tumor is higher than in normal control tissue.
- If so, the allele is called (i.e., likely to be a true biological variant), otherwise it is more likely to be an experimental error (i.e., noise).
- Requires a statistical framework for comparing allele counts

Gerstung et al (Nat Commun 2012)

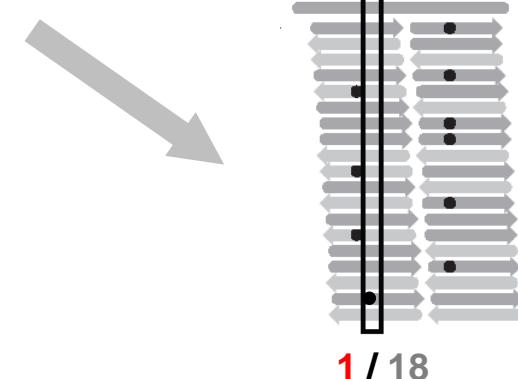
# Simple approach ([Varscan 2](#))

- For each allele, Fisher's exact test on

	No. of variant alleles	No. of all other alleles
Tumor	3	16
Normal	1	18



$$P = 0.34$$



- Correct for multiple testing

# Independent Poisson distributions (vipR)

- Allele count in tumor:

$$X_i \sim \text{Pois}(\mu_i = n_i q)$$

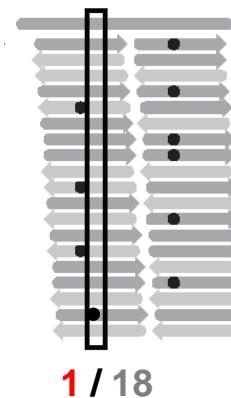
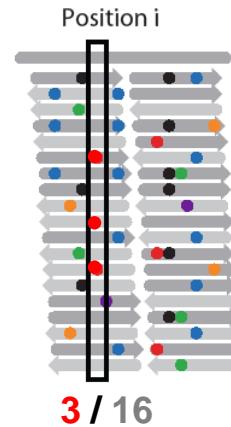
- Allele count in normal control:

$$Y_i \sim \text{Pois}(\lambda_i = m_i q)$$

- For calling an allele observed  $x$  times in the tumor and  $y$  times in the control, consider

$$P(X_i - Y_i \geq x - y) = 1 - \sum_{k=-\infty}^{x-y-1} \text{Skel}(k \mid \mu_i, \lambda_i)$$

where  $\text{Skel}$  is the Skellam distribution.

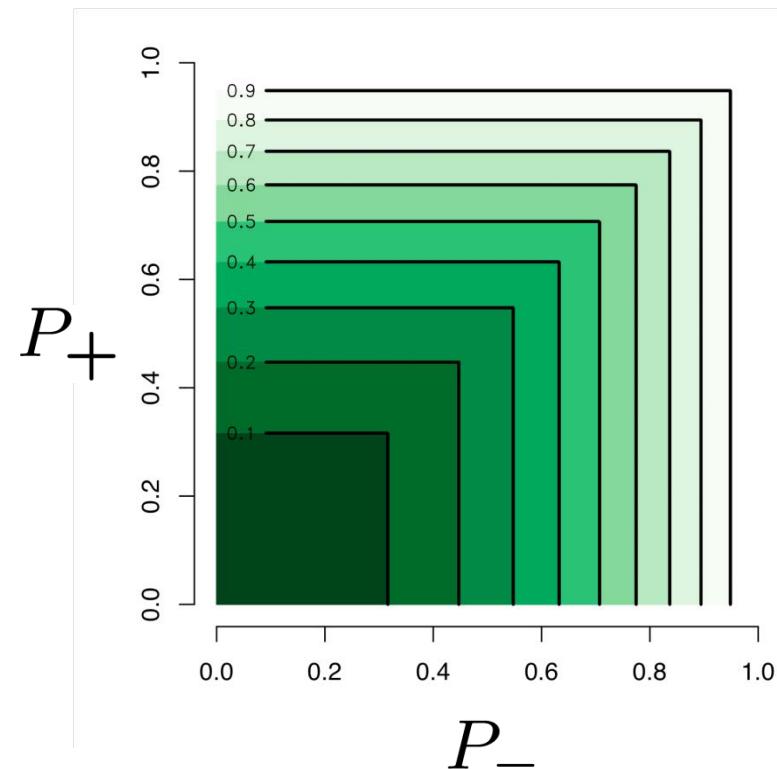


# Strand specificity

- Sequencing errors often occur predominantly on one strand,



whereas true variants do not.



$$P_{\text{combined}} = \max(P_+, P_-)^2$$

Altmann et al (Bioinformatics 2011), Gerstung et al (Nat Commun 2012)

# Independent, but non-identical, error rates

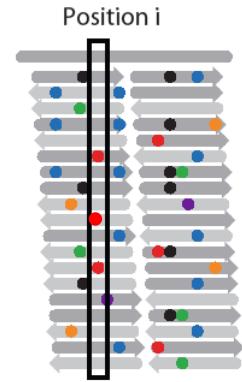
- Let  $q_{ik}$  be the error rate at position  $i$  on read  $k$ .
- If  $E_{ik}$  indicates an error at position  $i$  on read  $k$ ,  
 $P(E_{ik} = 1) = q_{ik}$ , then

$$X_i = \sum_{k=1}^{n_i} E_{ik}$$

is, in general, not binomial, but its distribution can be computed recursively using the discrete convolution formula.

- In the special case that  $q_{ik} = q_i$  for all reads  $k$ ,

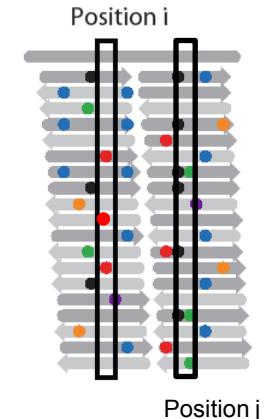
$$X_i \sim \text{Binom}(n_i, q_i)$$



# Non-independent and non-identical error rates

- Let  $E_{ijk}$  indicate the joint occurrence of errors at positions  $i$  and  $j$  on read  $k$ ,  $P(E_{ijk} = 1) = q_{ijk}$ .
- Then the distribution of the number of joint errors

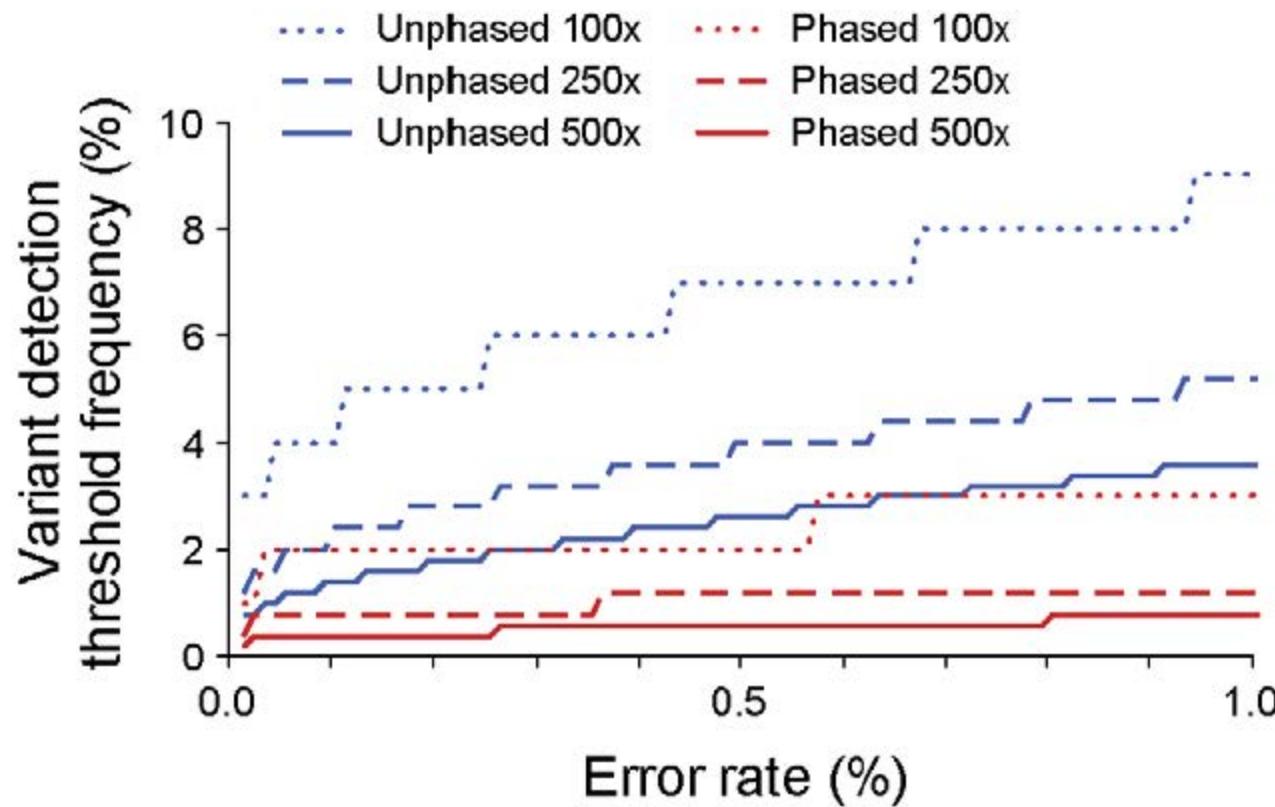
$$X_{ij} = \sum_{k=1}^{n_{ij}} E_{ijk}$$



can still be computed recursively using the discrete convolution formula.

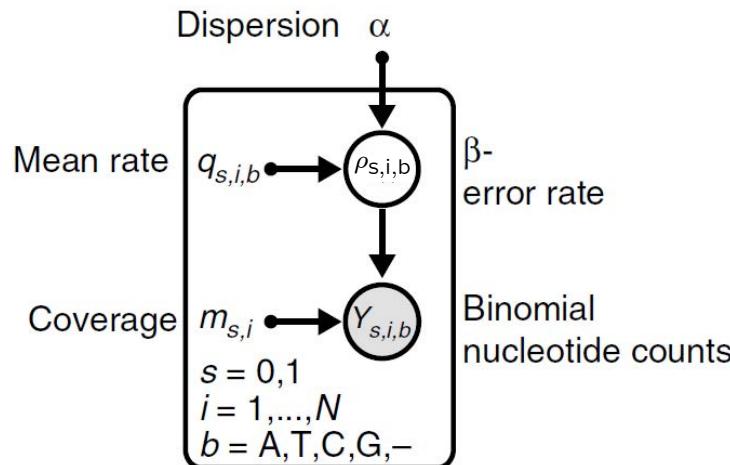
- Positions  $i$  and  $j$  are *phased*.
- Software: [V-Phaser](#)

# Phasing improves SNV calling



# Beta-binomial model (deepSNV)

- For each strand  $s$ , position  $i$ , and nucleotide  $b$ :



$$\rho_{s,i,b} \sim \text{Beta}(q_{s,i,b}, \alpha)$$

$$Y_{s,i,b} \sim \text{Binom}(m_{s,i}, \rho_{s,i,b})$$

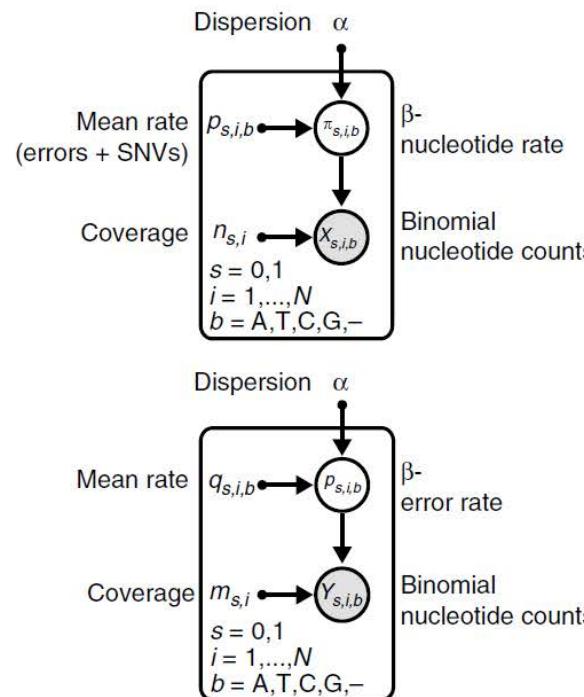
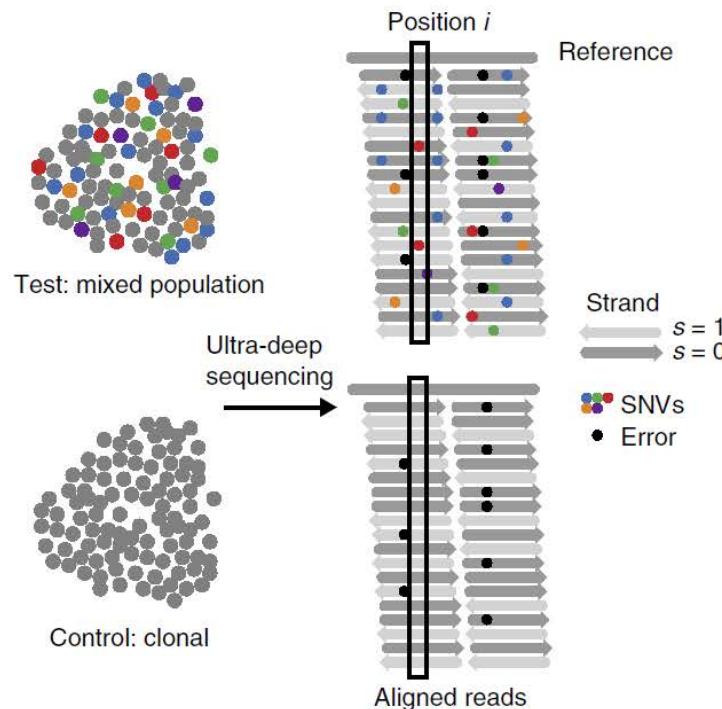
$$\Rightarrow Y_{s,i,b} \sim \text{BetaBin}(m_{s,i}, q_{s,i,b}, \alpha)$$

$$\mathbb{E}[Y_{s,i,b}] = m_{s,i}q_{s,i,b}$$

$$\text{Var}[Y_{s,i,b}] \approx m_{s,i}q_{s,i,b} + (m_{s,i}q_{s,i,b})^2/\alpha, \quad \text{and } CV \approx 1/\alpha$$

Gerstung et al (Nat Commun 2012)

# Minor allele frequency test



## deepSNV algorithm

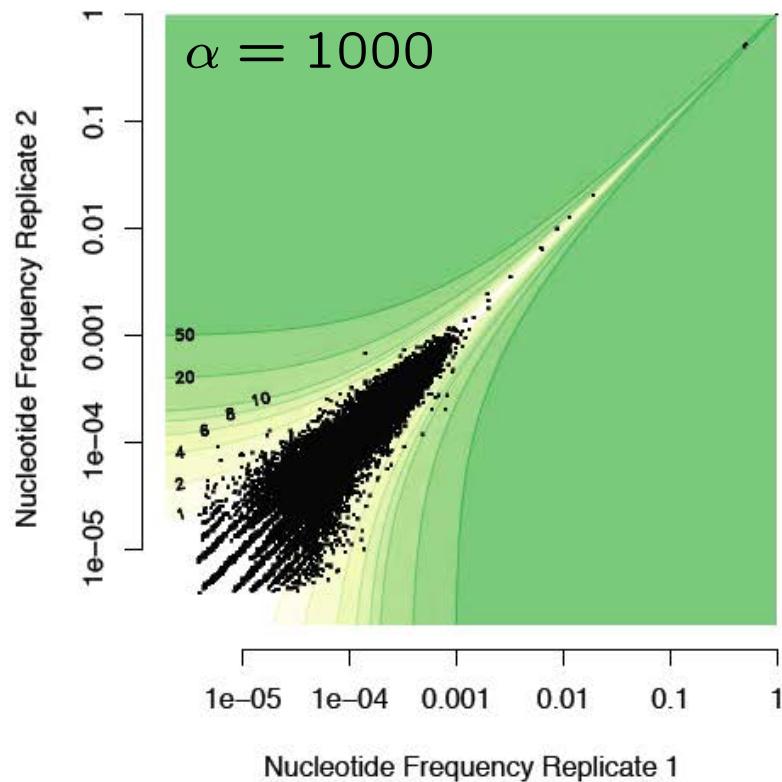
1. Test for each strand  $s$ , position  $i$ , and nucleotide  $b$ :  
Null-hypothesis: no SNV  
 $p_{s,i,b} = q_{s,i,b}$   
Alternative: SNV  $b$ , frequency  $f$   
 $p_{s,i,b} = q_{s,i,b} + f > q_{s,i,b}$
2. Combine  $P$ -values from each strand
3. Adjust  $P$ -values for multiple testing

$$-2 \log \frac{L(X_{s,i,b}, Y_{s,i,b} \mid H_0)}{L(X_{s,i,b}, Y_{s,i,b} \mid H_1)} \sim \chi^2_1$$

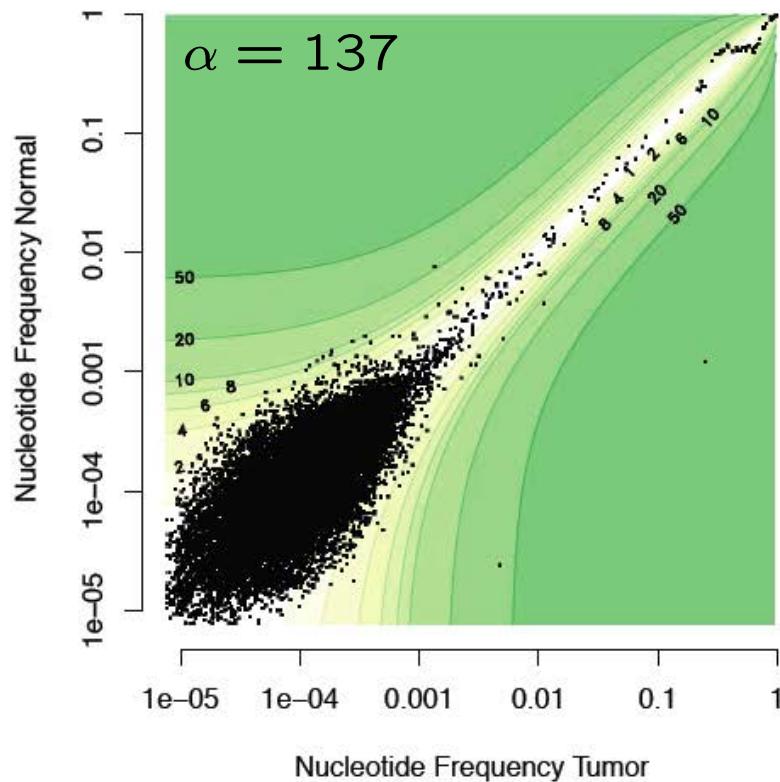
Gerstung et al (Nat Commun 2012)

# Overdispersion

phiX replicates

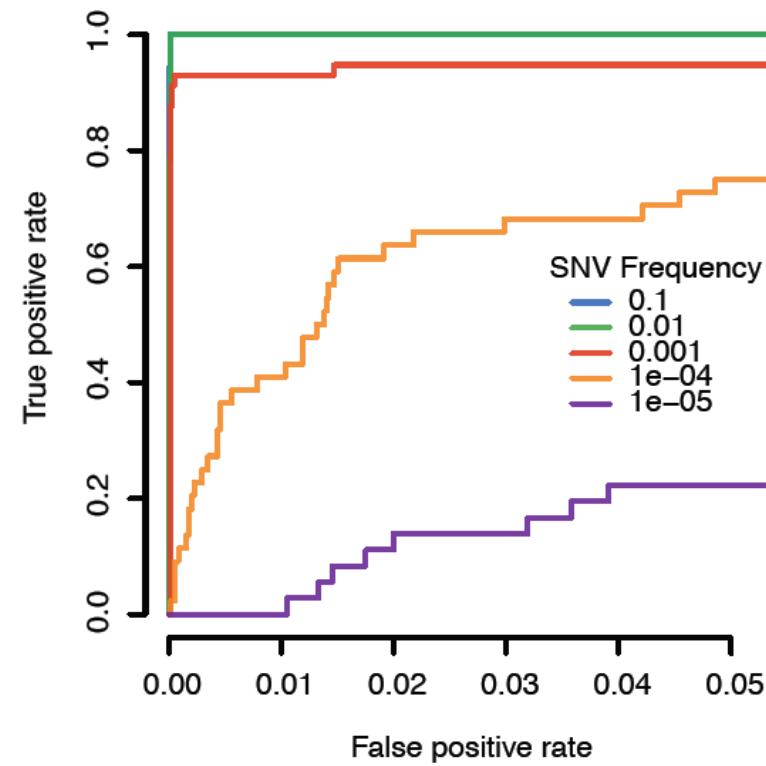
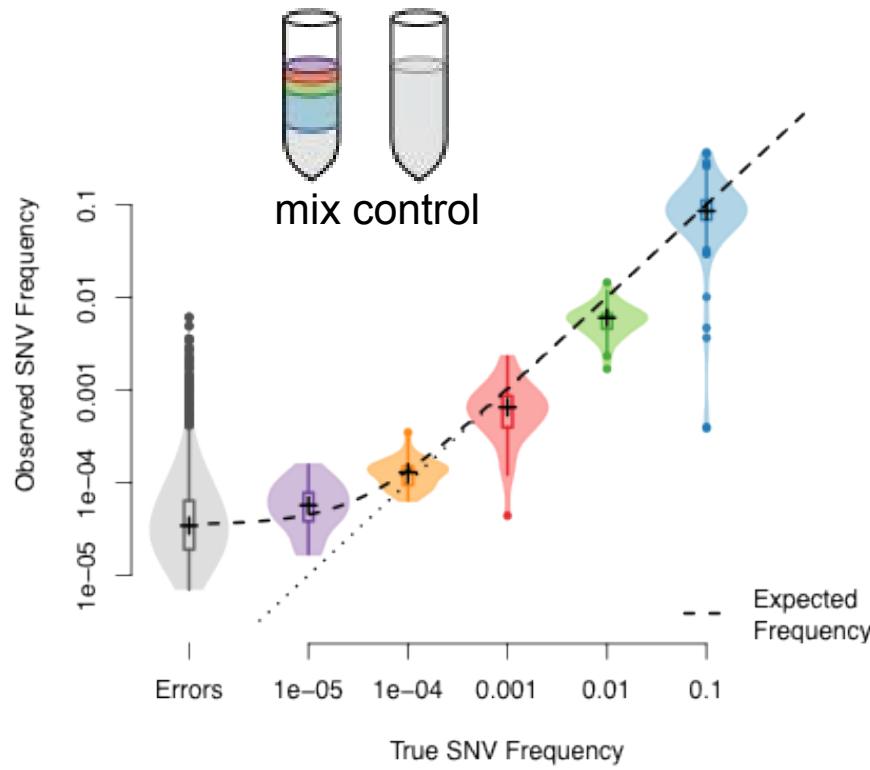


tumor vs. normal



Gerstung et al (Nat Commun 2012)

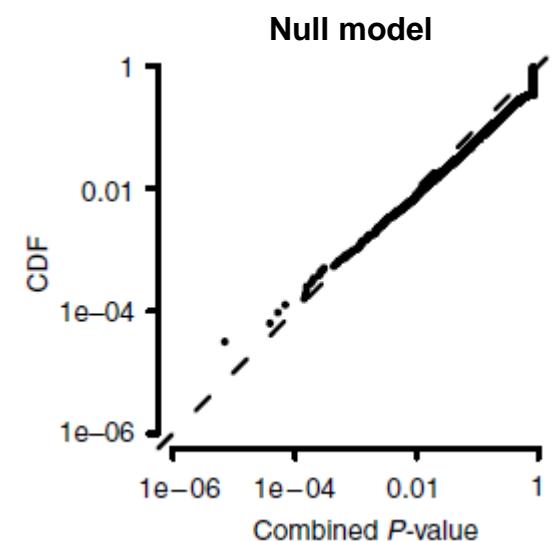
# Test data: Known mix of 5 clones, coverage $10^5$



Gerstung et al (Nat Commun 2012)

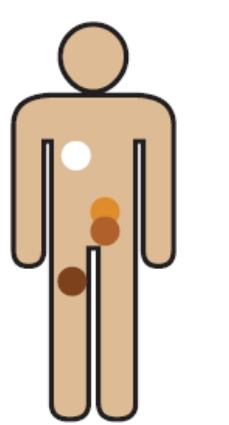
# Performance comparison

	SNV frequency					Errors	CPU time
	$10^{-1}$	$10^{-2}$	$10^{-3}$	$10^{-4}$	$10^{-5}$		
Truth	101	46	57	44	36	5,740*	
deepSNV	101	46	53	3	0	2	141s
FDR < 0.05							
deepSNV	99	46	49	0	0	0	141s
FWER < 0.05							
VarScan <sup>17</sup>	96	42	26	32	8	472	361s†
pileup2snp							
VarScan	50	29	34	1	0	33	439s†
somatic							
CRISP <sup>18</sup>	91	43	46	0	0	16	44h
vipR <sup>19</sup>	98	43	30	0	0	1	279s†

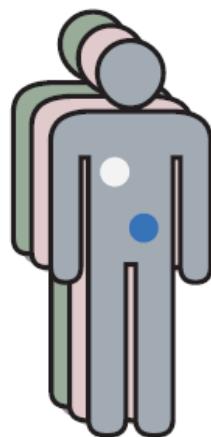


Gerstung et al (Nat Commun 2012)

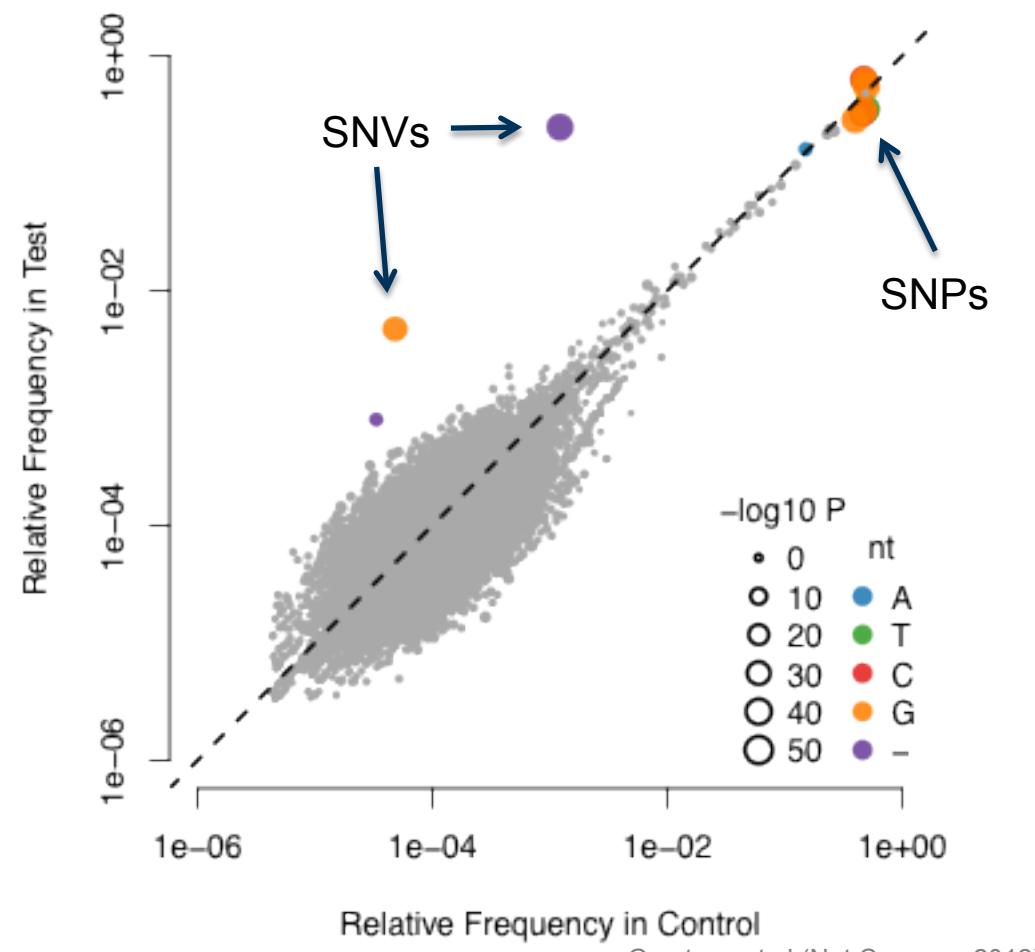
# Application: Renal cell carcinoma



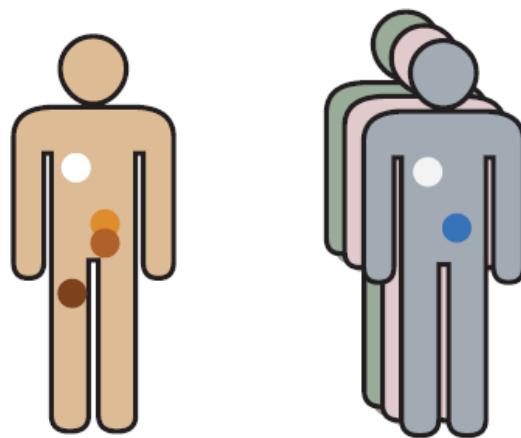
**1x multiple lesions**  
Primary 1  
Primary 2  
Metastasis



**3x tumor-normal:**  
Tumor 1  
Tumor 2  
Tumor 3

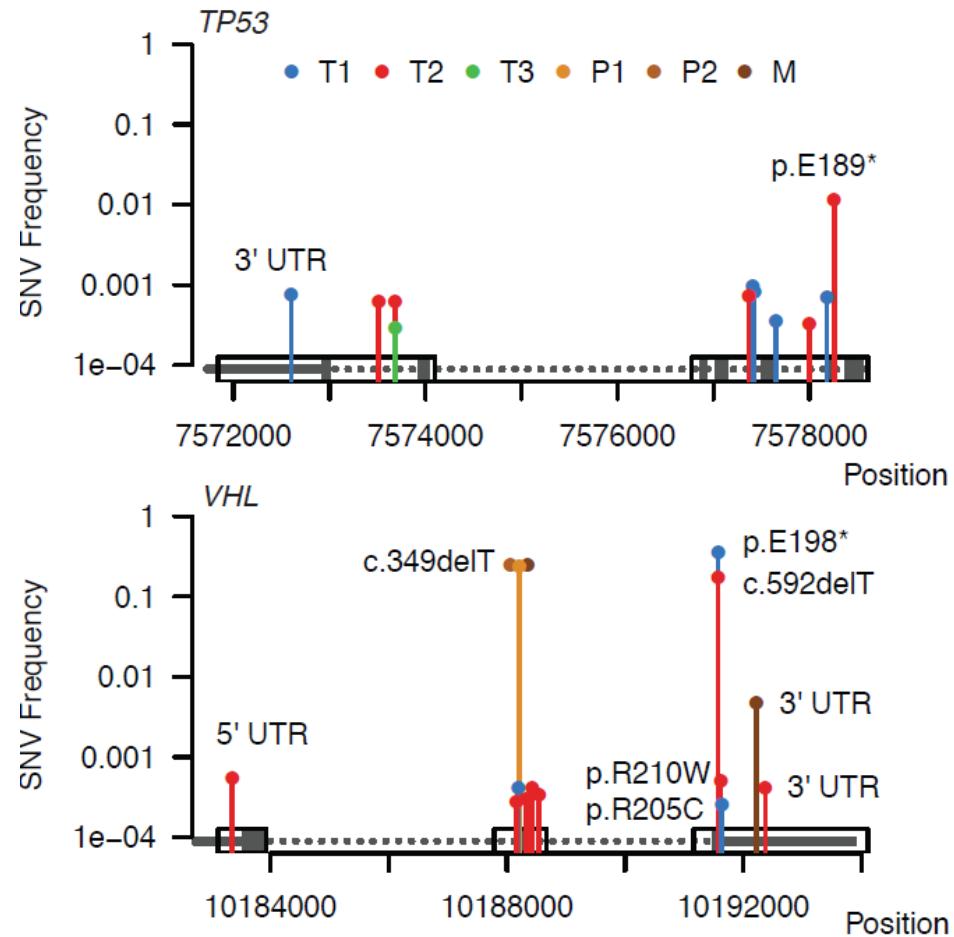


# Application: Renal cell carcinoma



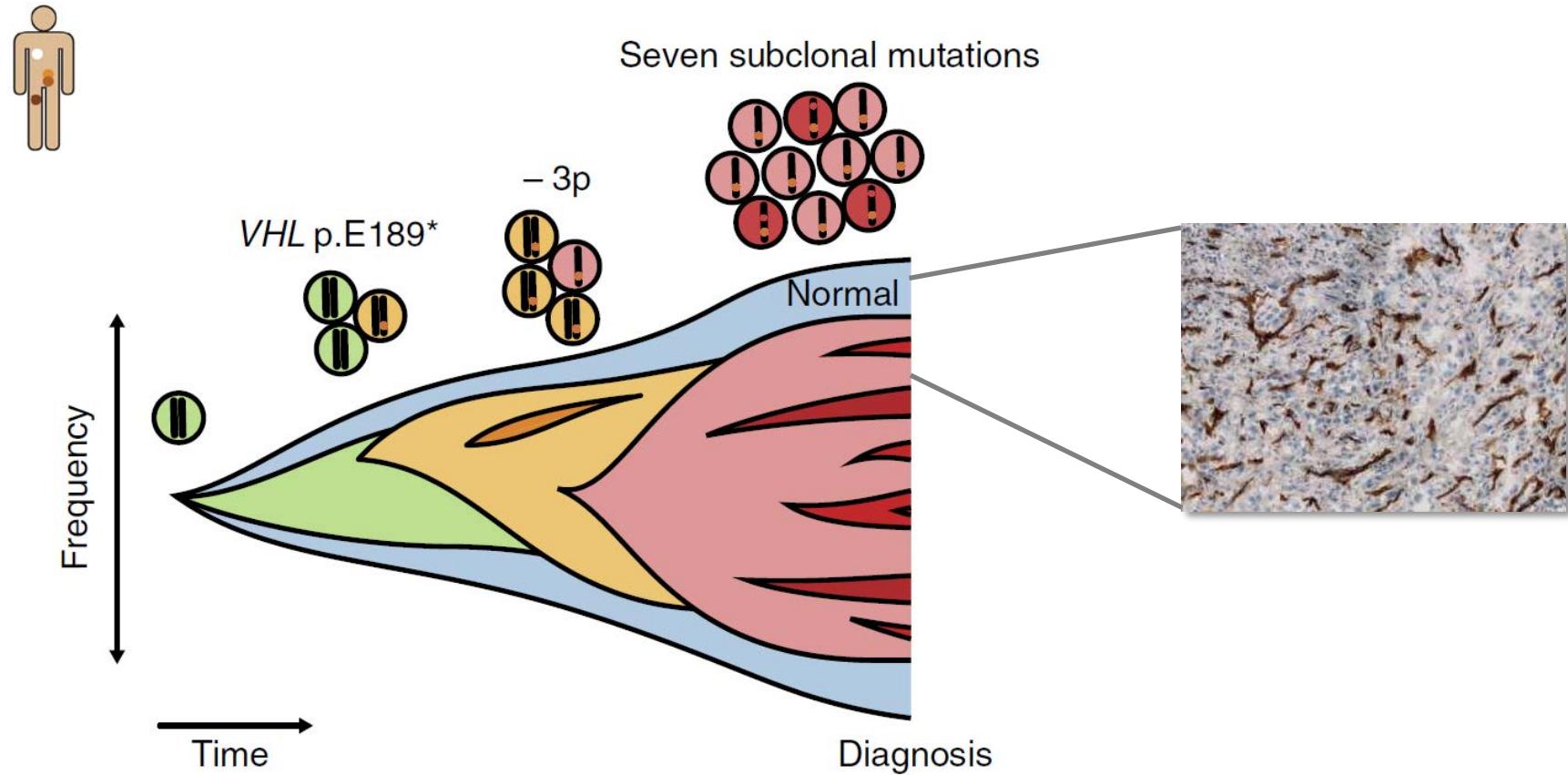
**1x multiple lesions**  
 Primary 1  
 Primary 2  
 Metastasis

**3x tumor-normal:**  
 Tumor 1  
 Tumor 2  
 Tumor 3



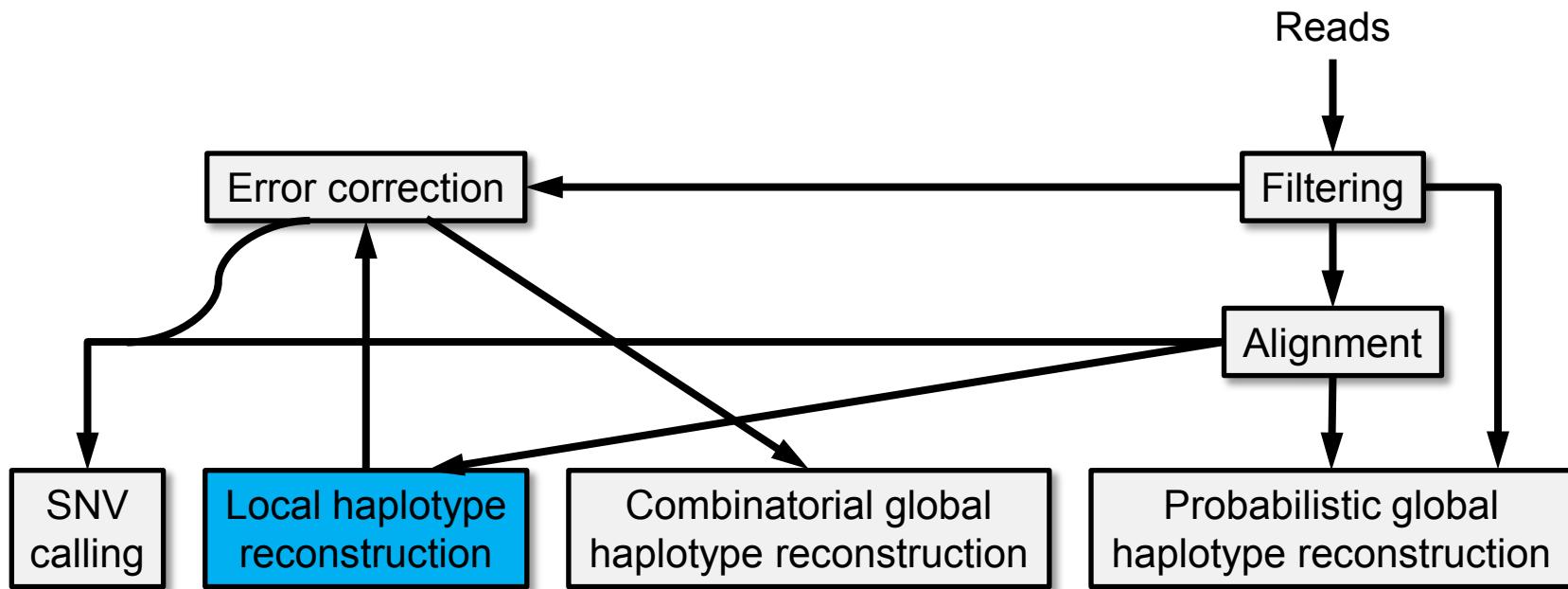
Gerstung et al (Nat Commun 2012)

# Evolutionary history



Gerstung et al (Nat Commun 2012)

# Overview



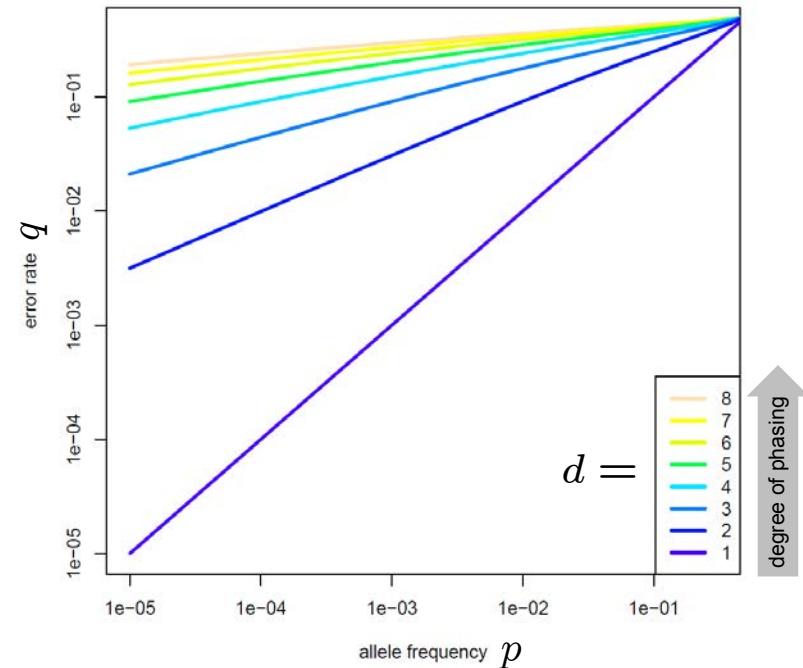
# Local haplotype inference

CCTGAAATCACTCTATGGCA  
GAAAACTCACTCTATGGCAACG  
ATCACTCTTGGCAAGGCCG  
TCACTCTATGGCAACGACCC  
CTCTTTTGGCACCGACCCA  
CTATGTTAACGACCCCATCGT  
TATGCCAACGAGCCCATCGTC  
ATGCCCACGGACCCATCCCC  
TGGCAACGACGCATCGTCAC  
CAACGACCCCATCGTCACAAT  
CAACGACCCCATCGTCACGAT  
AACGACCCTTCGTCACAATA  
CGACCCCATCGTCTCAATAAA  
GCATCGTCACAATATAGAGA  
CATCGTCACAAAATAGATAG  
TCGTCACAATAAGATAGGG  
TCACAATAAGATGGGG  
CCAATAAGATAGGG  
AATAAGGATGGGG  
ATAGATAGGA

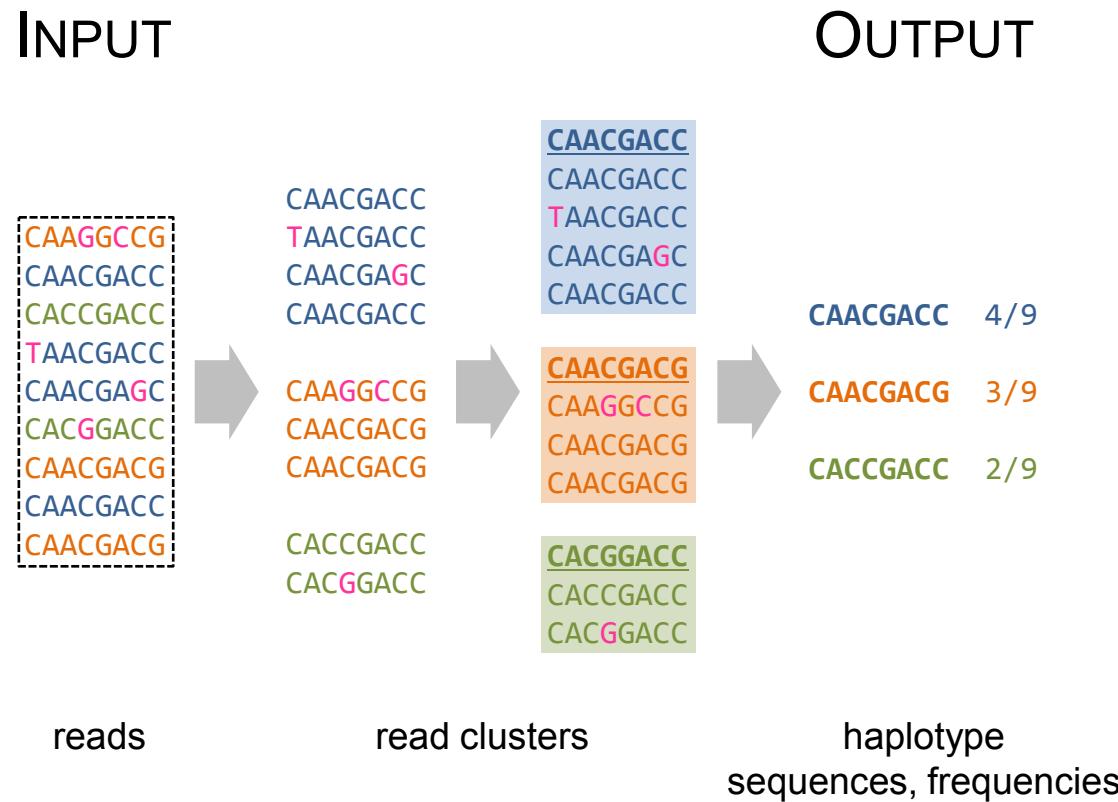
local

# Phasing improves limit of detection

- In a simple model,  $d$  phased SNVs (i.e., a haplotype) of frequency  $p$  can be called correctly at an i.i.d. error rate  $q$ , if and only if  $q^d < p$ .

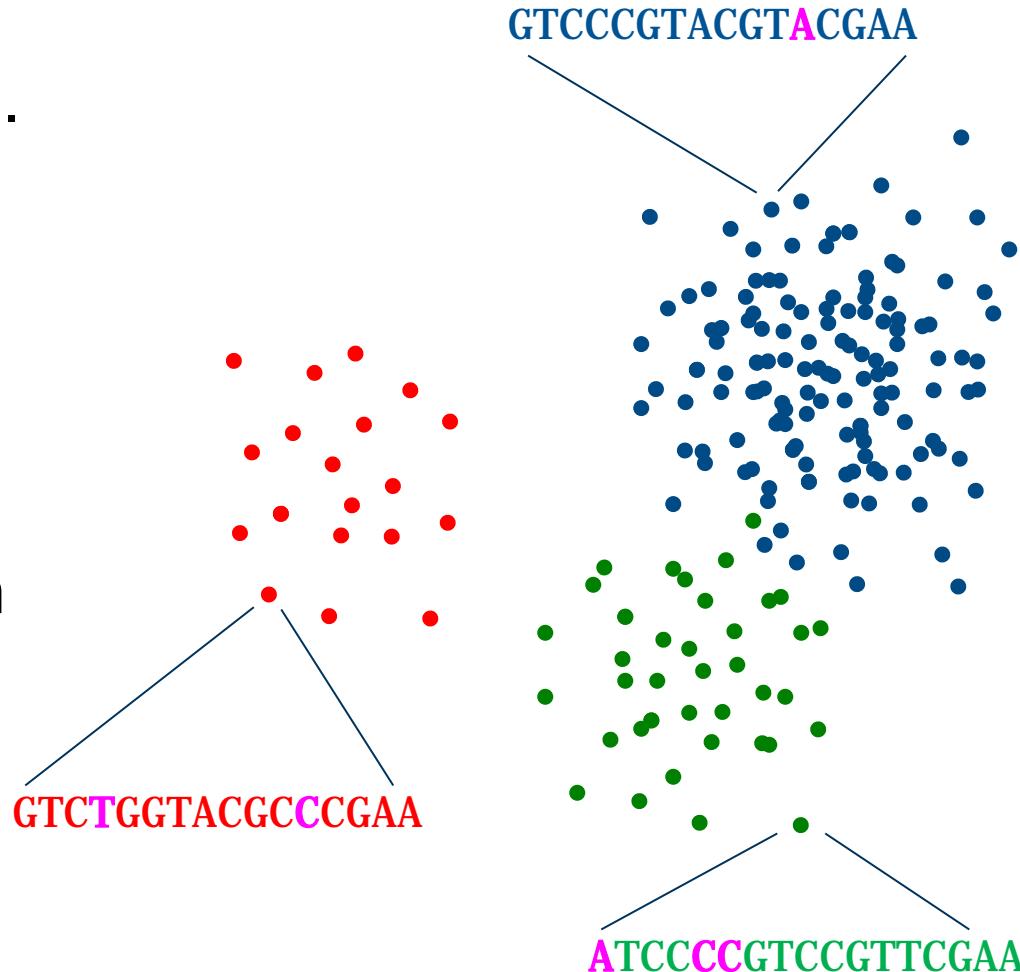


# Local haplotype inference via read clustering



# Probabilistic clustering

- We assume an i.i.d. error rate  $1 - \theta$ .
- Main problem: number of clusters (haplotypes) unknown
- Bayesian approach
  - Dirichlet process mixture (Chinese restaurant process)
  - Gibbs sampler



# Likelihood

- The probability of observed reads  $\mathbf{r}$ , given haplotype assignments  $\mathbf{c}$  and haplotypes  $\mathbf{h}$ , is

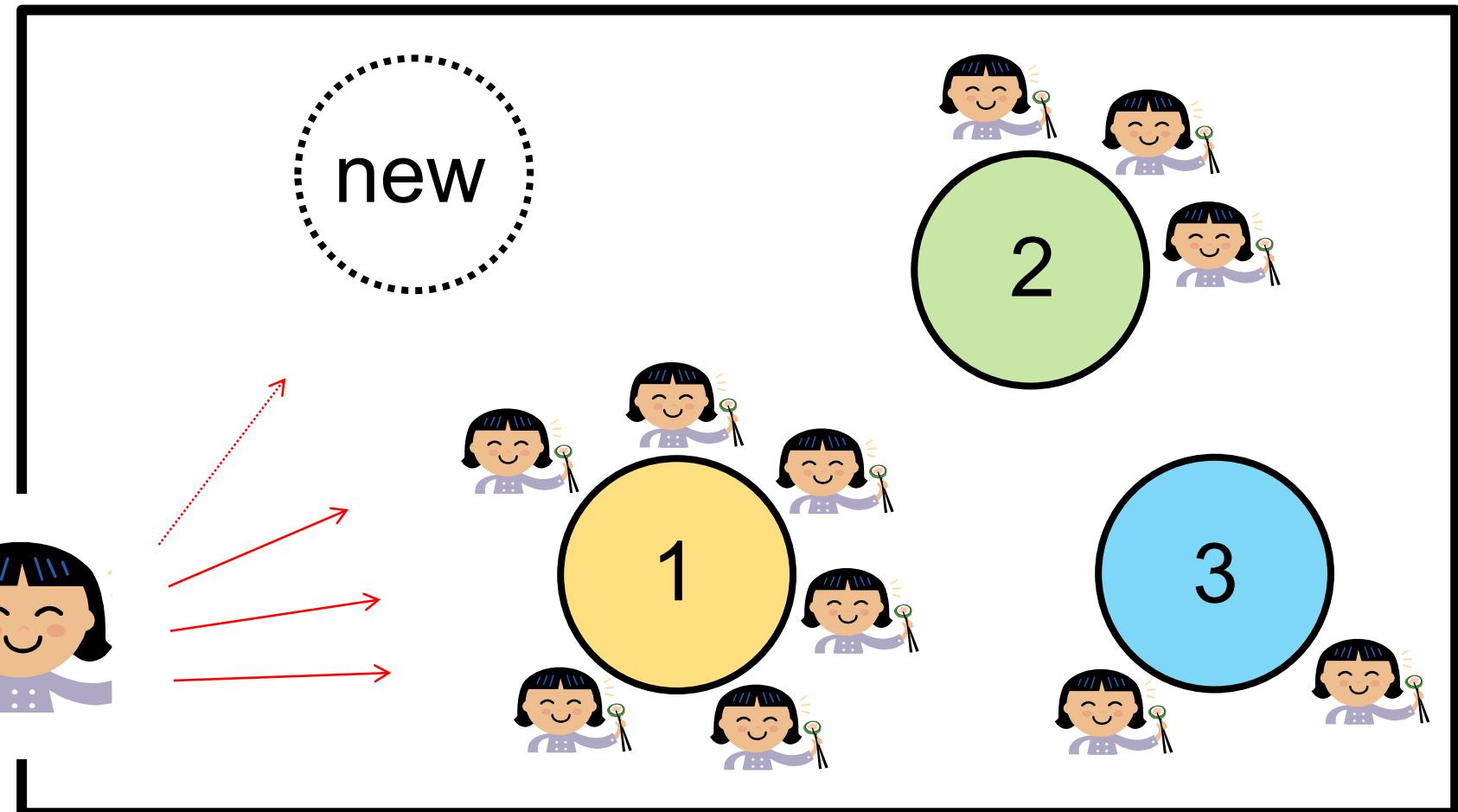
$$P(\mathbf{r} | \mathbf{c}, \mathbf{h}) = \prod_k \theta^{m_k} \left( \frac{1 - \theta}{|B - 1|} \right)^{m'_k},$$

$$m_k = \sum_{i,j} \mathbb{I}(r_{i,j} = h_{k,j}) \mathbb{I}(c_i = k) \quad \text{matches}$$

$$m'_k = \sum_{i,j} \mathbb{I}(r_{i,j} \neq h_{k,j}) \mathbb{I}(c_i = k) \quad \text{mismatches}$$

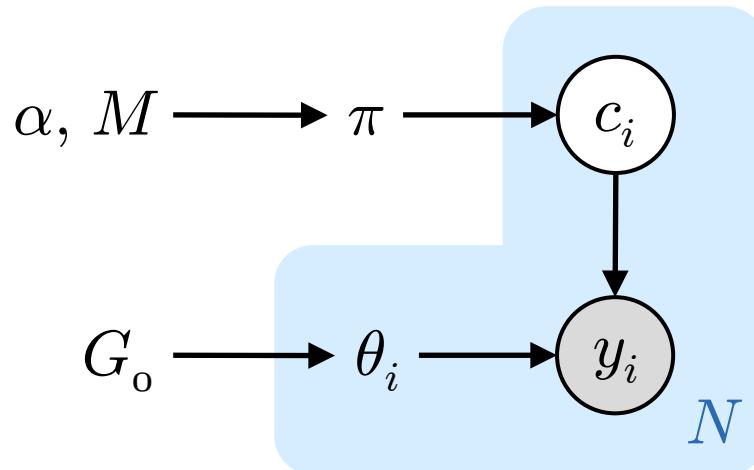
where  $B$  is the alphabet size.

# Chinese restaurant process



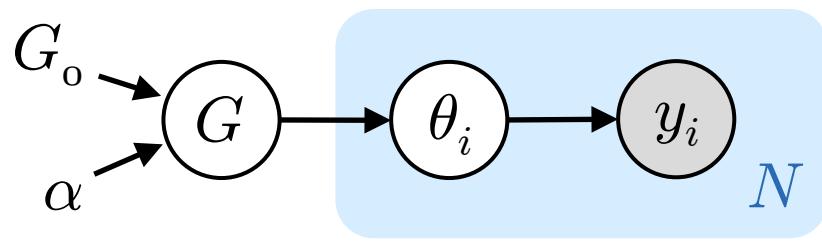
# Finite mixture model, $K < \infty$

$$y_i \sim \sum_{j=1}^K \pi_j F(y_i | \theta_j)$$



$$\begin{aligned} y_i | c_i, \theta &\sim F(y | \theta_{c_i}) \\ c | \pi &\sim \text{Discrete}(\pi) \\ \theta_i &\sim G_0(\theta) \\ \pi &\sim \text{Dir}(\alpha, M) \end{aligned}$$

# Dirichlet process mixture, $K \rightarrow \infty$

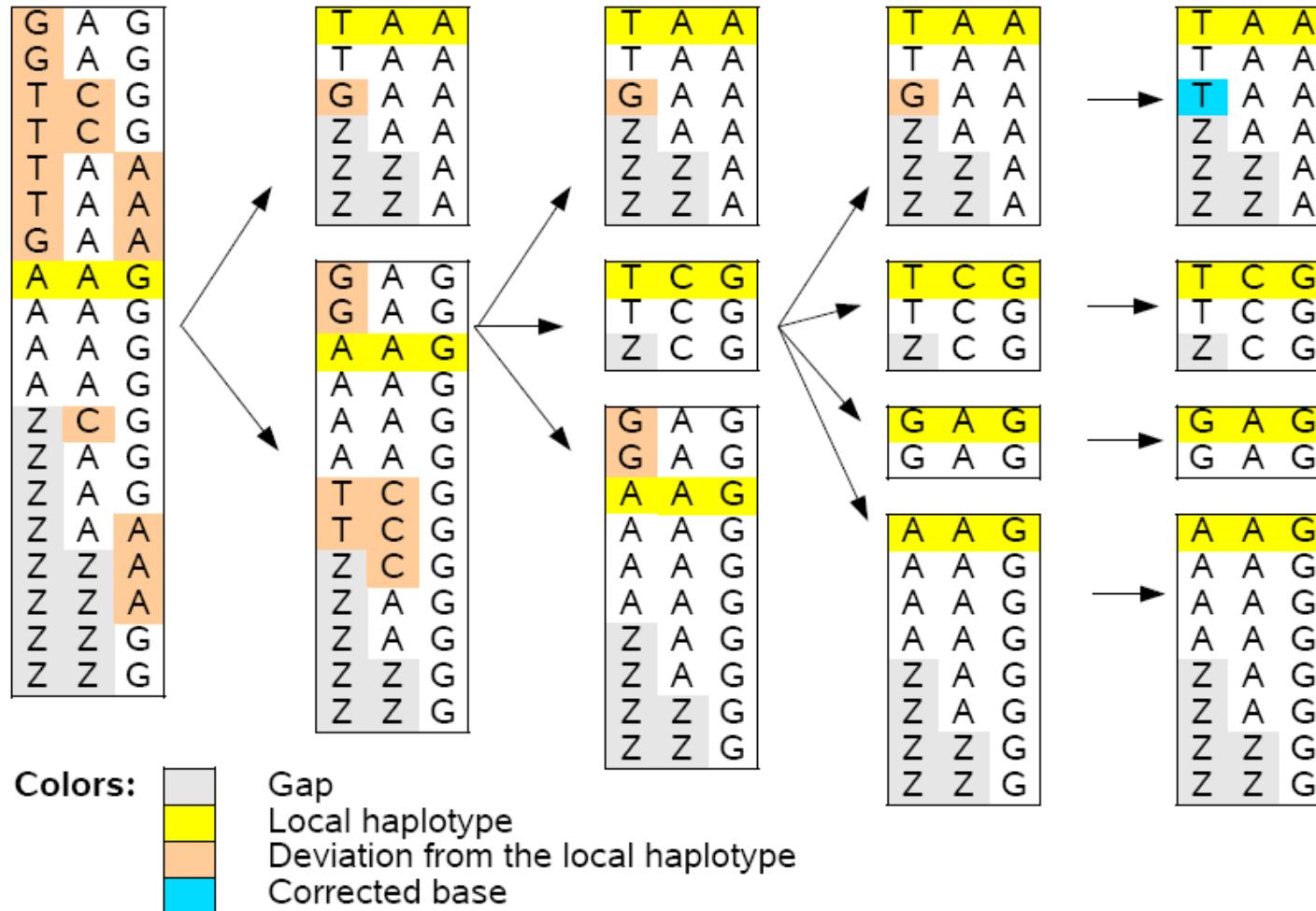


$$\begin{aligned}
 y_i \mid \theta_i &\sim F(y \mid \theta_i) \\
 \theta_i \mid G &\sim G(\theta) \\
 G &\sim \text{DP}(\alpha, G_0(\theta))
 \end{aligned}$$

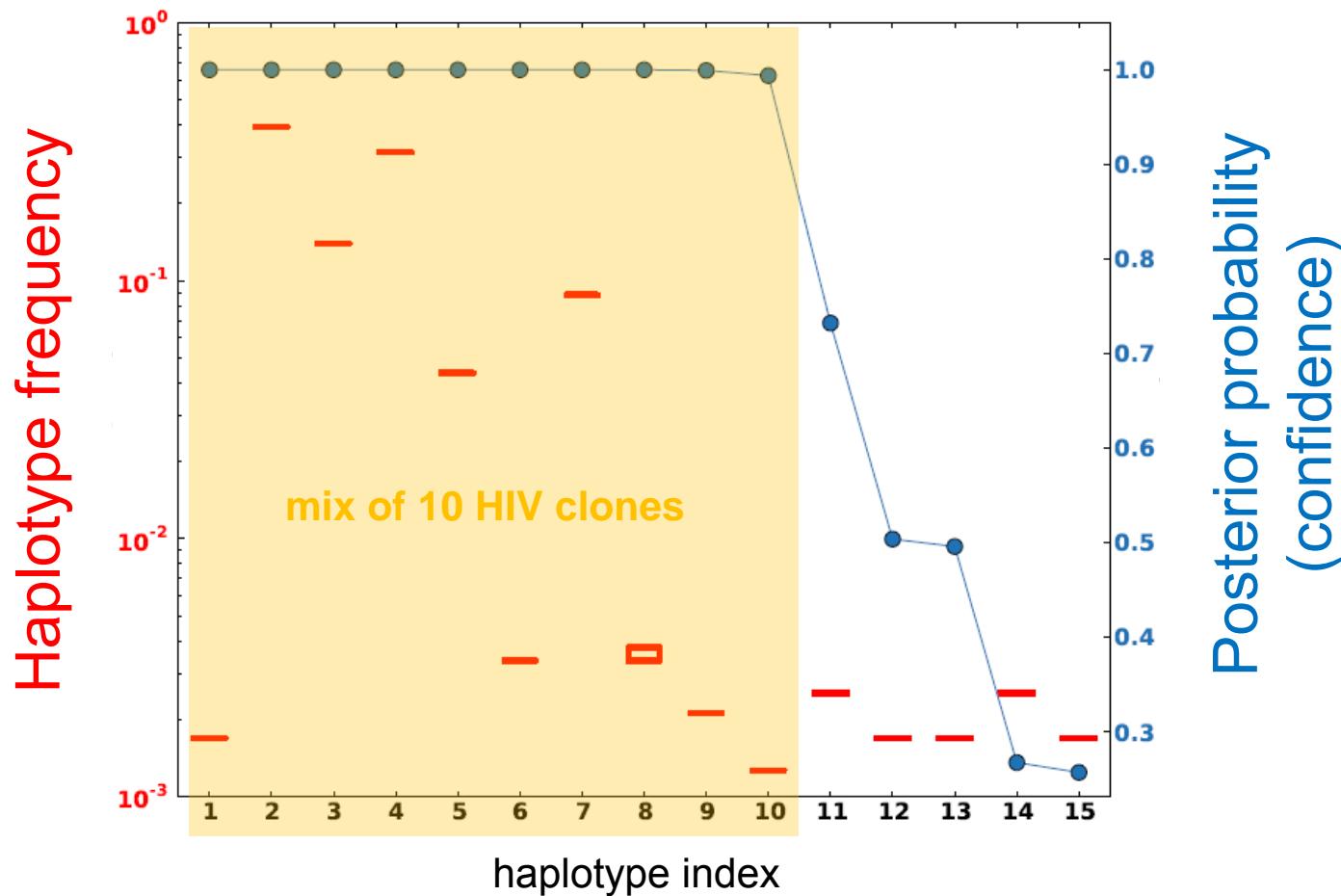
$$P(c_i = c \mid c_{1:i-1}) \rightarrow \frac{n_{i,c}}{i - 1 + \alpha}$$

$$P(c_i \neq c_j \text{ for all } j < i \mid c_{1:i-1}) \rightarrow \frac{\alpha}{i - 1 + \alpha}$$

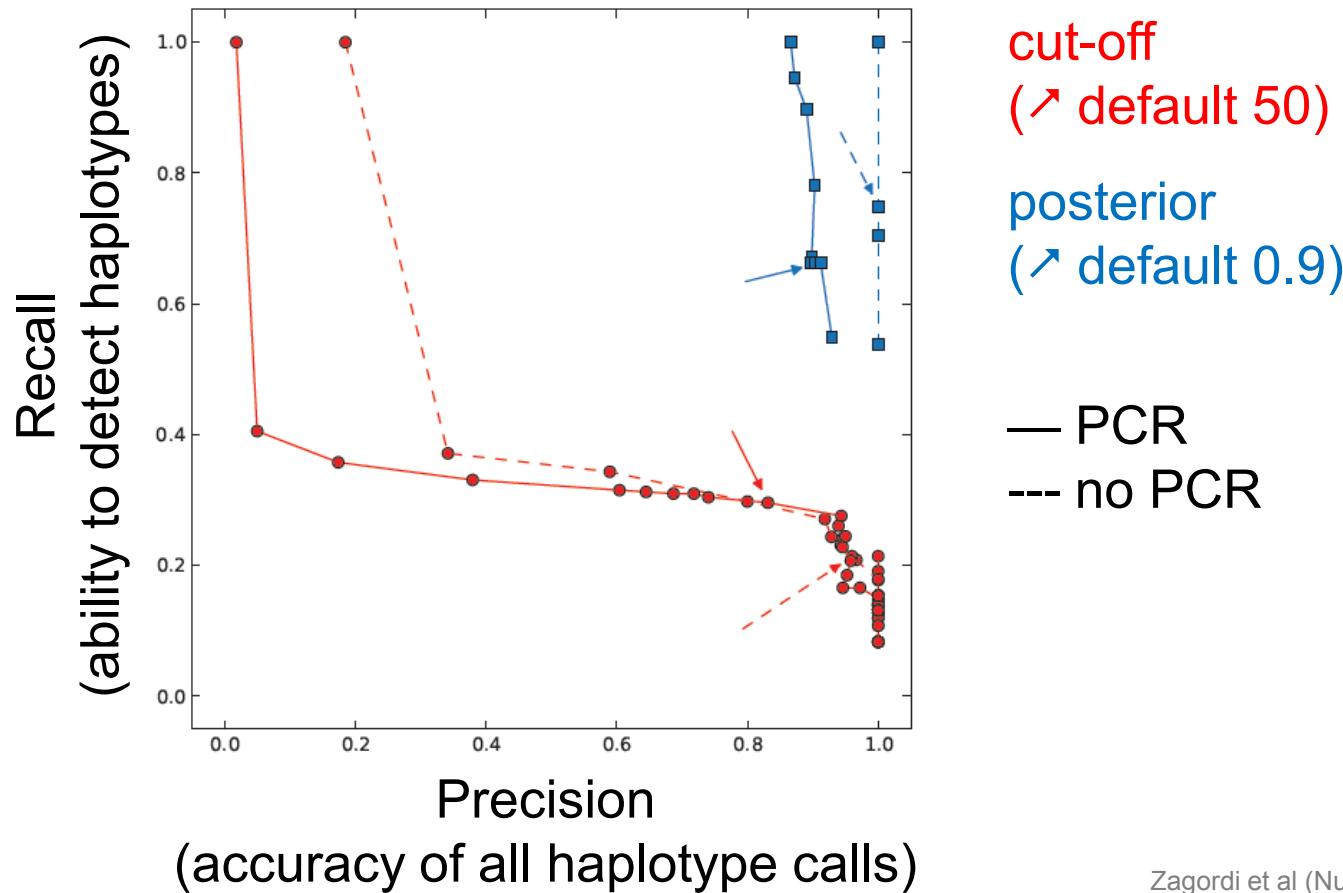
# Example: 19 reads of length 3



# Output: haplotypes, frequencies, posterior

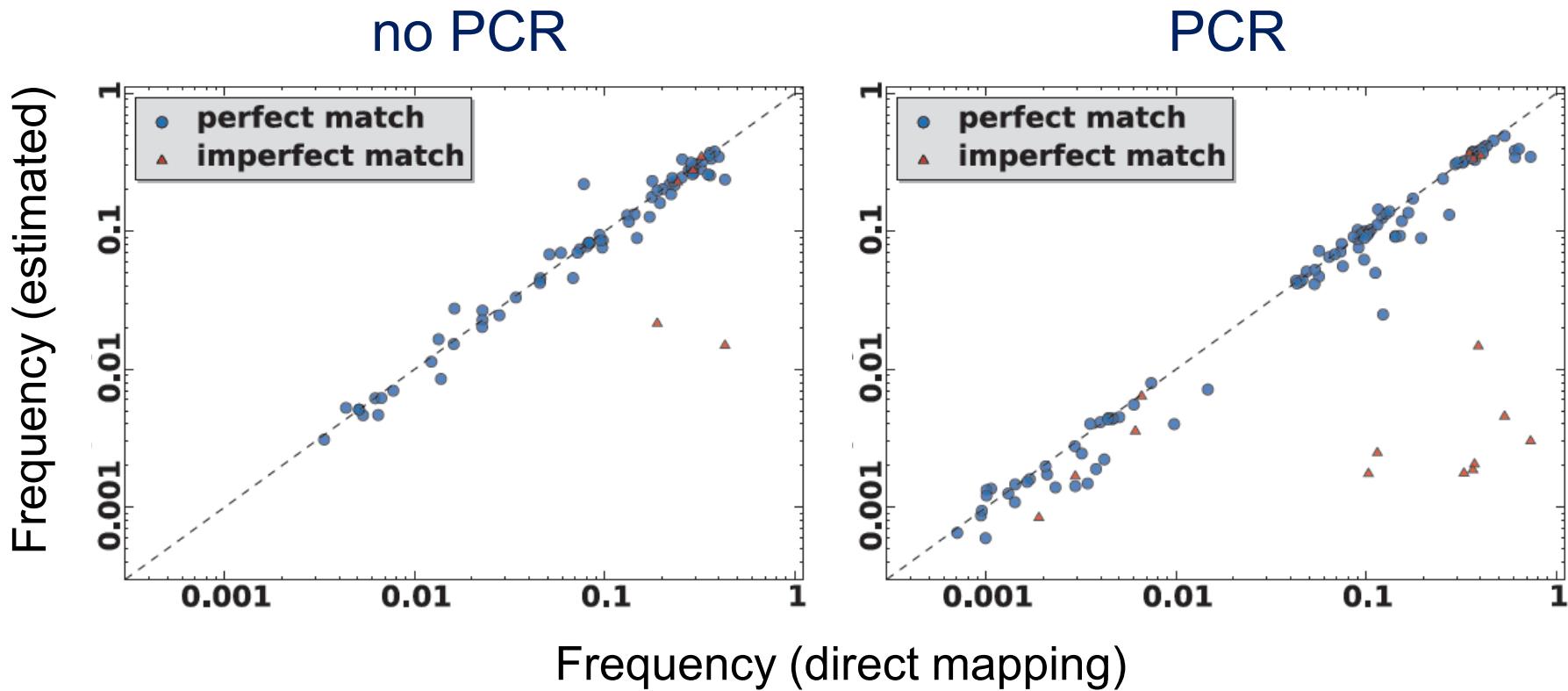


# Performance of haplotype reconstruction, ROC curve (control experiment of 10 cloned viruses)

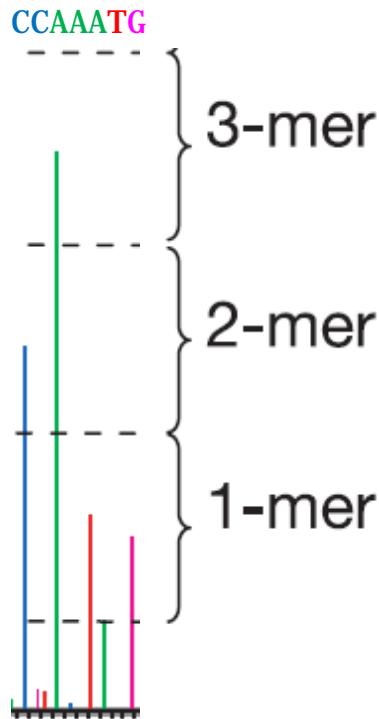


Zagordi et al (Nucl Acids Res 2010)

# Haplotype frequency estimation



# Clustering flowgrams ([AmpliconNoise](#))

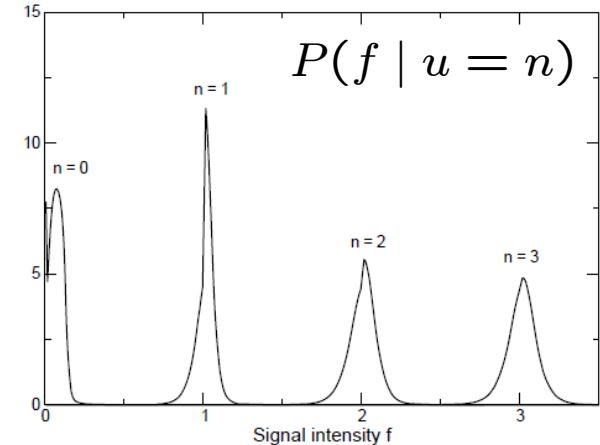


T: 0 0 1 0  
 A: 0 3 0 0  
 C: 2 0 0 0  
 G: 0 0 0 1

- Observed flowgrams  $f$  are obtained from ideal flowgrams  $u$  subject to noise (which is estimated from control data).
- Flowgrams are clustered (around ideal flowgram centers) with the distance measure

$$d(f, u) = -\frac{1}{M} \sum_{i=1}^M \log P(f_i | u_i)$$

using an EM algorithm.

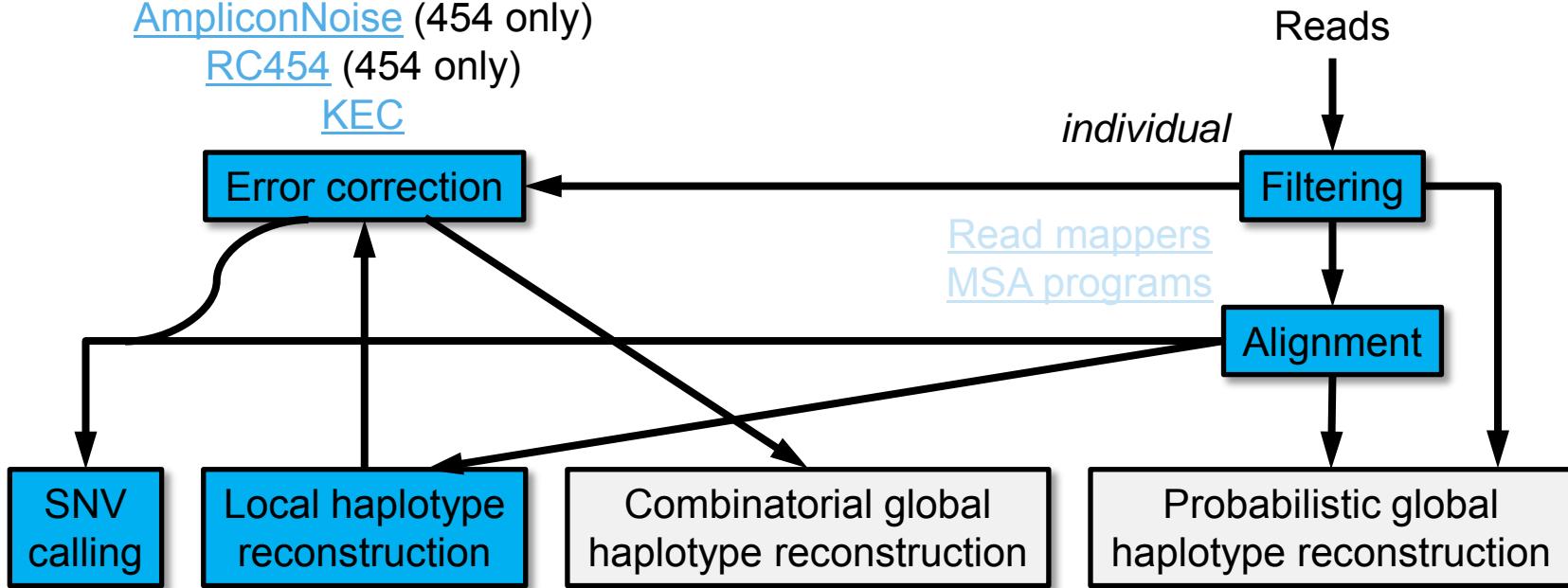


# Software

[AmpliconNoise](#) (454 only)

[RC454](#) (454 only)

[KEC](#)



[deepSNV](#)

[VarScan](#)

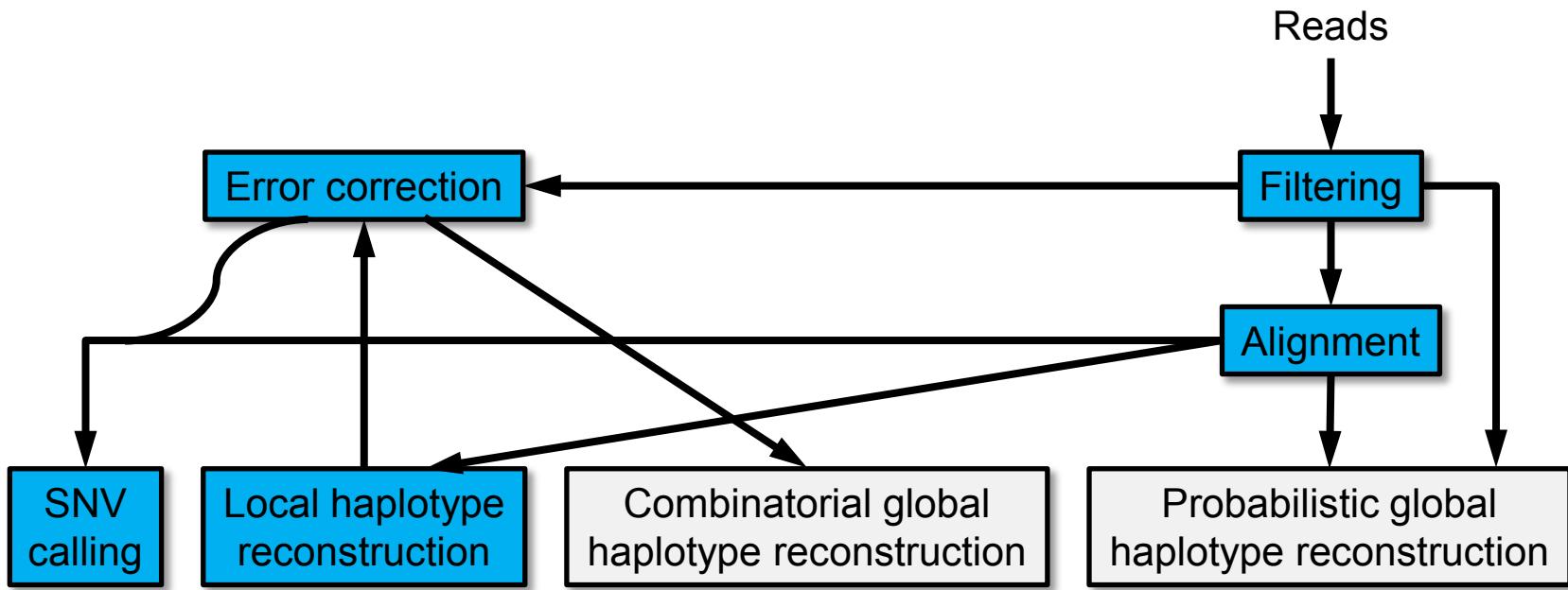
[CRISP](#)

[vipR](#)

[V-Phaser](#)

[ShoRAH](#)  
and all probabilistic  
global reconstruction  
programs

# Summary and discussion



- Preprocessing, uncertainty
- Direct SNV calling vs. local-to-SNV

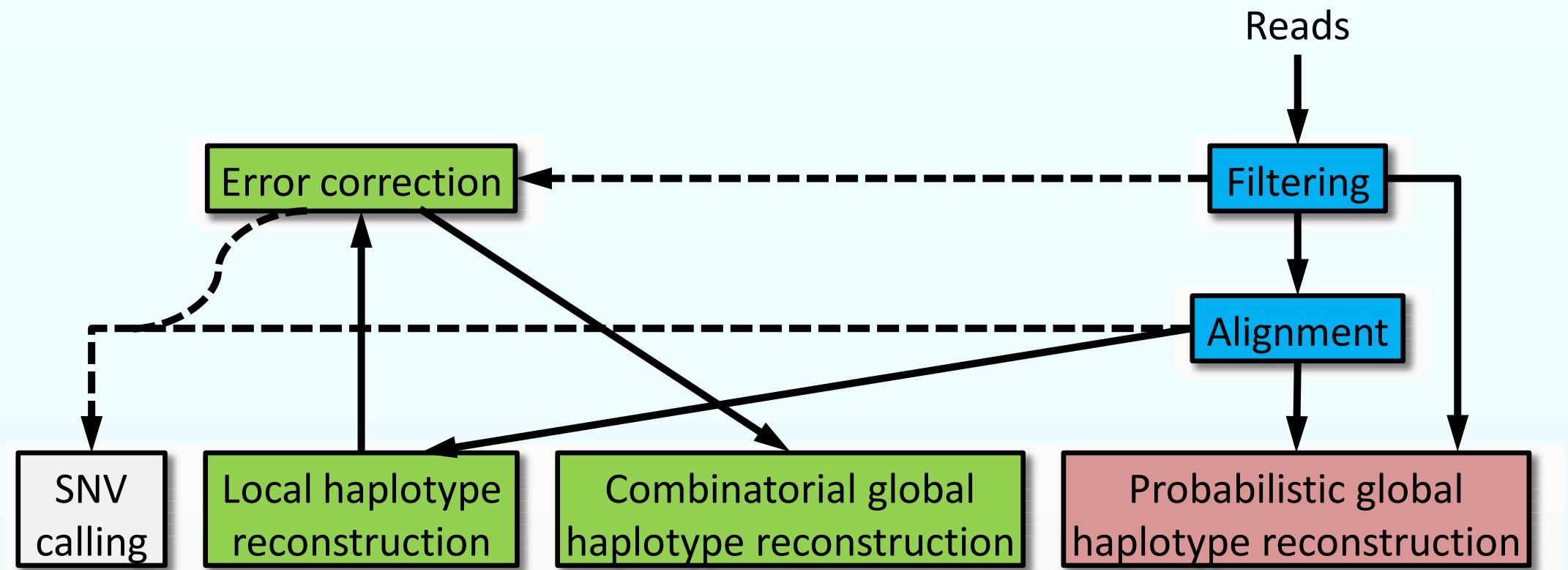
# ECCB 2012 Tutorial 4

## Global Haplotype Assembly

Volker Roth, Department of Mathematics and Computer Science,  
University of Basel



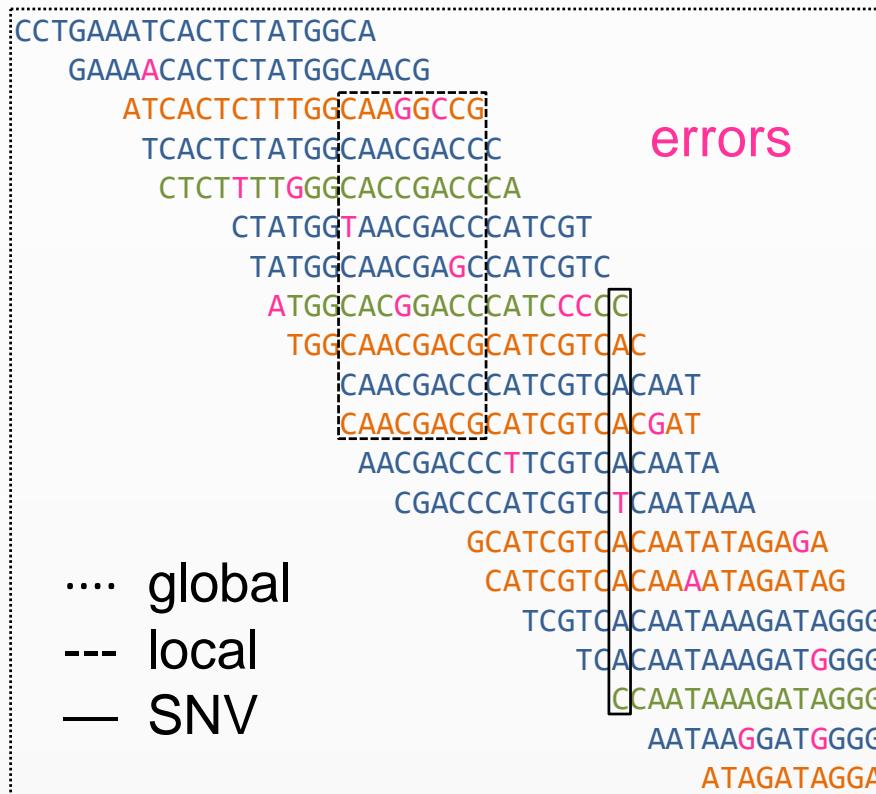
# Overview



# Global Haplotype Assembly

CCTGAAATCACTCTATGGCAACGACCCATCGTCACAATAAGATAGGG 60%  
CCTCAAATCACTCTTGGCAACGACGCATCGTCACAATATAGATAGGA 30%  
CCTCAAATCTCTTTGGCACCGACCCATCGTCCAATAAGATAGGG 10%

NGS



# Global Haplotype Assembly

## Combinatorial assembly

- Network flow  
(Westbrooks et al, 2008)
- Minimal path cover  
(Eriksson et al, 2008)
- Greedy paths sampling  
(Prosperi et al., 2011, 2012)
- Graph coloring  
(Huang et al., 2012)

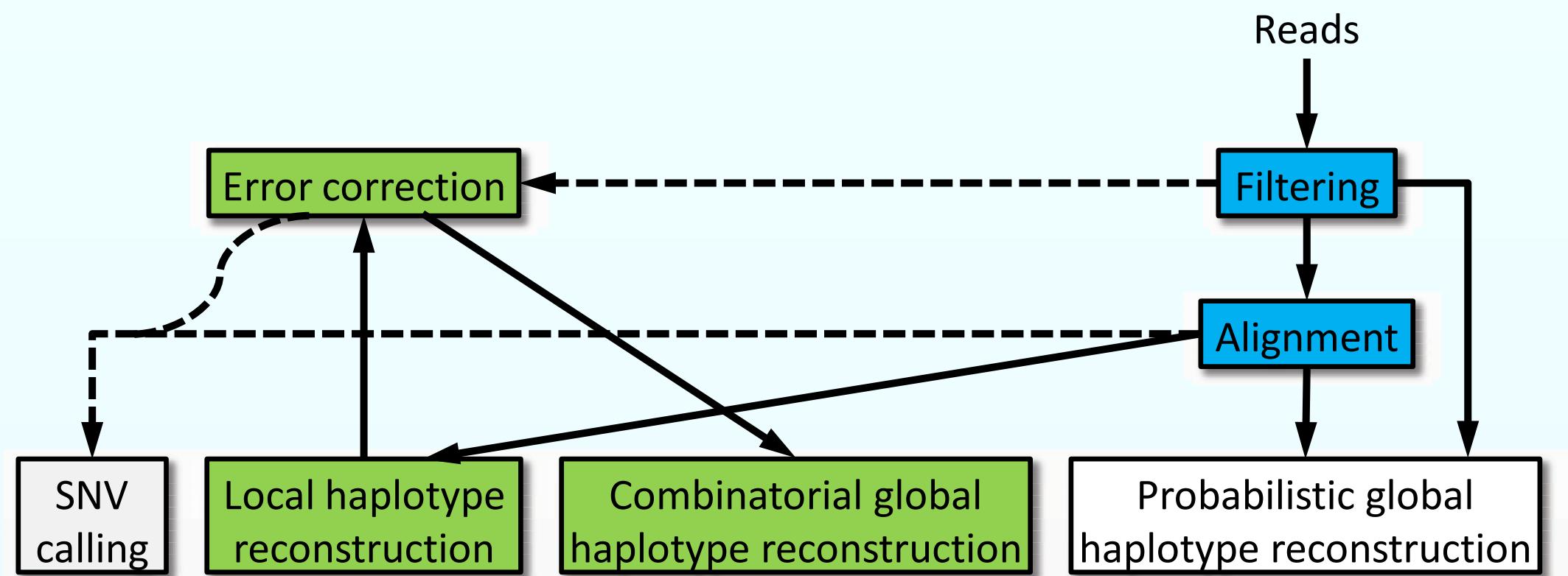
- ☺ well-studied graph-theoretic background.
- ☹ requires error correction prior to assembly.

## Probabilistic assembly

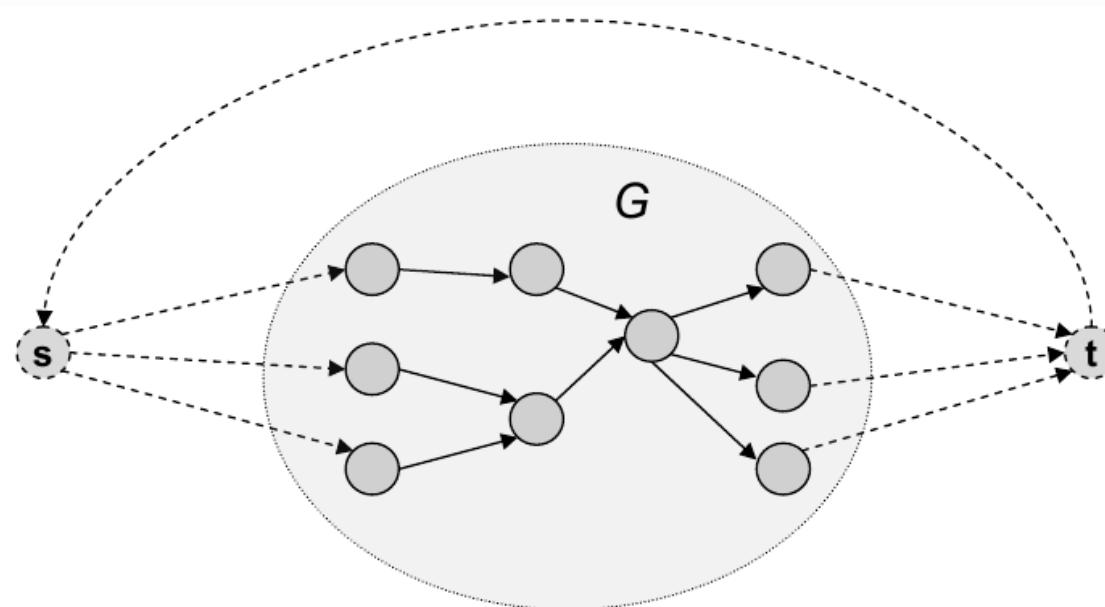
- Integrating alignment  
(Jojic et al., 2008)
- Local-to-global mixture model  
(Prabhakaran et al., 2010)
- Modeling recombinants  
(Beerenwinkel et al., 2012)

- ☺ “integrated”, no separate “hard” error correction.
- ☹ computational problems, approximations needed.

# Overview



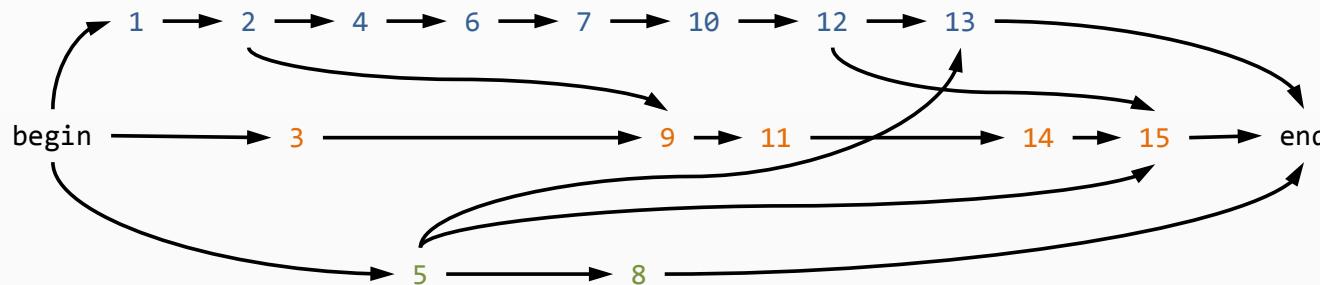
# Combinatorial Assembly: Network Flow



# Combinatorial Assembly: Read Graph

A CCTGAAATCACTCTATGGCAACGACCCATCGTCACAATAAAGATAGGG 60%  
B CCTCAAATCACTCTTGGCAACGACGCATCGTCACAATATAGATAGGA 30%  
C CCTCAAATCTCTTTGGCACCGACCCATCGTCCCATAAAGATAGGG 10%

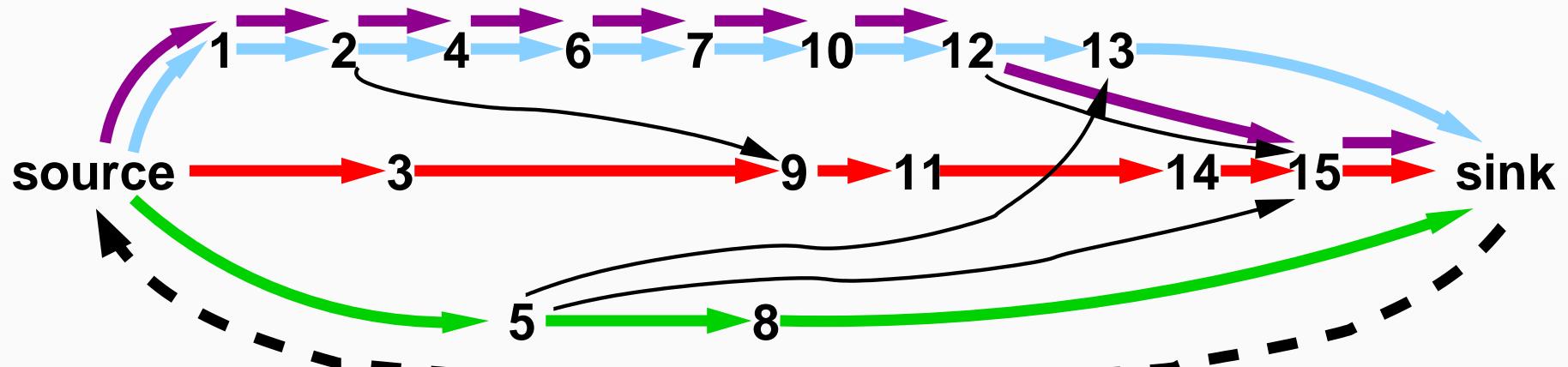
1 CCTGAAATCACTCTATGGCA  
2 GAAATCACTCTATGGCAACG  
3 ATCACTCTTGGCAACGACG  
4 TCACTCTATGGCAACGACCC  
5 CTCTCTTGGCACCGACCCA  
6 CTATGGCAACGACCCATCGT  
7 TATGGCAACGACCCATCGTC  
8 TTGGCACCGACCCATCGTCC  
9 TGGCAACGACGCATCGTCAC  
10 CAACGACCCATCGTCACAAT  
11 CAACGACGCATCGTCACAAT  
12 AACGACCCATCGTCACAATA  
13 CGACCCATCGTCACAATAAA  
14 GCATCGTCACAATATAGATA  
15 CATCGTCACAATATAGATAG



Each path is a potential haplotype

# Network Flow

- A HT  $h$  corresponds to a **path from source to sink** in the read graph.
- Each path can be viewed as a **flow**  $\text{source} \rightarrow \{\text{reads from } h\} \rightarrow \text{sink}$ .
- The value of the (circular) **flow**  $f$  through a read is the **number of haplotypes that contain the read**.
- **Main idea:** minimizing flow  $\sim$  most parsimonious HT assembly.

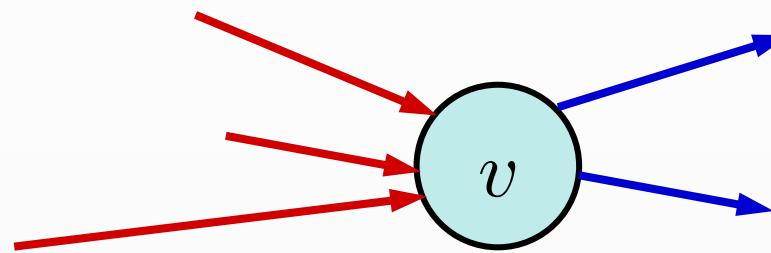


# Quasispecies assembly via network flows

## LP for Most Parsimonious Quasispecies Assembly:

**Objective:** Minimize **backflow**  $f(\text{sink}, \text{source}) \rightsquigarrow$  **parsimonious:** every unit of flow from a single HT should pass through (sink, source) **once**.

**Subject to:**



- **Flow conservation:**

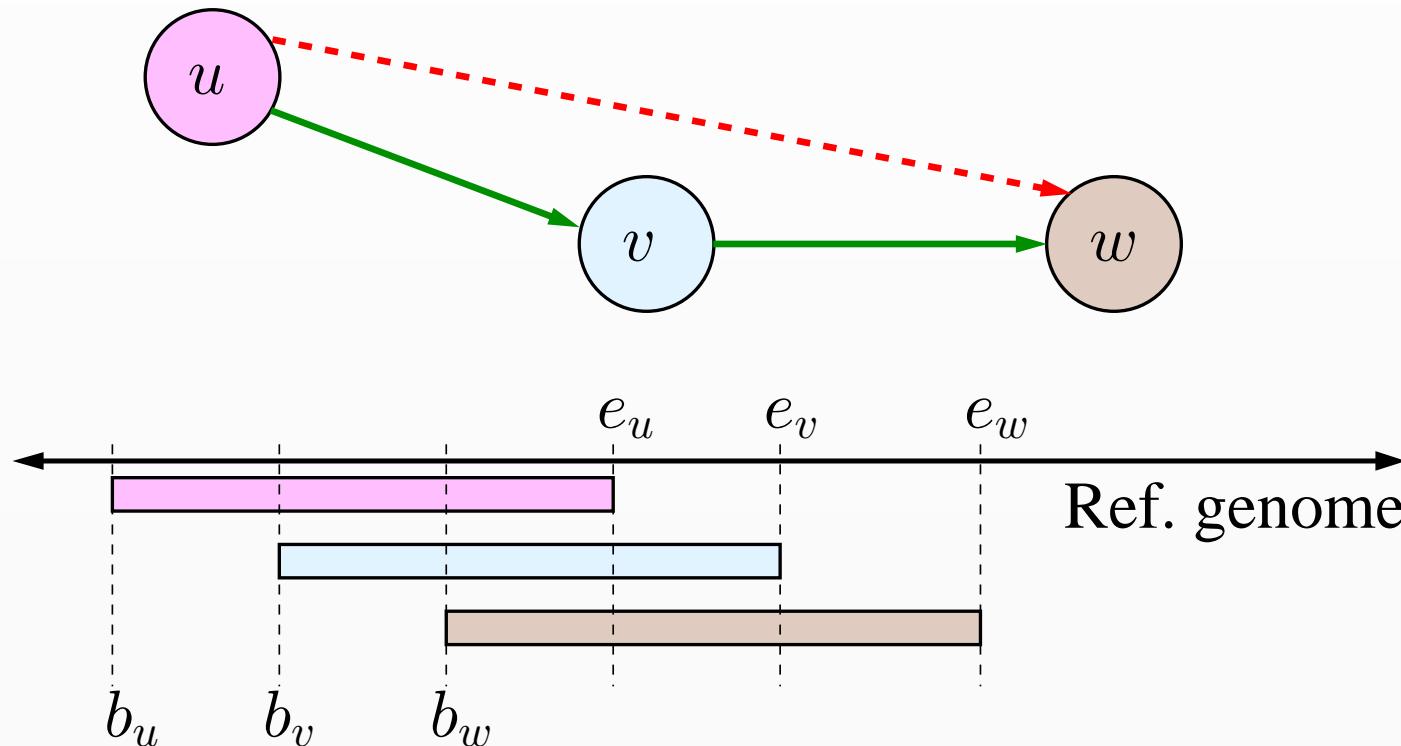
$$\sum_{e_{\text{in}}} f(e) = \sum_{e_{\text{out}}} f(e)$$

- **Each read covered by at least one haplotype**

**Extension:** include **cost terms** for the individual flows.

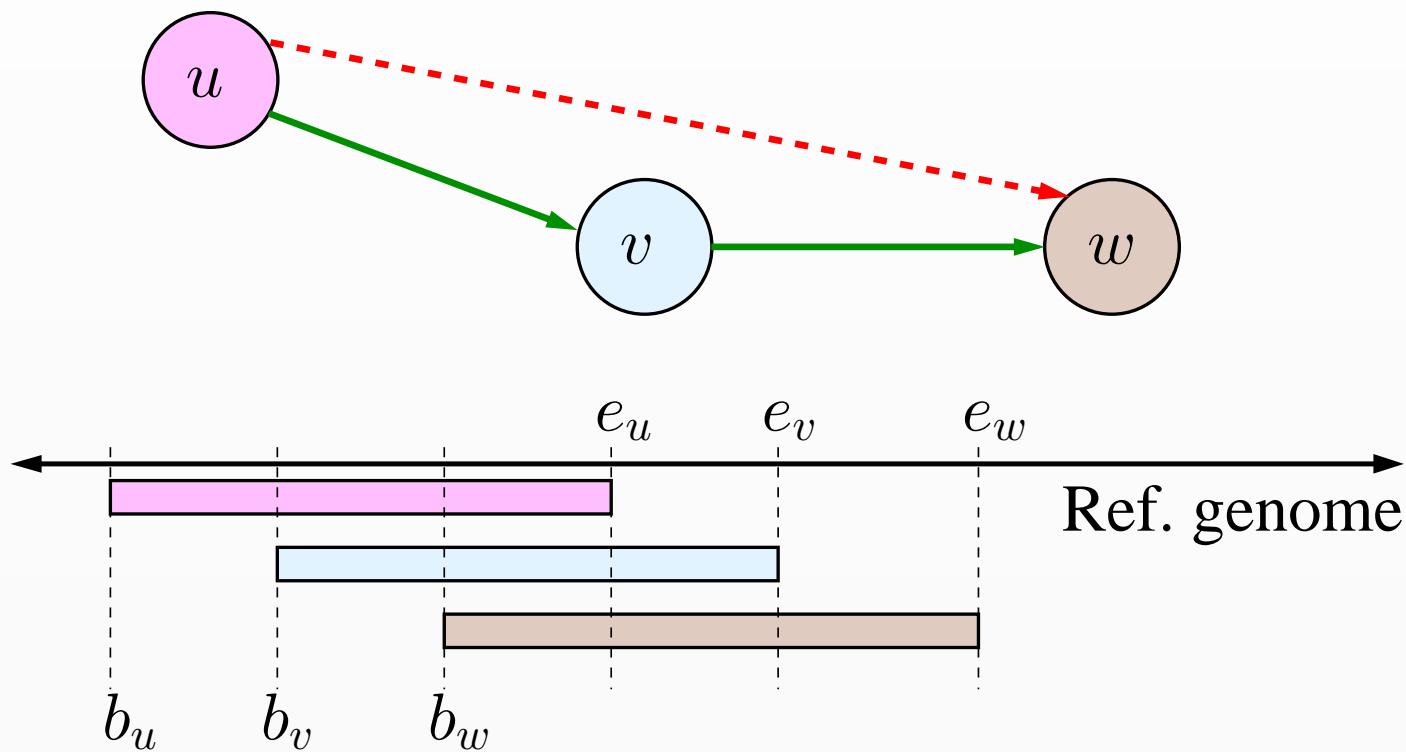
# Transitive Reduction of the Read Graph

- Edge  $u \rightarrow w$  **logically follows** from edges  $u \rightarrow v$  and  $v \rightarrow w$ .
- Drop  $u \rightarrow w$  from consideration – **no information**, any HT containing  $u$  and  $w$  will also have  $v$ .

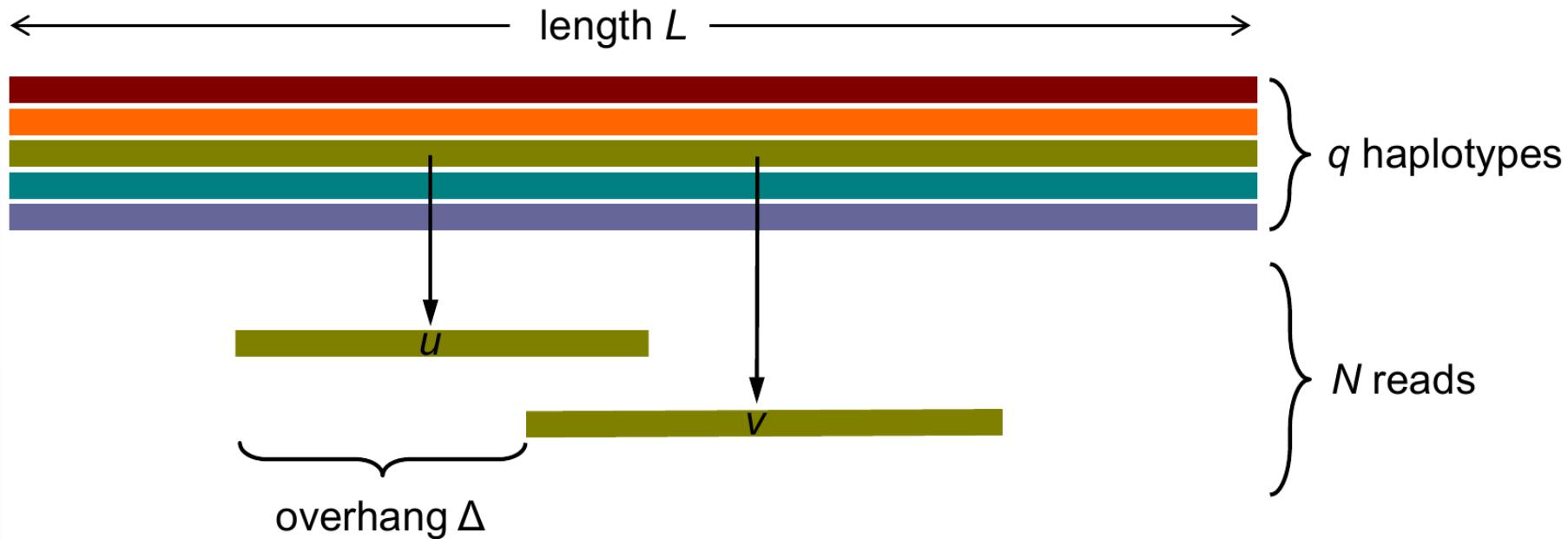


## Transitive Reduction (2)

- In transitively reduced read graph:  
reads  $u, v$  from HT  $h$  are **connected by an edge**  $u \rightarrow v$  implies that  
there is **no other read**  $w$  from the same HT  $h$  with  $b_u < b_w < b_v$ .

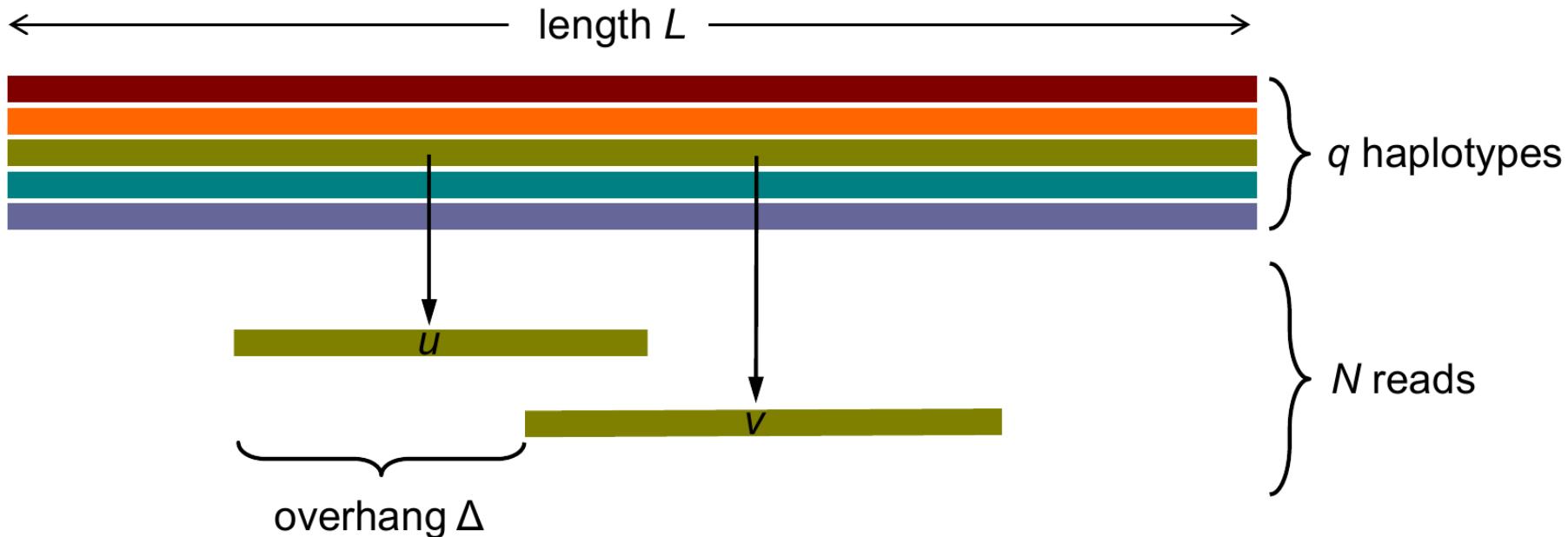


# Probability of an edge in the read graph



- $\text{Prob}(\text{read } u \text{ from HT } h \text{ starts at } b_u) = N/(Lq).$
- Event  $(\Delta := b_v - b_u > k) = \text{event that } b_u + 1, \dots, b_u + k \text{ are not beginnings of reads from HT } h.$
- **Random starting positions:**  
 $\text{Prob}(\Delta > k) =: p_k = (1 - N/(LQ))^k \approx \exp(-(kn)/(Lq)).$

## Probability of an edge in the read graph (2)



- **Intuition:** if  $u \rightarrow v$  is a “true” overlap in HT  $h$ ,  $\Delta$  should be small.
- For **any two reads** with overhang  $\Delta$ ,

$$cost(u \rightarrow v) := 1/p_\Delta \approx \exp((\Delta n)/(Lq))$$

measures the **implausibility** that  $u \rightarrow v$  is a **true edge**.

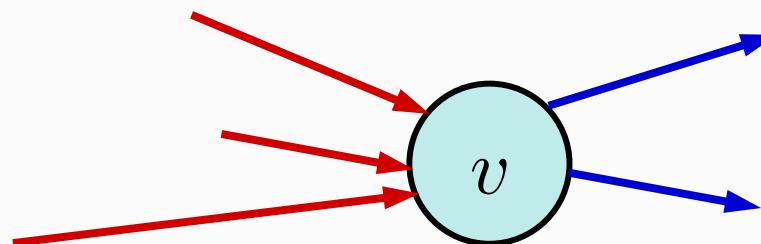
# Quasispecies assembly via network flows (ViSpA)

## LP for Minimum Cost Quasispecies Assembly:

**Objective:** Minimize the total cost =  $\sum_e \text{cost}(e)f(e)$  over all edges  $e$  in the read graph.

**Subject to:**

- **Flow conservation:**

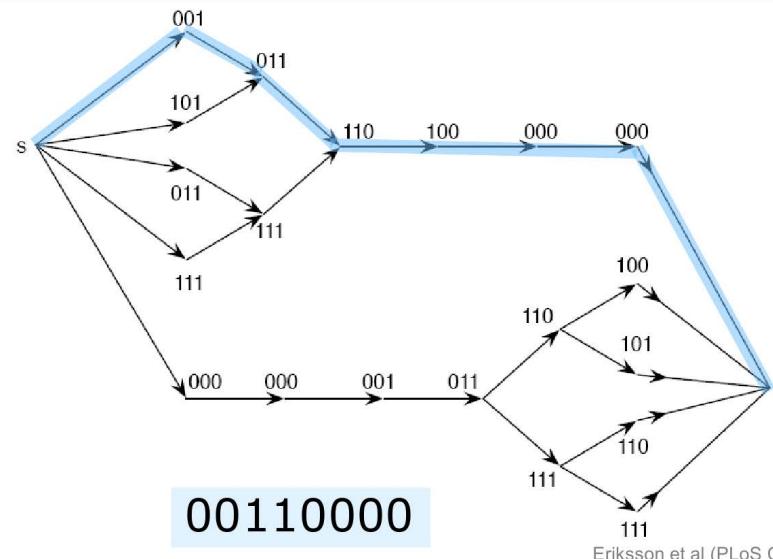


$$\sum_{e_{\text{in}}} f(e) = \sum_{e_{\text{out}}} f(e)$$

- **Each read covered by at least one haplotype**

# Combinatorial Assembly: Path Cover

Position:  
1 2 3 4 5 6 7 8  
Reads:  
0 0 1  
1 0 1  
0 1 1  
1 1 1  
0 0 0  
0 1 1  
1 1 1  
0 0 0  
1 1 0  
0 0 1  
1 0 0  
0 1 1  
0 0 0  
1 1 0  
1 1 1  
0 0 0  
1 0 0  
1 0 1  
1 1 0  
1 1 1



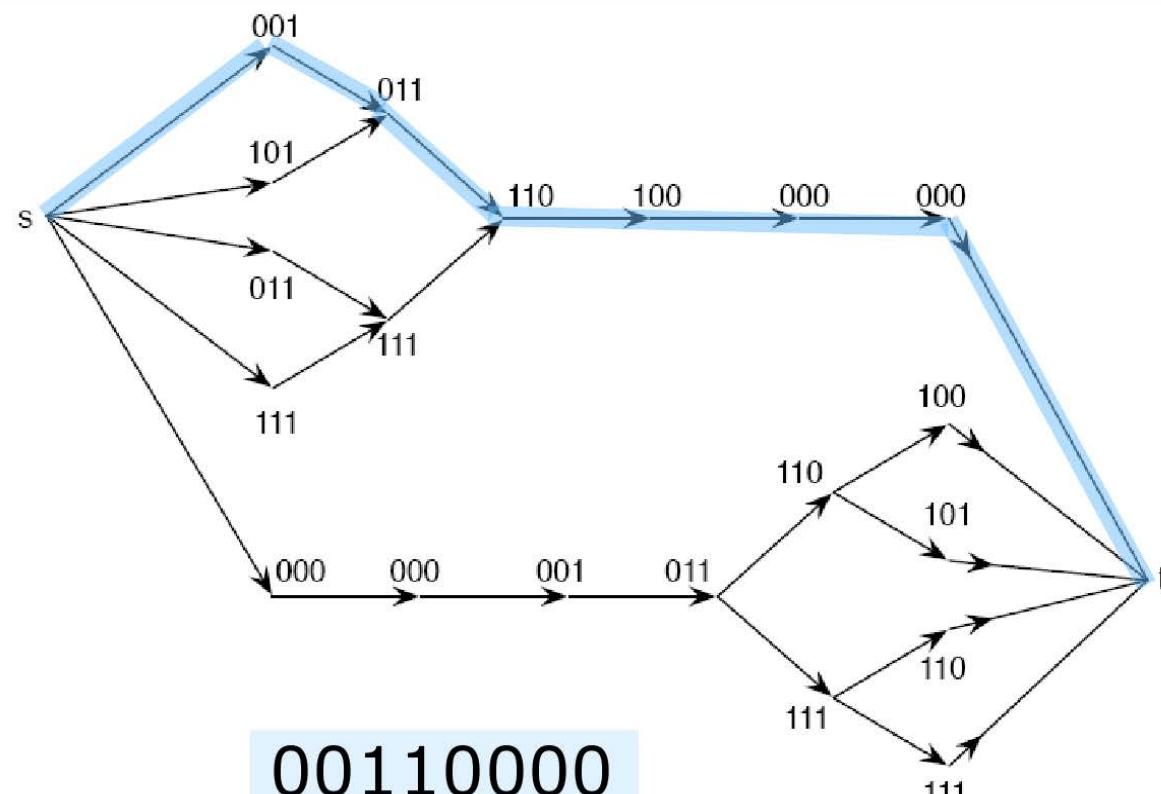
Eriksson et al (PLoS Comput Biol 2008)

# Quasispecies assembly as a minimal path cover

**Theorem** (Dilworth, 1950; Hopcroft and Karp, 1973)

- (1) Every minimal cover of the read graph has the same cardinality
- (2) A minimal path cover can be computed in time  $O(N^3)$ .

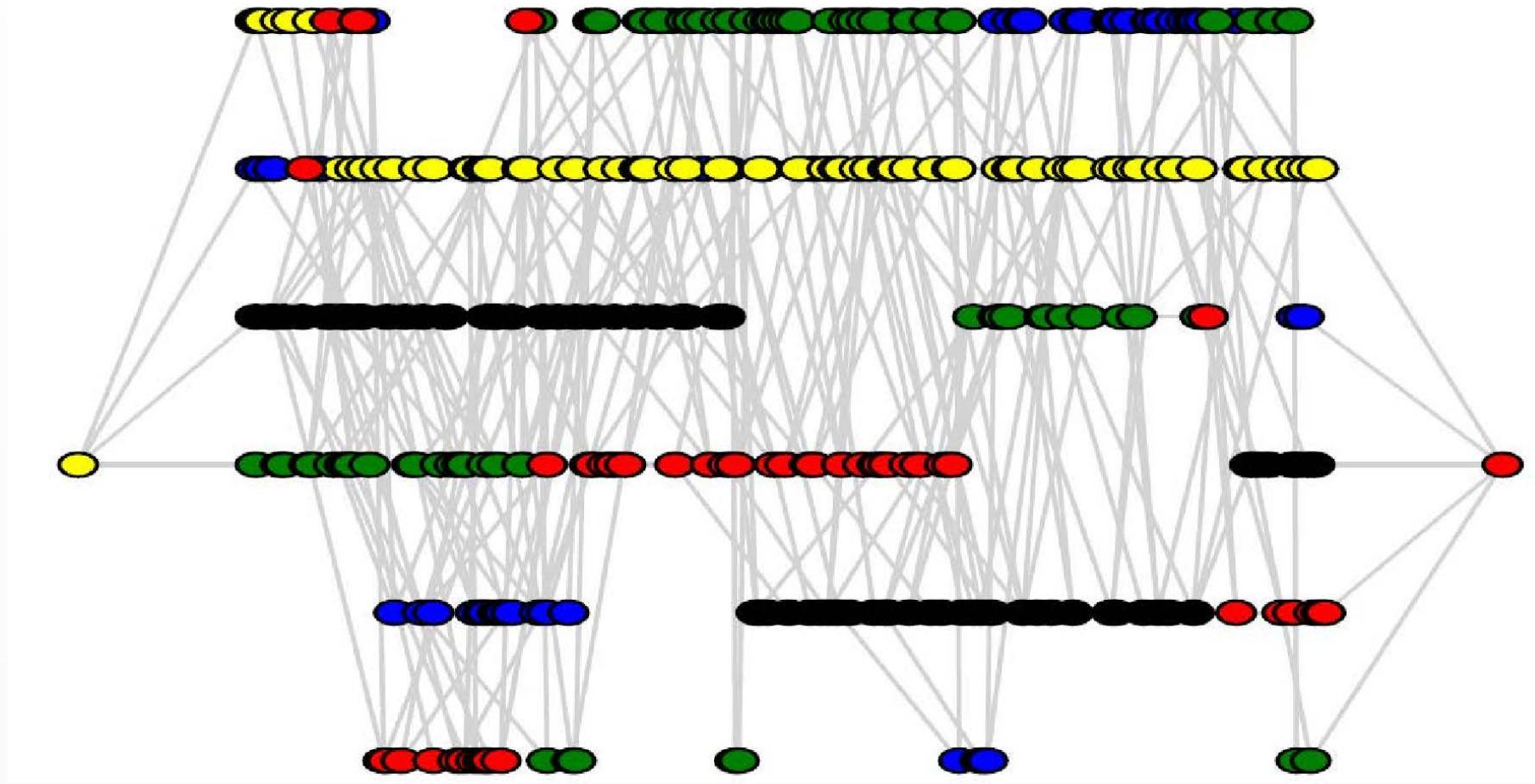
Position:  
1 2 3 4 5 6 7 8  
Reads:  
0 0 1  
1 0 1  
0 1 1  
1 1 1  
0 0 0  
0 1 1  
1 1 1  
0 0 0  
1 1 0  
0 0 1  
1 0 0  
0 1 1  
0 0 0  
1 1 0  
1 1 1  
0 0 0  
1 0 0  
1 0 1  
1 1 0  
1 1 1



Eriksson et al (PLoS Comput Biol 2008)

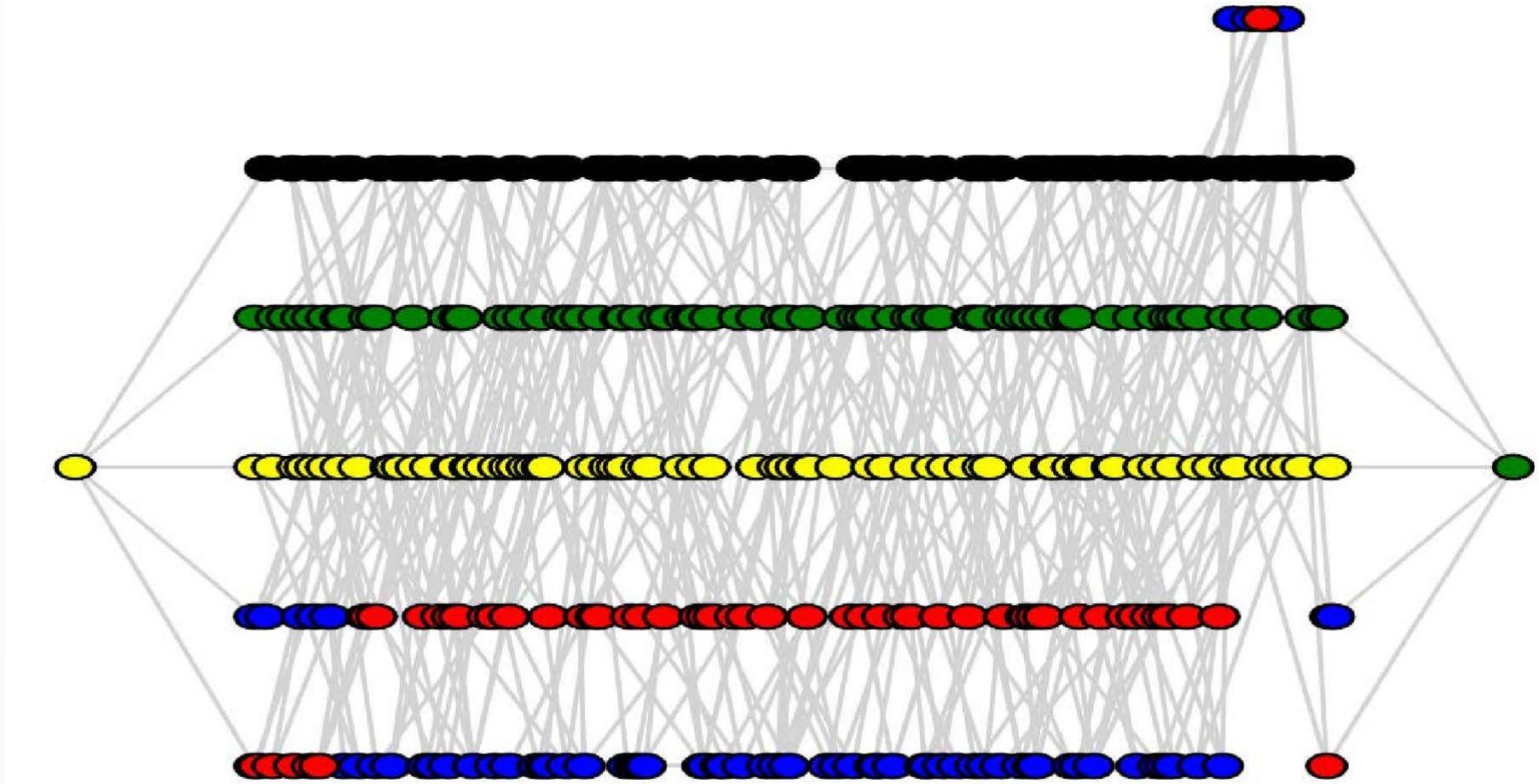
# Chain decomposition

1000 reads from 5 haplotypes at 3% diversity



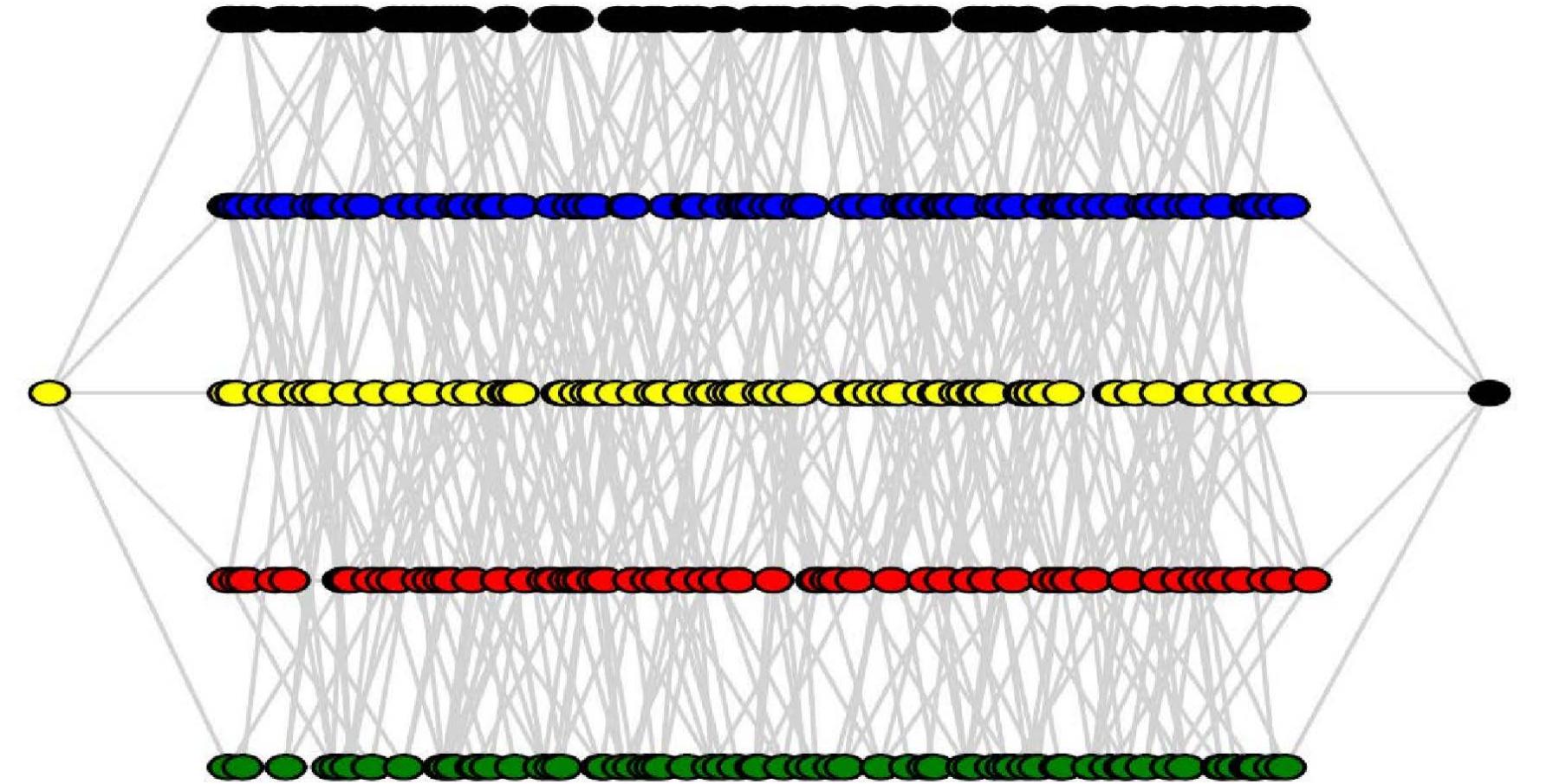
# Chain decomposition

1000 reads from 5 haplotypes at 5% diversity



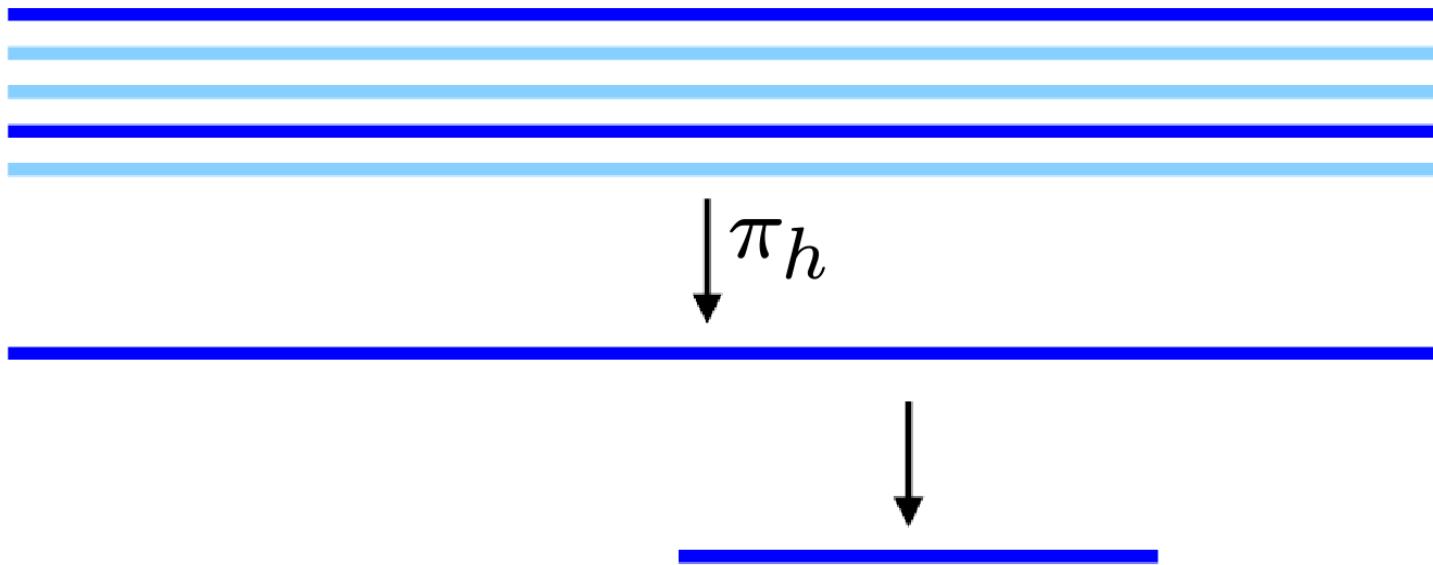
# Chain decomposition

1000 reads from 5 haplotypes at 7% diversity



# Haplotype frequencies: Generating reads from a (small) set of candidate haplotypes

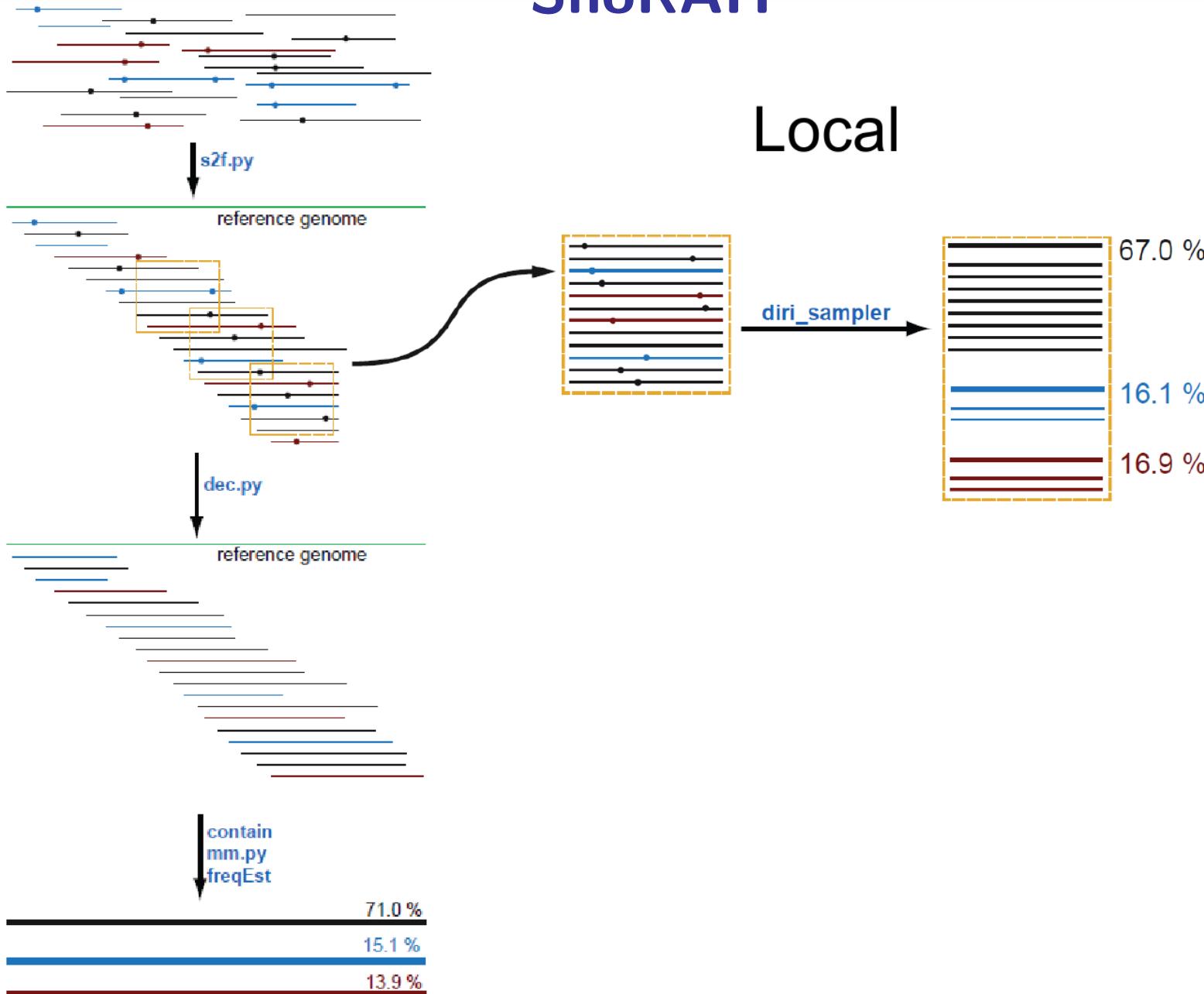
Estimate haplotype frequencies  $\pi_h$  using the EM algorithm



$$p(r) = \sum_{h \in \mathcal{H}} \pi_h p(r | h)$$

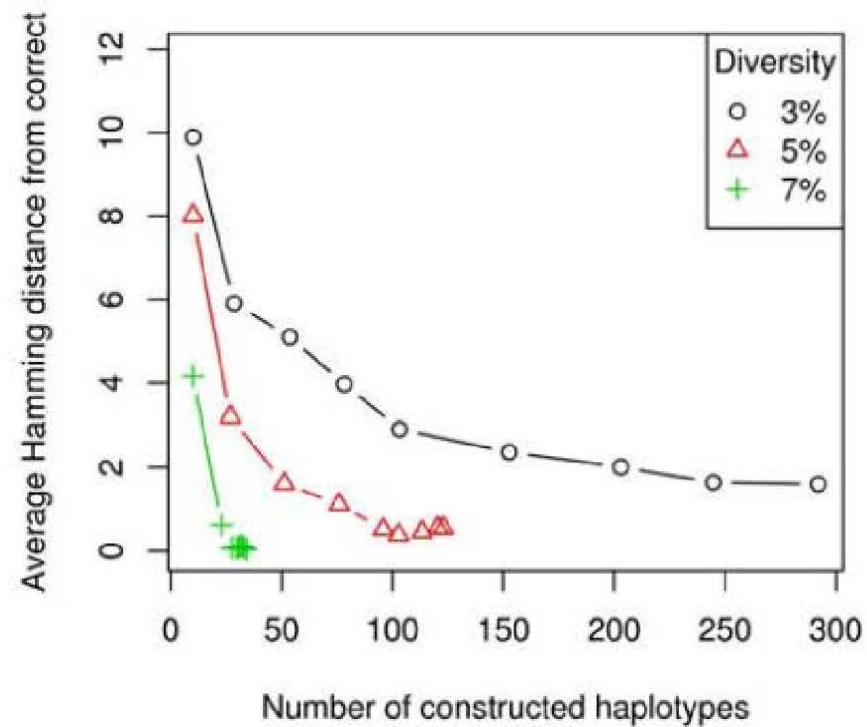
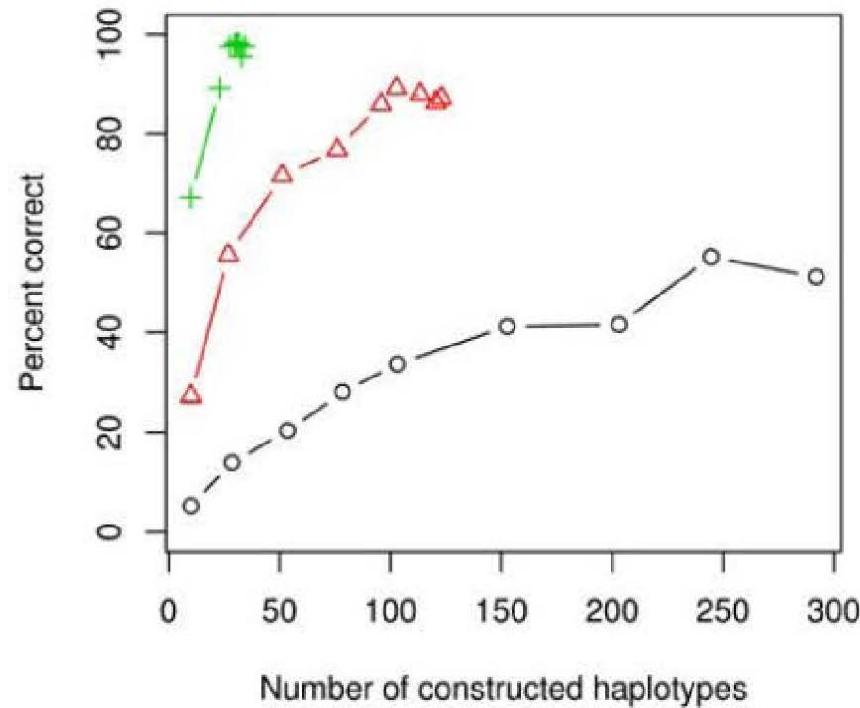
Eriksson et al (PLoS Comput Biol 2008)

# ShoRAH

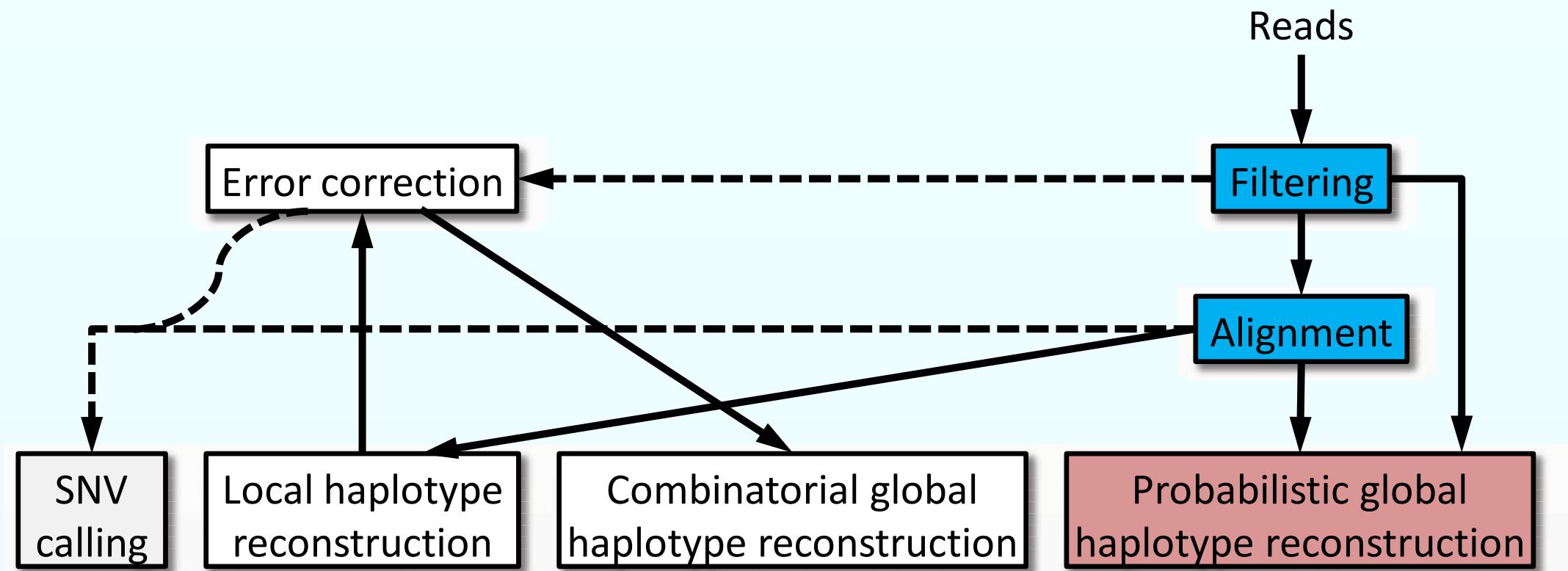


# ShoRAH: Performance of haplotype reconstruction

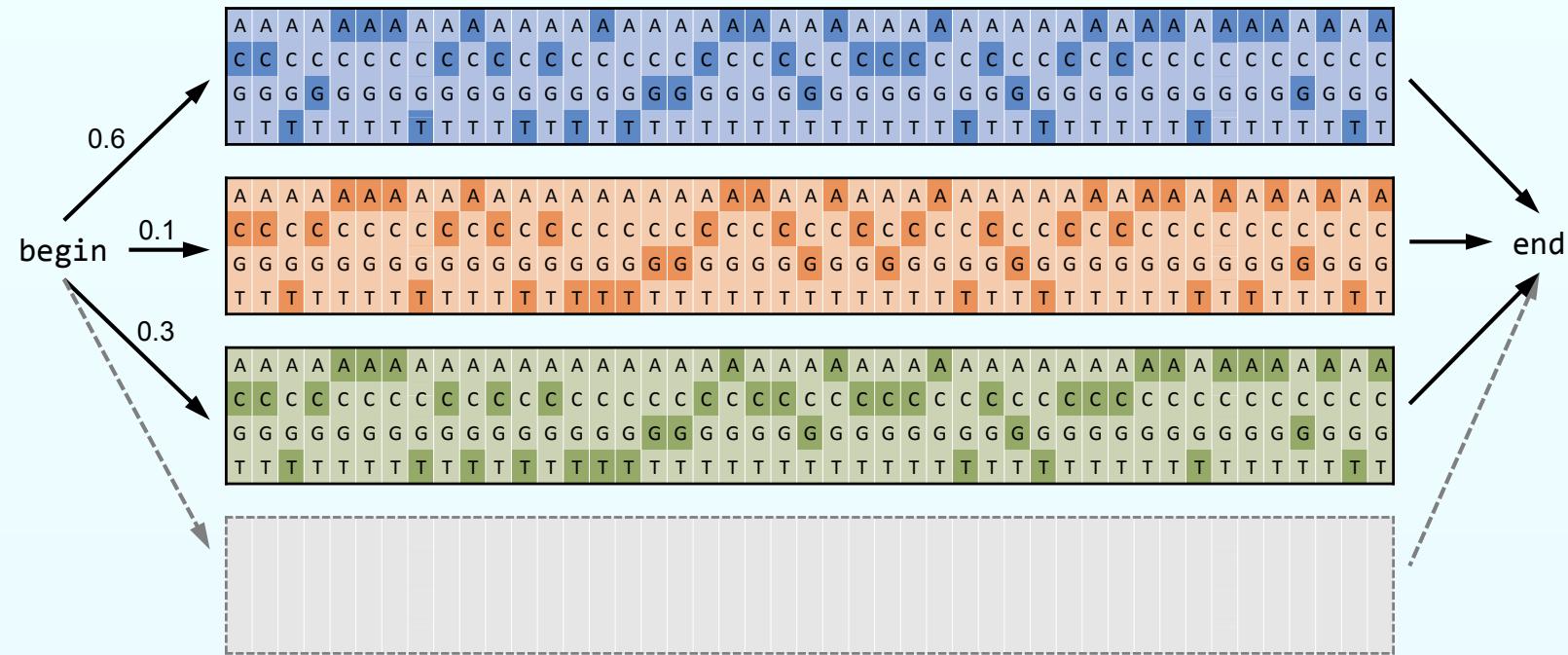
Ten haplotypes at equal frequencies, varying distances



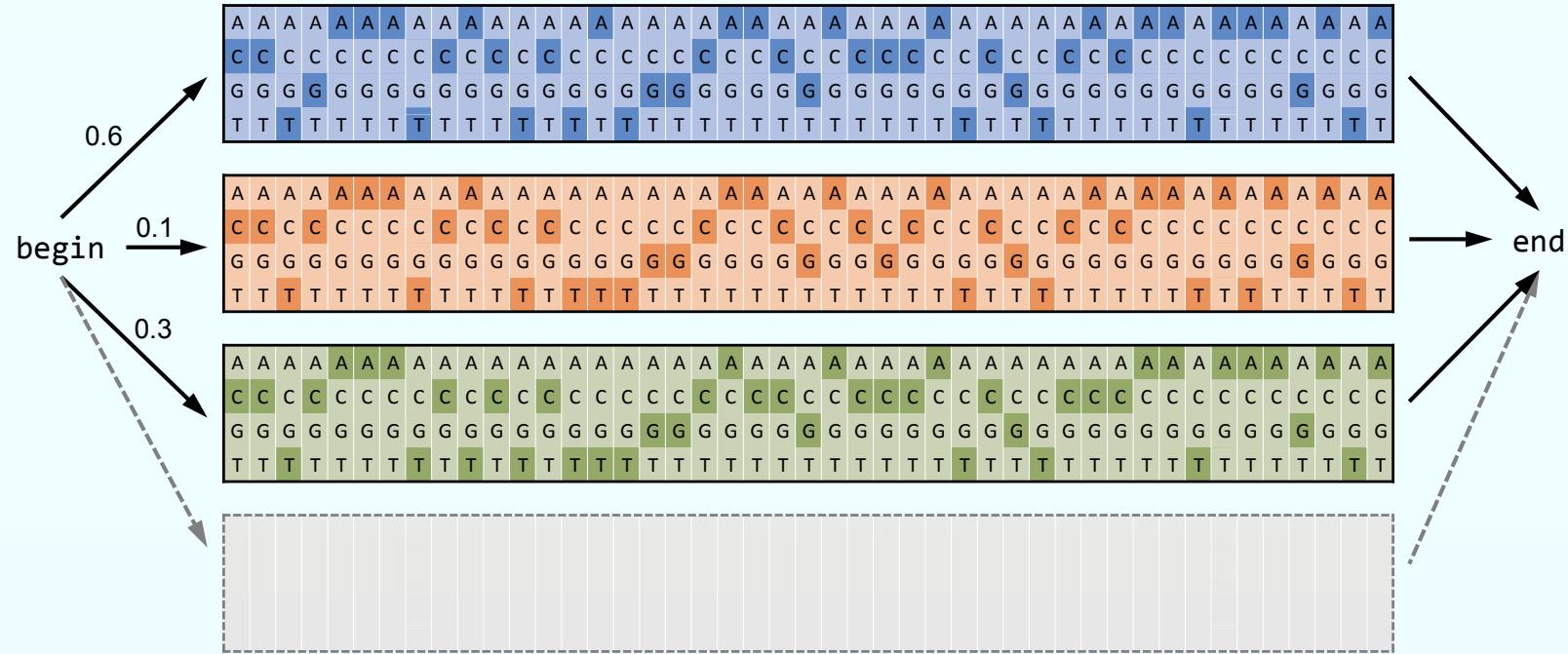
# Overview



# Probabilistic Assembly: Mixture Model



# PredictHaplo: Generative Model for Reads

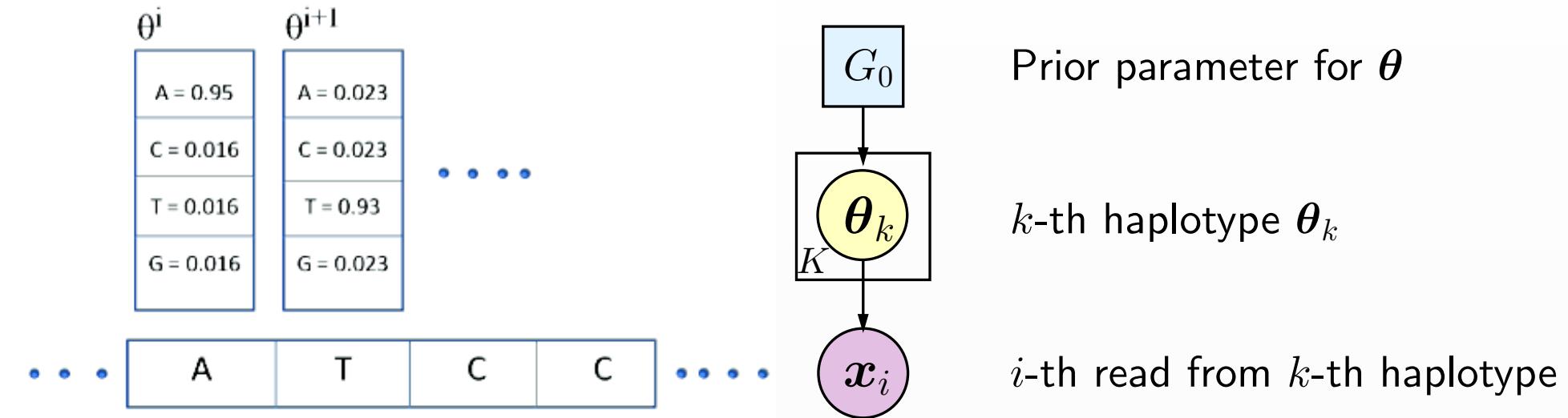


**Bayesian mixture model:** assign **priors** on class proportions and component distributions, integrate out latent variables.

**Infinite mixture model:** allow infinite number of classes...

# Component Distributions

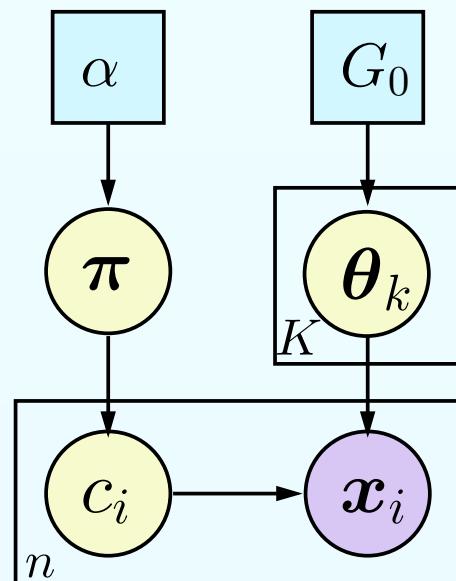
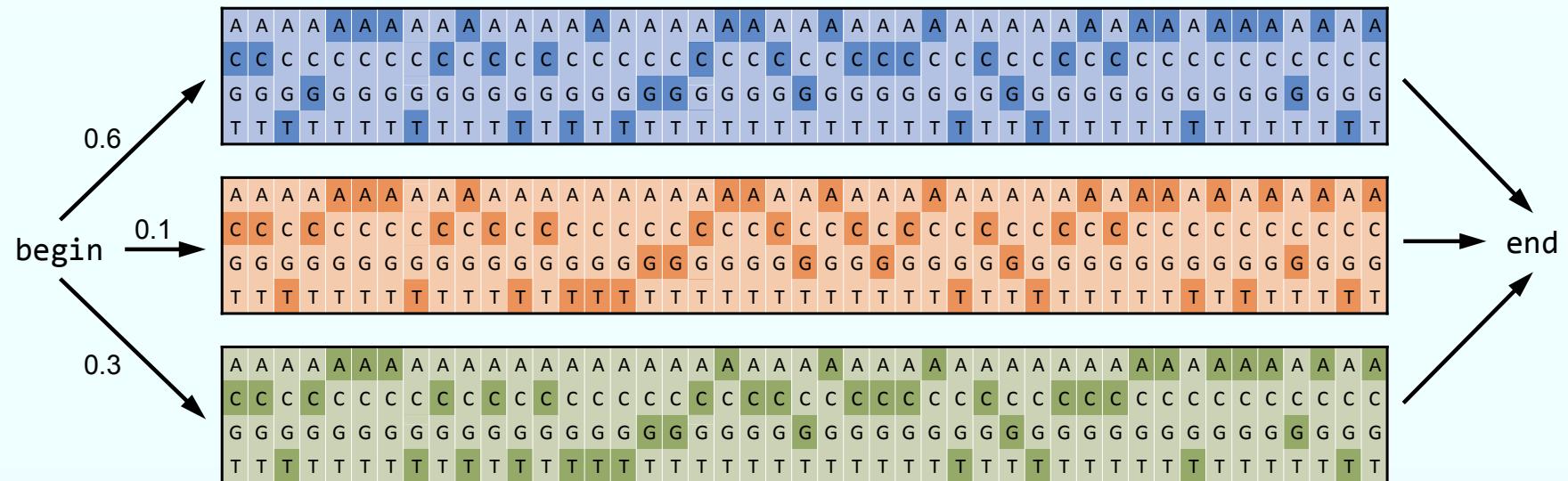
Haplotype: position-wise multinomial probability tables  $\theta$ :



- Parameters for  $k$ -th haplotype:  $\theta_k = (\theta_k^1, \dots, \theta_k^L)$
- Position-wise independence assumption:  $i$ -th read  $x_i$  ranging from position  $a$  to  $b$  drawn from  $k$ -th haplotype:

$$x_i \sim P(x|\theta_k) = \prod_{j=a}^b \text{Mult}(\theta_k^j)$$

# Finite Mixture of Haplotypes



$$\pi \sim \text{Dir}\left(\frac{\alpha}{K}, \dots, \frac{\alpha}{K}\right)$$

$$\theta_k \sim G_0$$

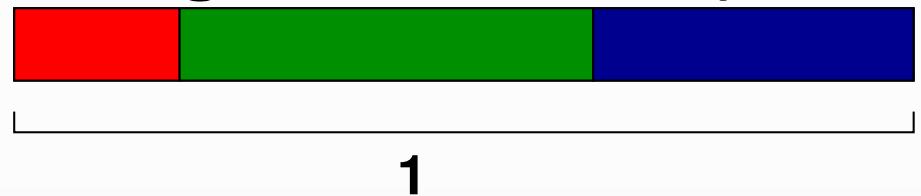
$$c_i \sim \text{Mult}(\pi)$$

$$x_i \sim P(x_i | \theta_{c_j})$$

# Dirichlet Priors for Mixture Proportions

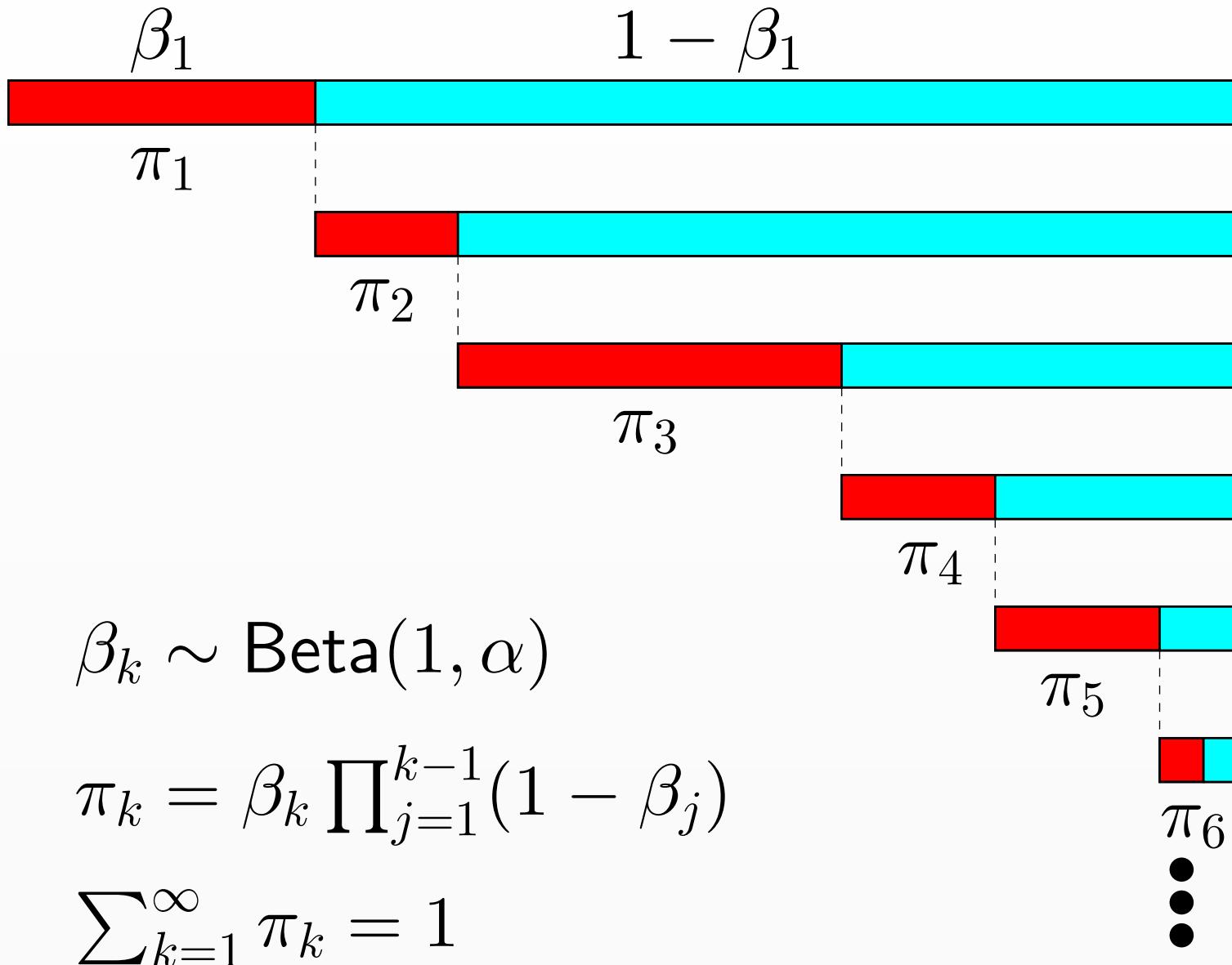
- Assignment variables  $c_i \sim \text{Mult}_k(\boldsymbol{\pi})$ .
- **Dirichlet prior**  $\boldsymbol{\pi} \sim \text{Dir}(\boldsymbol{\pi}|\boldsymbol{\alpha}) \propto \prod_{k=1}^K \pi_k^{\alpha_k - 1}$

Interpretation: breaking a stick  
of length 1 into  $K = 3$  parts

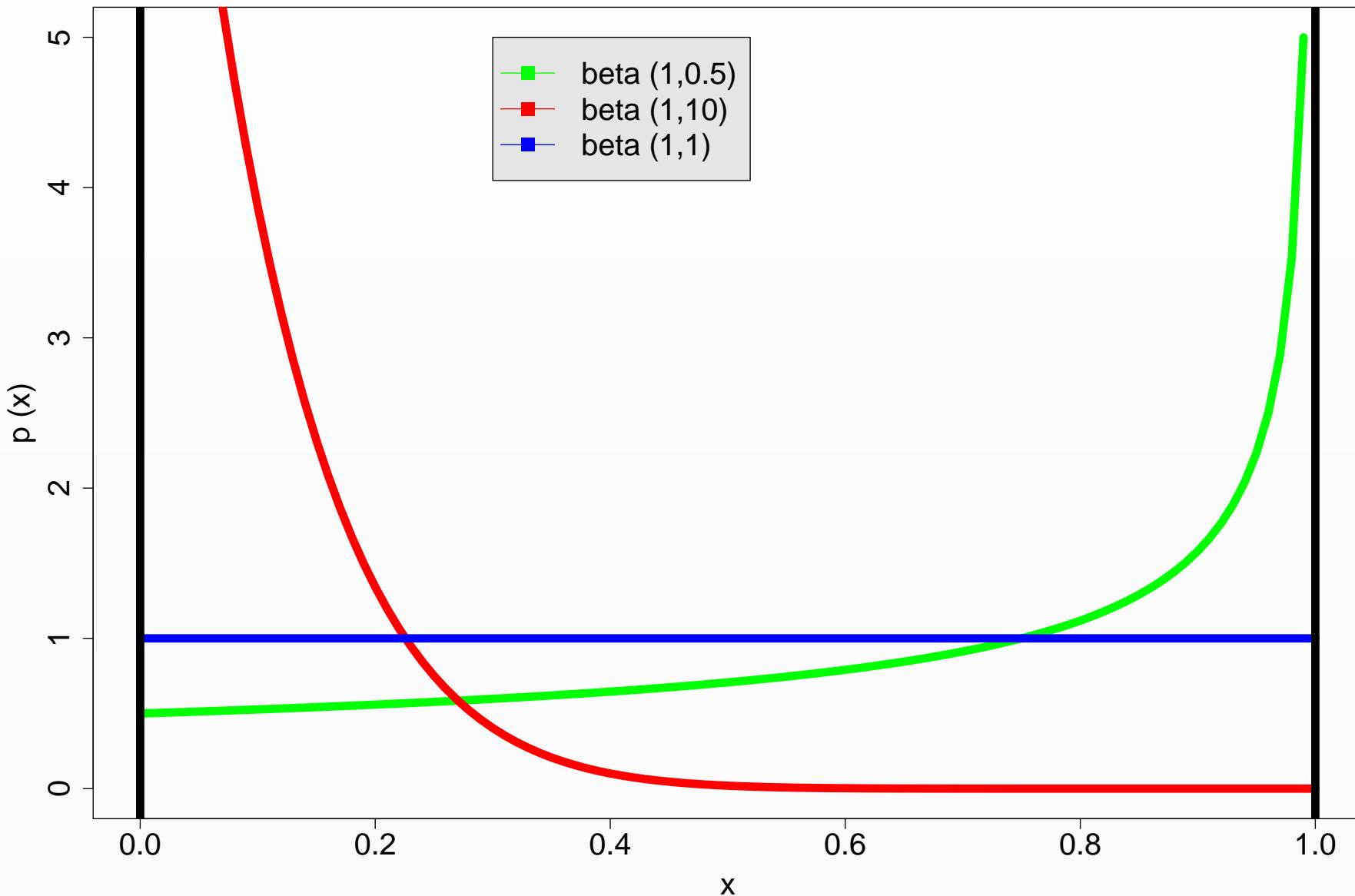


- **Problem:** don't want to specify fixed number of haplotypes... but what happens when  $K \rightarrow \infty$ ?

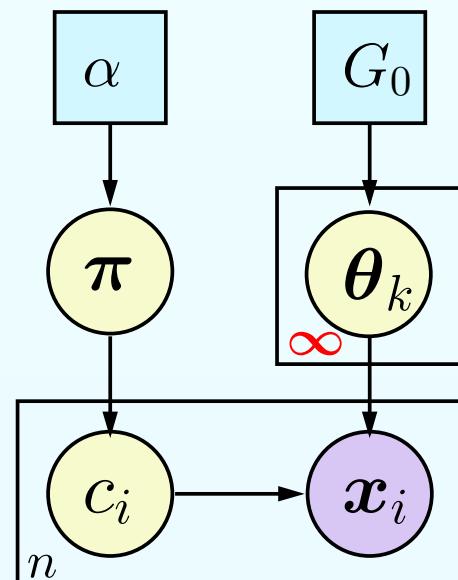
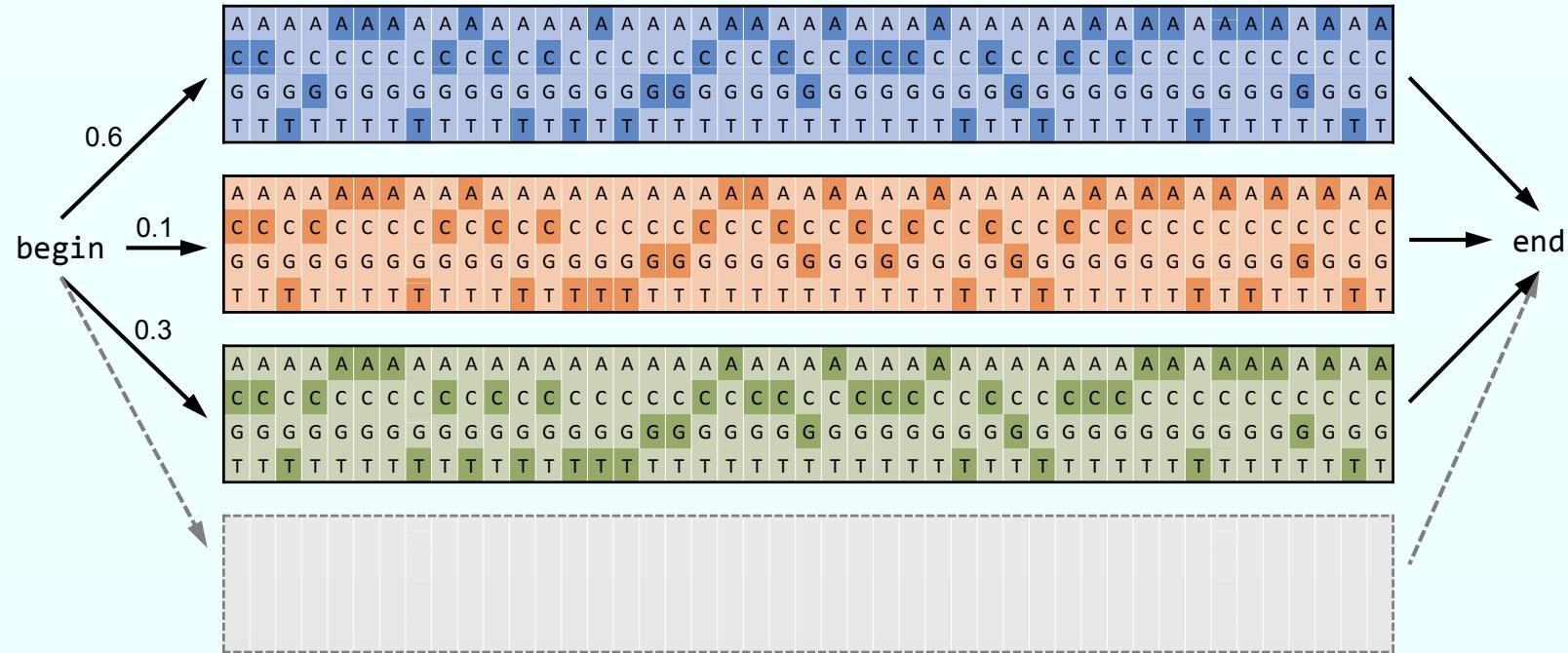
# Infinite Mixtures: Stick Breaking Construction



# Beta distribution



# Infinite Mixtures



$$\pi \sim \text{Stick}(1, \alpha)$$

$$\theta_k \sim G_0$$

$$c_i \sim \text{Mult}(\pi)$$

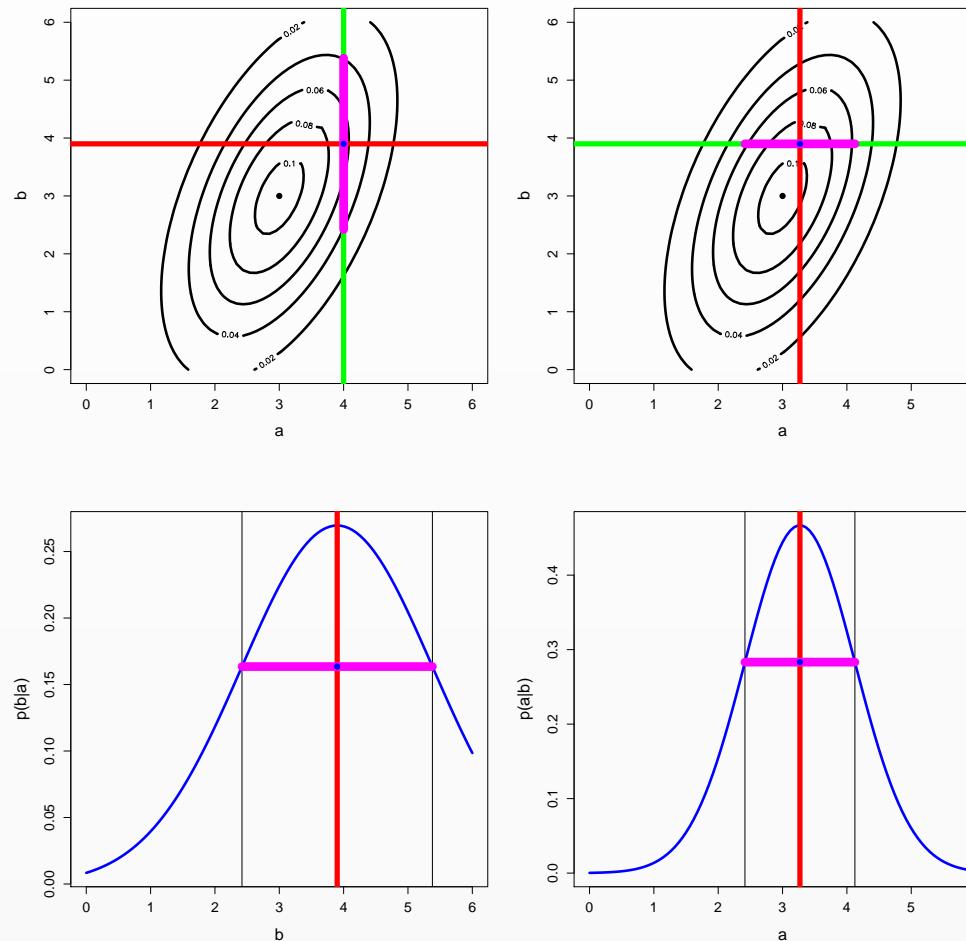
$$x_i \sim P(x_i | \theta_{c_j})$$

# Infinite Mixtures: Inference

- **Making it fast: truncate the process.** Bound  $k$  from above by  $K_{\max} \gg$  "expected"  $K$ . Posterior estimates based on truncated process will be exponentially close to those based on the infinite process [Ishwaran & James, 2002].
- Use a **sampler**: Iterate
  1. draw  $\theta_k$  from  $p(\theta_k | \bullet)$  (all currently populated + 1 empty haplotype)
  2. draw  $c_i$  from  $p(c_i | \bullet)$ ,  $i = 1, \dots, n_{\text{reads}}$
  3. draw  $\pi$  from  $p(\pi | \bullet)$  (all currently populated + 1 empty haplotype)
- This is a **Gibbs sampler** (a MCMC method). The samples will converge to samples from the true posterior  $p(\theta, c, \pi | x, \bullet)$
- Here: all conditionals are in standard form  $\leadsto$  sampling is easy.

# Gibbs Sampling

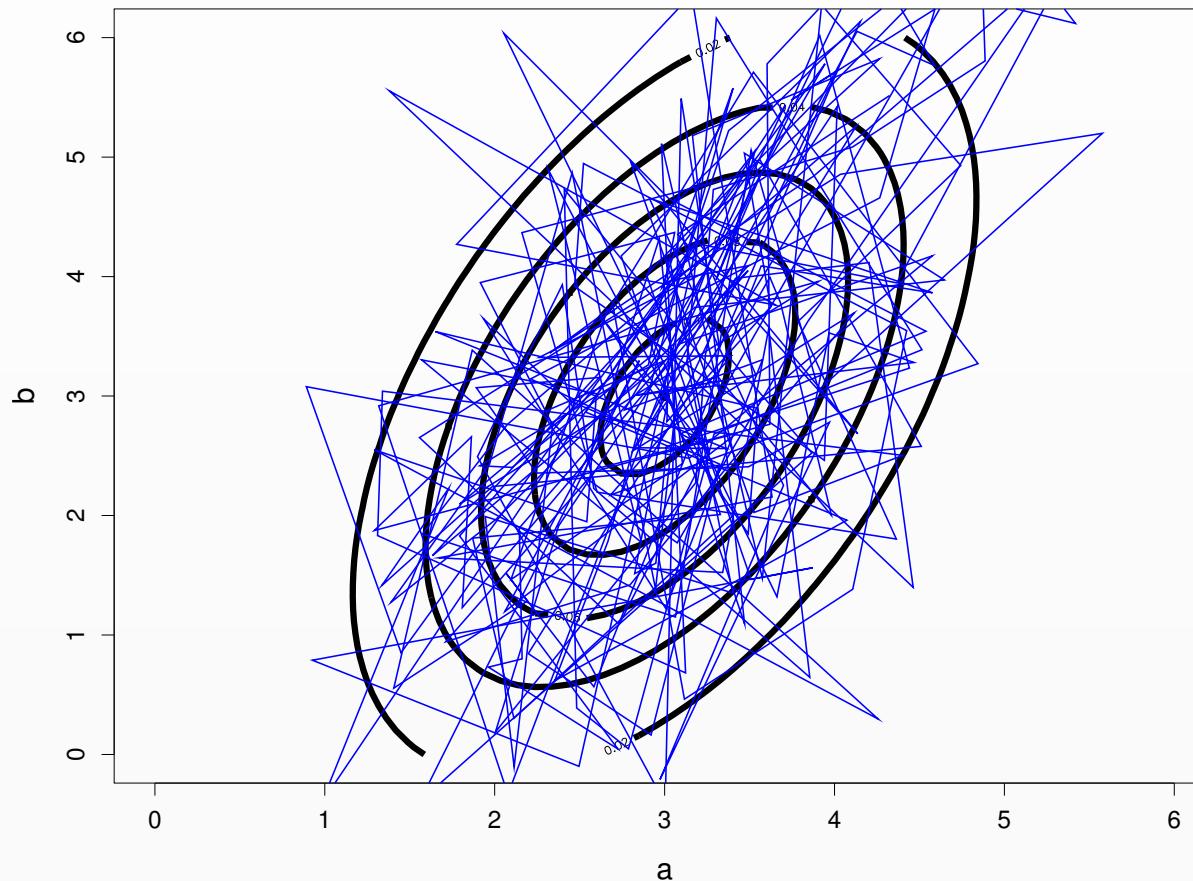
Assume you want to sample from a 2-dim Gaussian  $p(a, b) \sim \mathcal{N}(a, b | \mu, \Sigma)$ . You know that **conditionals of Gaussians are again Gaussians**, but you have forgotten how to sample from a 2-dim Gaussian.



# Gibbs Sampling

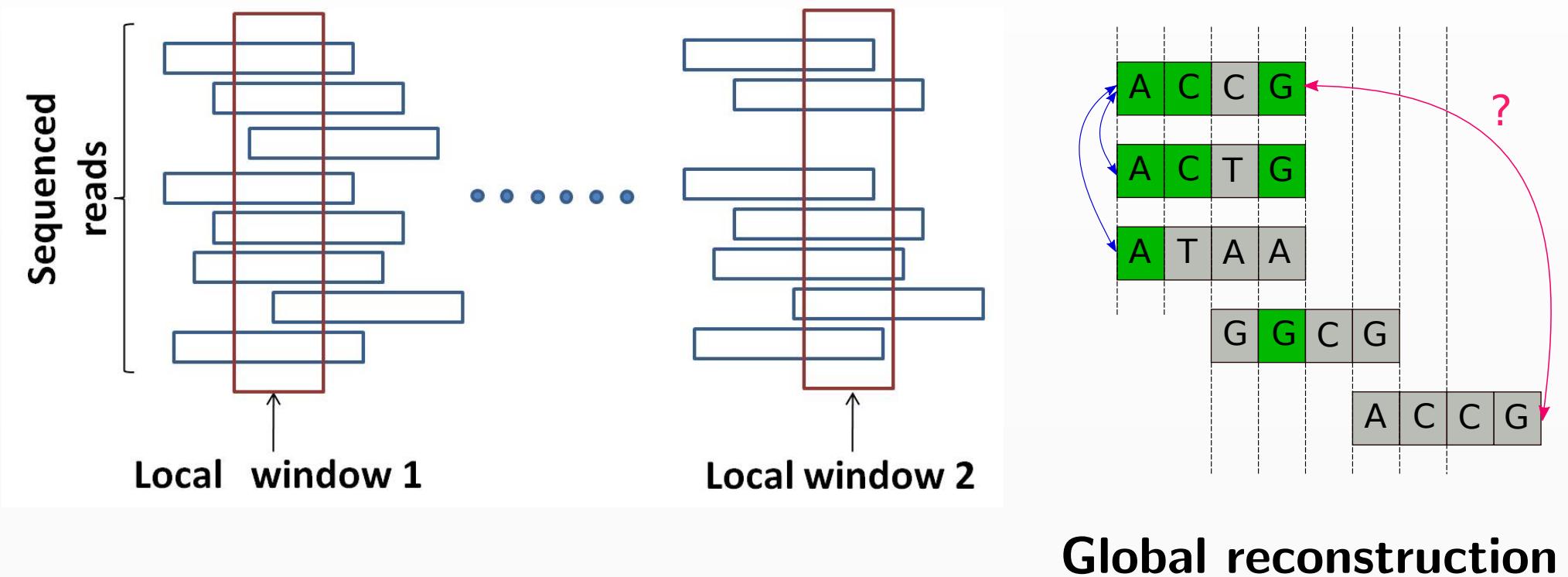
Solution: run a Gibbs sampler: Iterate:

1. sample  $a$  from  $p(a|b, \bullet) = \mathcal{N}(a|\mu', \Sigma')$
2. sample  $b$  from  $p(b|a, \bullet) = \mathcal{N}(b|\mu'', \Sigma'')$



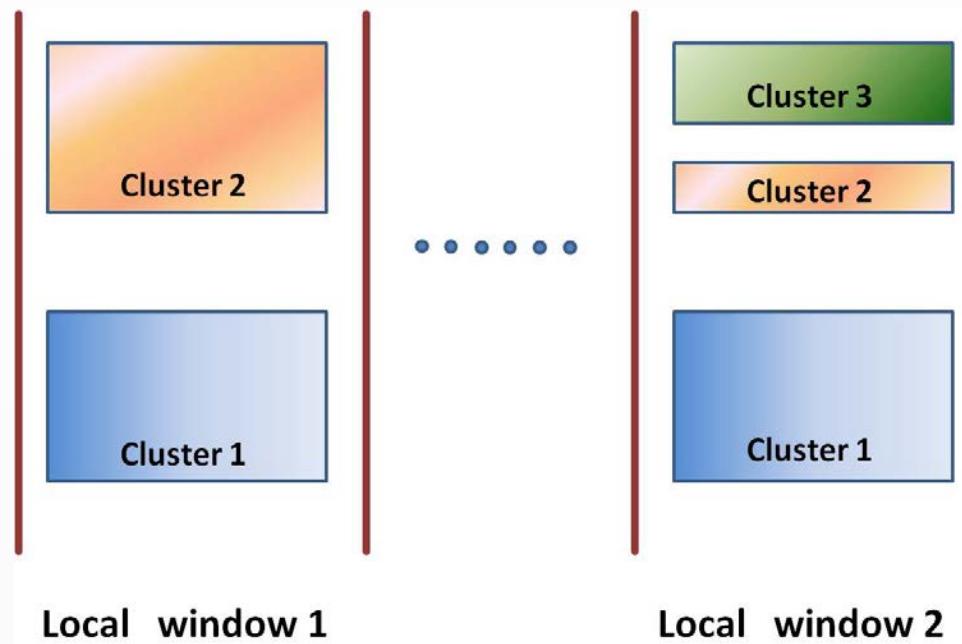
# Local to Global

- Mixture model works for fully and partially overlapping reads...
- ...but not for global reconstruction!



# Extract do-not-link constraints

- Idea: Start with **local** inference  
~ extract local **do-not-link constraints** between reads:



- Local clusterings may be noisy ~ “soft” **do-not-link constraints**.
- Include constraints in mixture model ~ **global reconstruction**.

# Constrained model

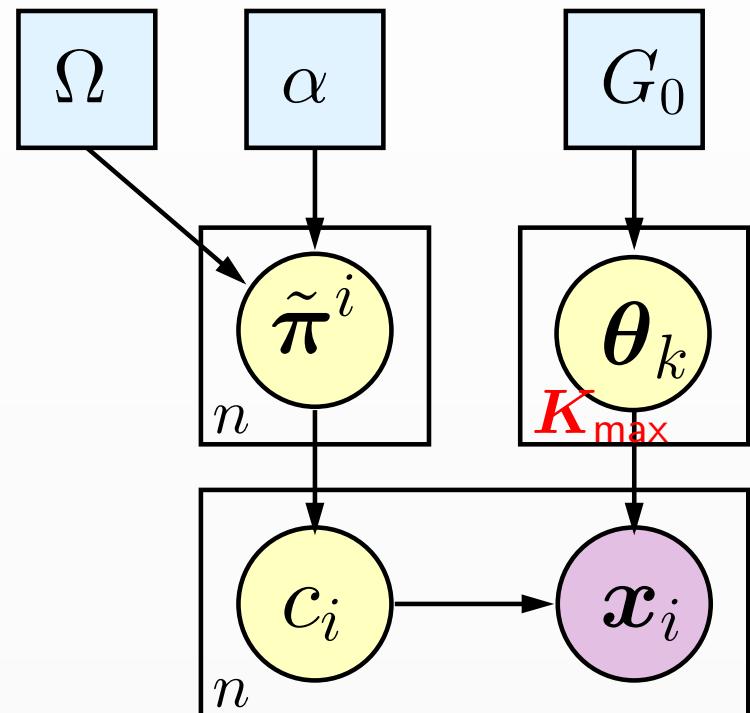
- Distribution of the reads:

$$p(x_j | \pi, \theta) = \sum_{k=1}^{K_{\max}} \pi_k p(x_j | \theta_k).$$

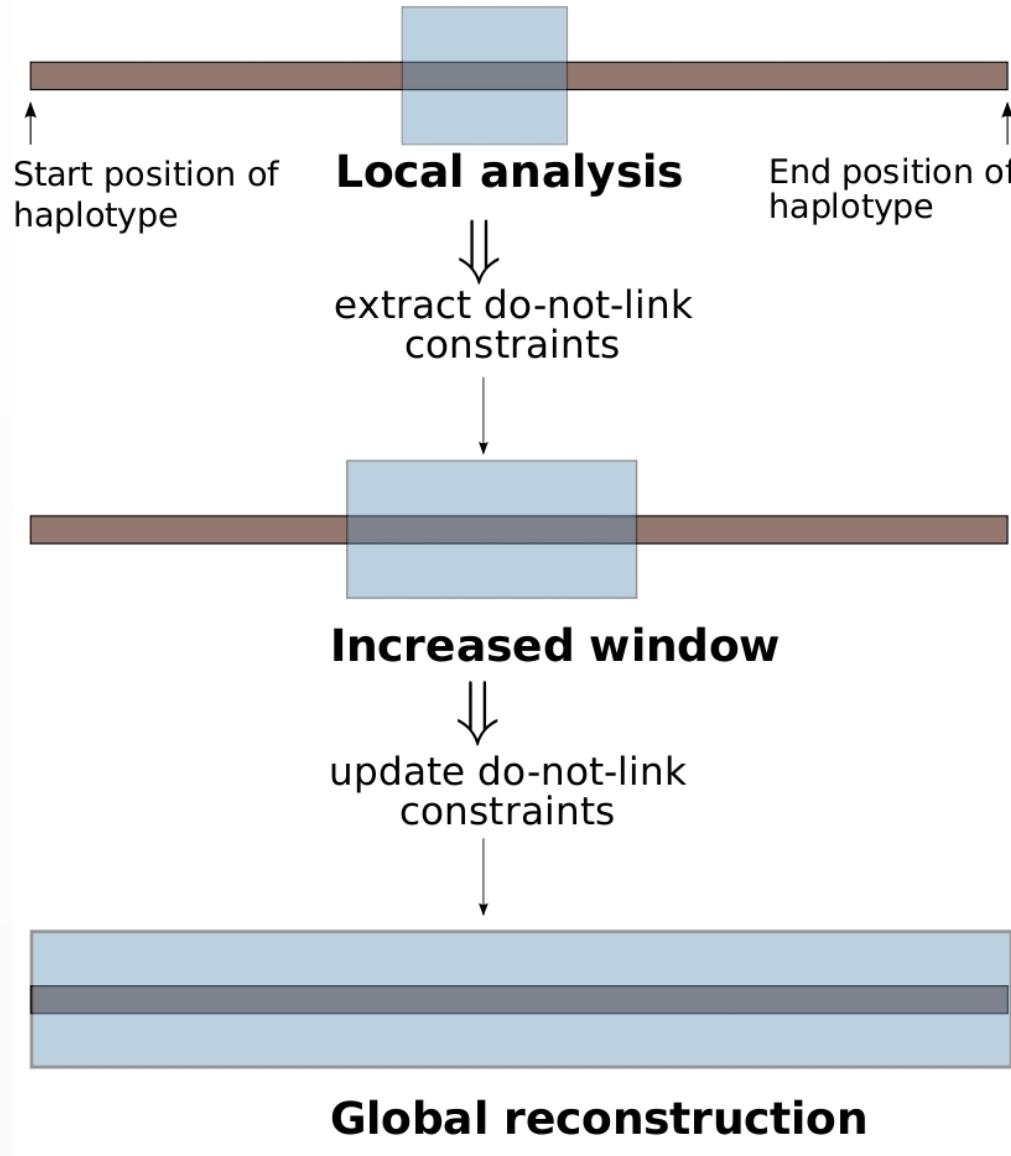
- Use constraints  $\Omega$  to adjust parameters  $\sim$  read-specific!

$$c_i | \tilde{\pi}^i \sim \text{Mult}(c_i | \tilde{\pi}^i)$$

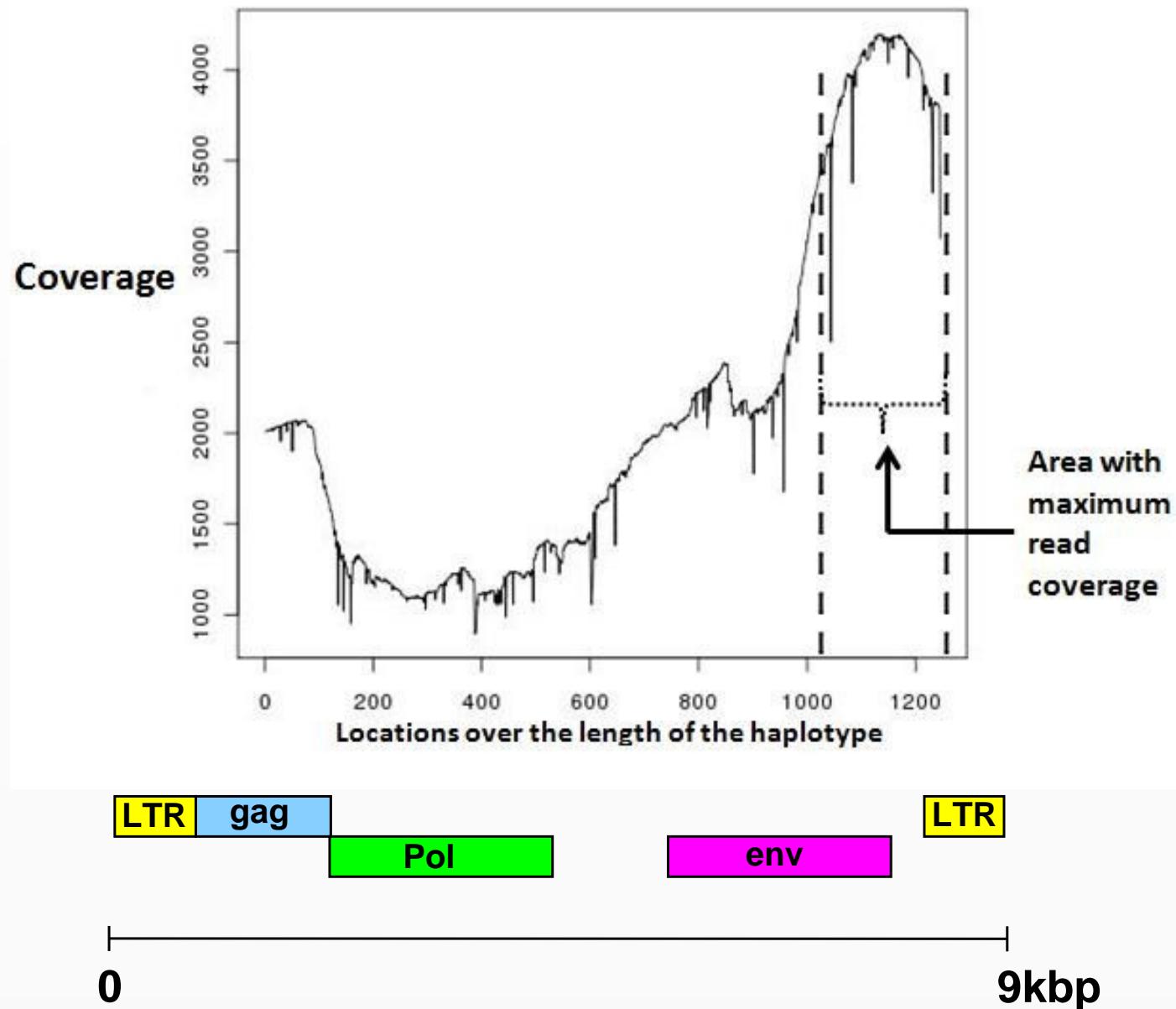
where  $\tilde{\pi}^i$  are the **constraint-adjusted class probabilities** for the  $i$ -th read.



# Constrained model: summary

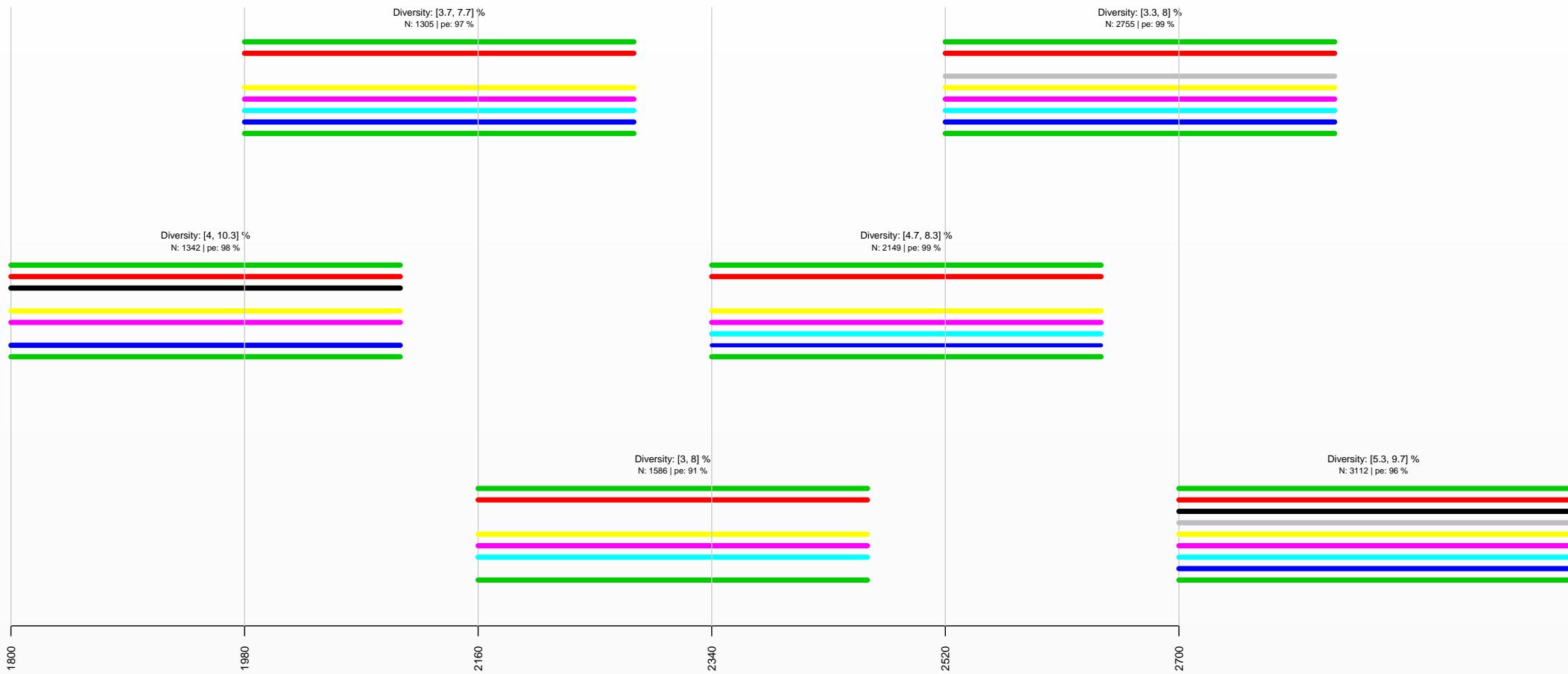


# Experiments on 454/Roche data for pol gene

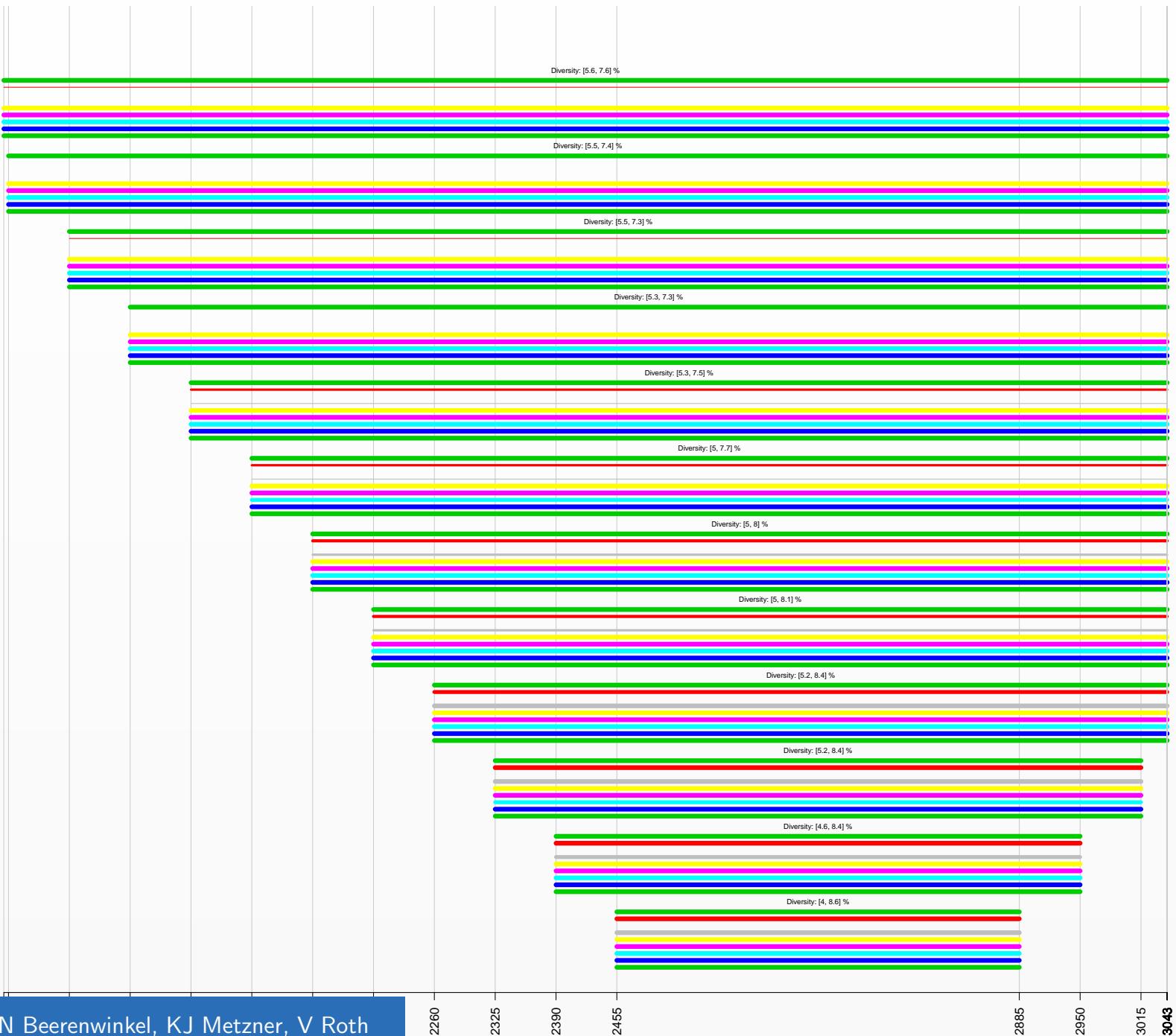


# Pol gene, Local Reconstructions

POL\_local



### POL\_global\_2520



# Pol gene, Results

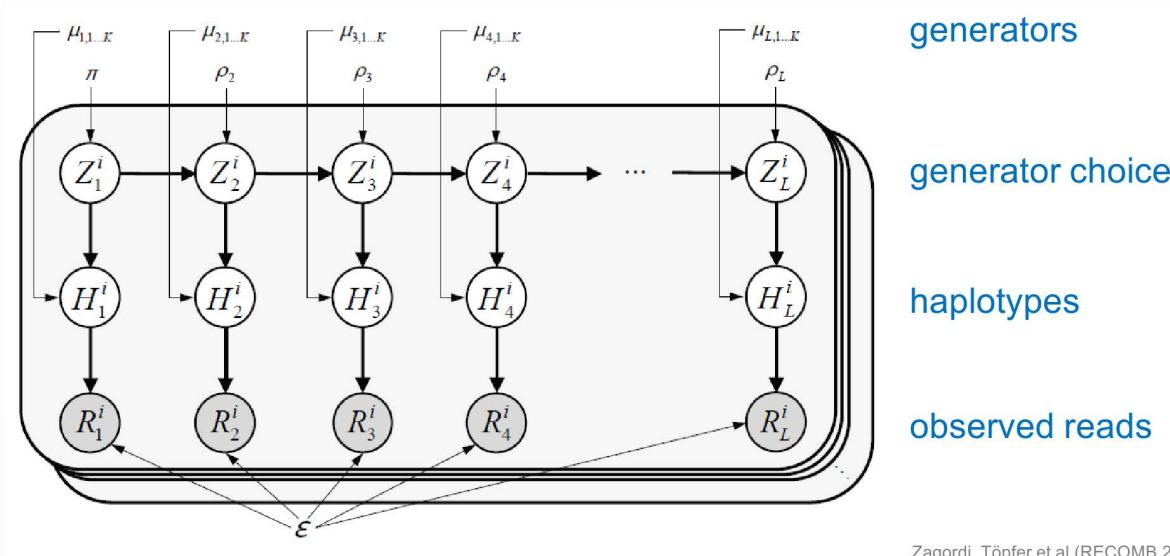
Actual and Reconstructed haplotypes for HIV POL and simulated experiments on **454/Roche reads**.

All values are in %. X denotes 'undetected haplotype'.

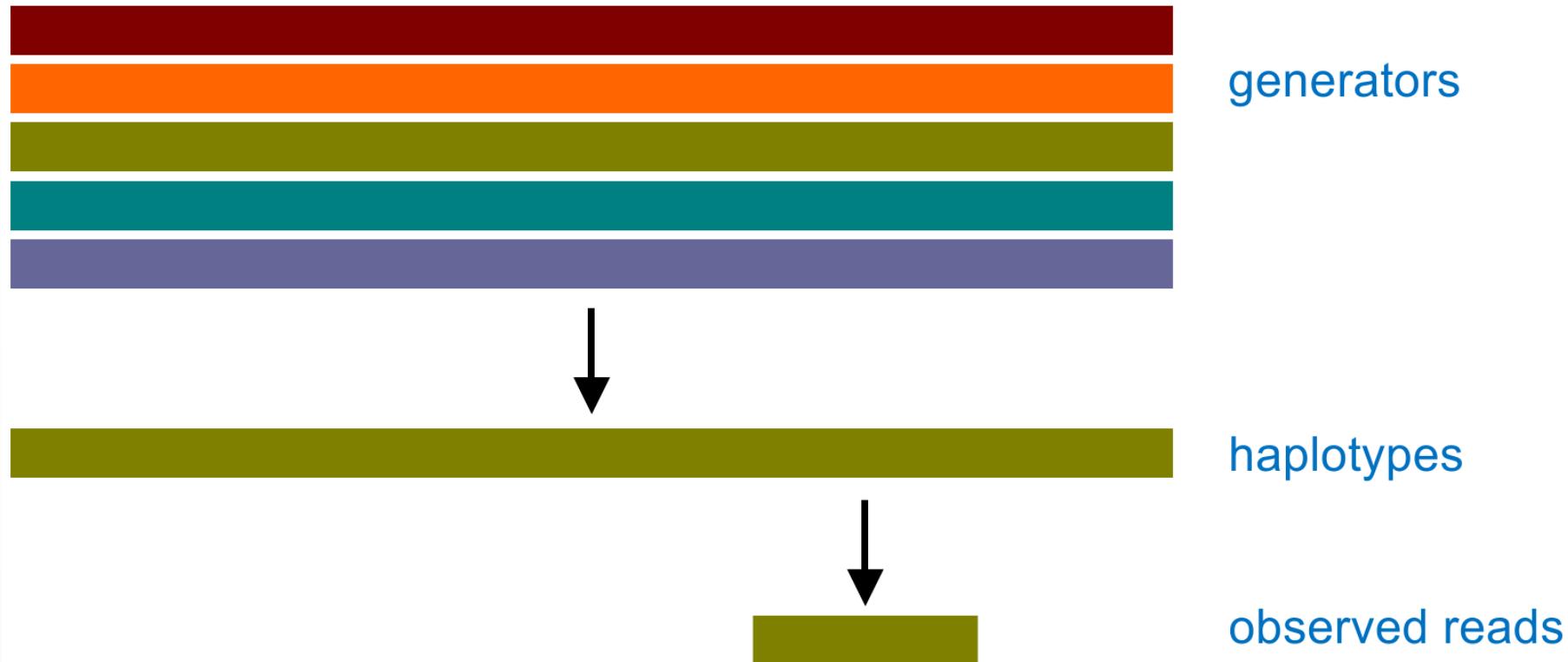
HIV POL	Actual	38.3	35.4	10.1	9.5	5.6	0.46	0.32	0.08	0.06	0.02
	Reconstructed	36.7	32.9	10.6	9.0	5.2	0.6	X	X	X	X
Simulation	Actual	50.8	24.3	12.6	6.3	3.0	1.6	0.8	0.4	0.25	0.06
	Reconstructed	50.4	24.3	12.5	6.3	3.0	2.0	0.8	X	X	X

- Can detect haplotypes **down to 0.5% frequency**.
- **Simulations agree perfectly** with real data!

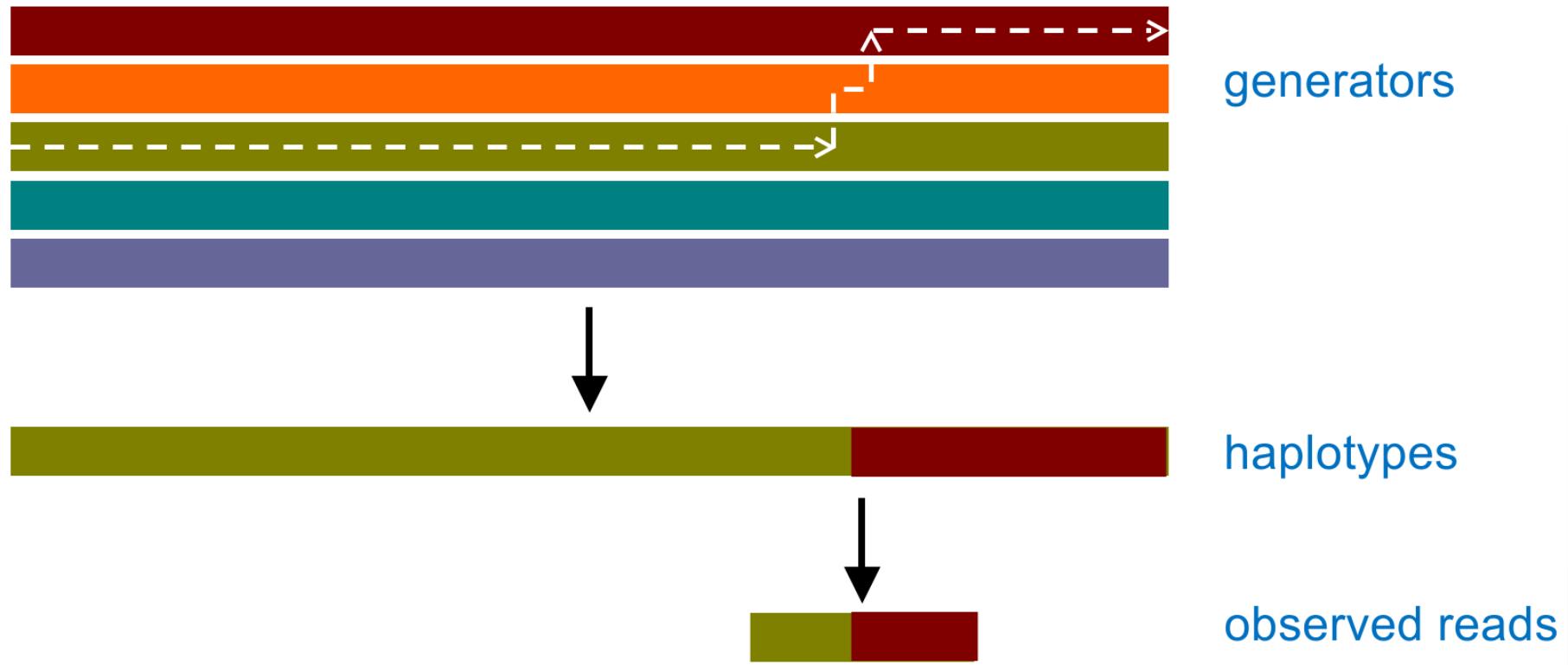
# Probabilistic Assembly: Hidden Markov model



# Hidden Markov model

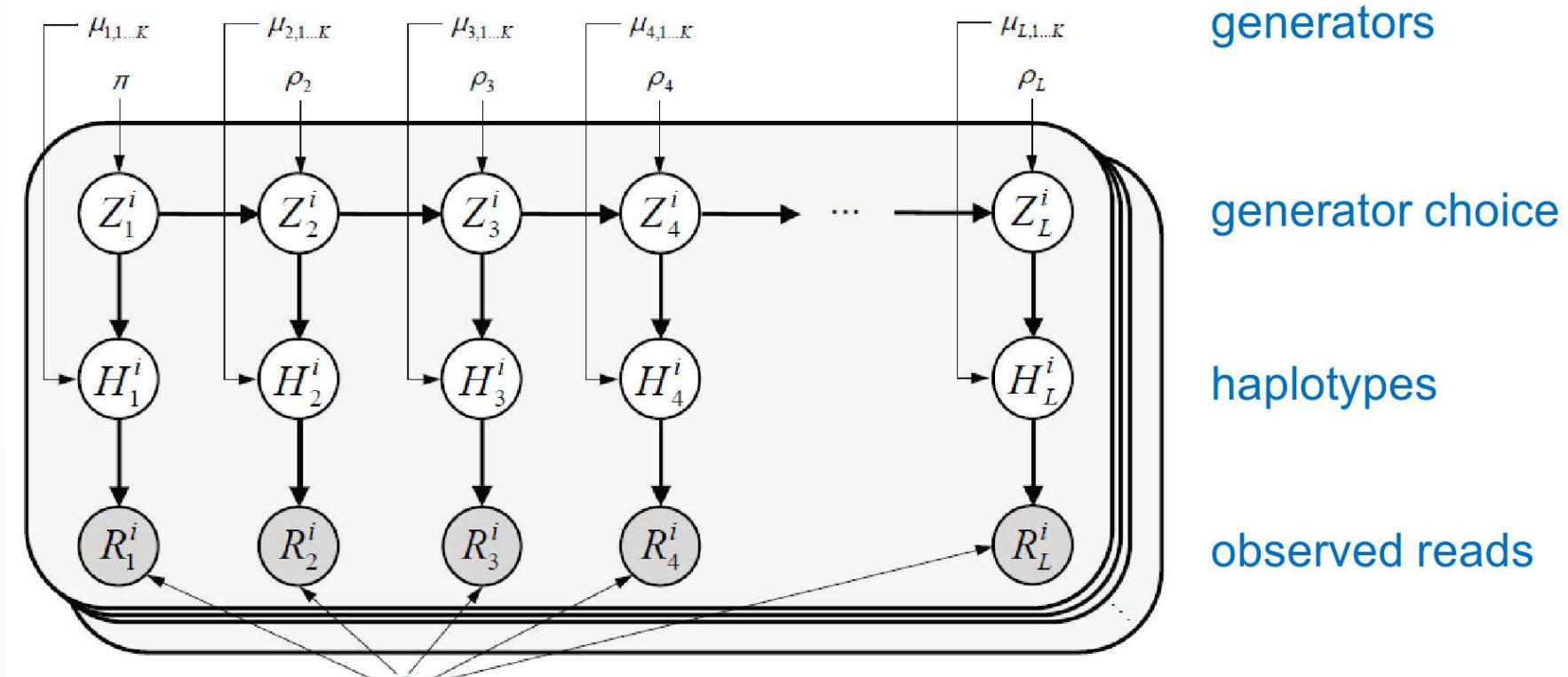


# Recombination



# Jumping hidden Markov model

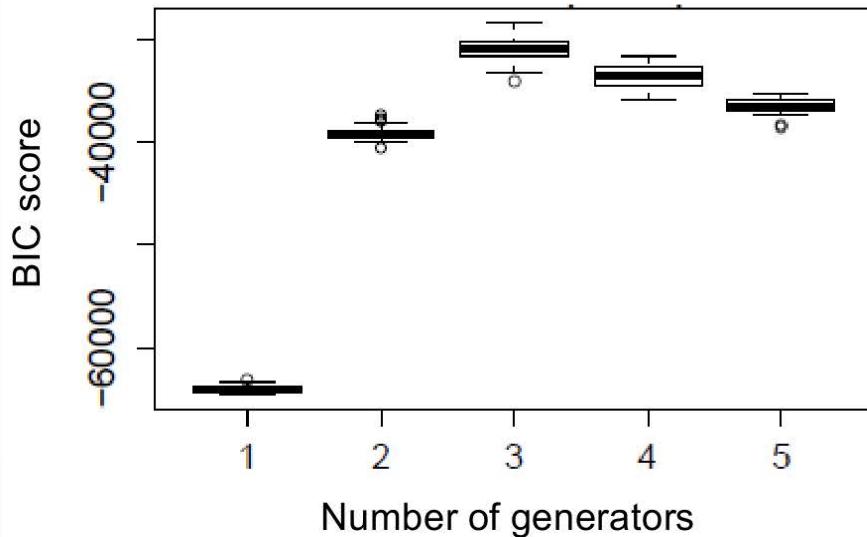
Many parameters  $\leadsto$  requires strong regularization



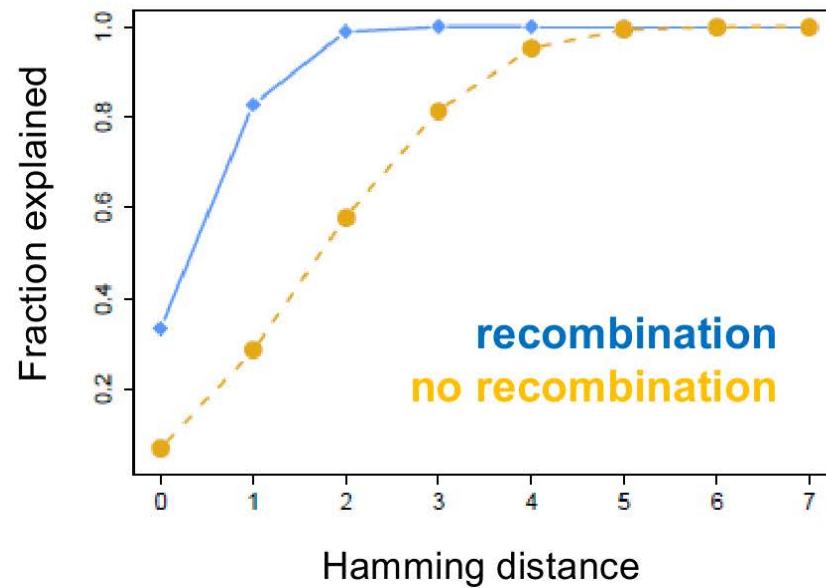
Zagordi, Töpfer et al (RECOMB 2012)

# Example: 3 generators + 24 recombinants

Model selection



Performance



# Global Haplotype Assembly: Summary

Two main classes:

## Combinatorial assembly

~ *read graph, network flow,  
path cover, graph coloring* etc.

- ☺ well-studied **graph-theoretic background.**
- ☹ requires **error correction** prior to assembly.

## Probabilistic assembly

~ *(infinite) mixture models,  
hidden Markov models* etc.

- ☺ “**integrated**”, no separate “hard” error correction.
- ☺ **flexible**: easy to include **constraints, recombinations...**
- ☹ **computational problems**, approximations needed.

General: **Reads must be long** enough to bridge conserved regions.  
Missing length **cannot be compensated by higher coverage.**

# Global Haplotype Assembly: Summary (2)

Software packages:

Program	Method	URL
QuRe	read graph	<a href="https://sourceforge.net/projects/qure/">https://sourceforge.net/projects/qure/</a>
ShoRAH	read graph	<a href="http://www.cbg.ethz.ch/software/shorah">http://www.cbg.ethz.ch/software/shorah</a>
ViSpA	read graph	<a href="http://alla.cs.gsu.edu/~software/VISPA/vispa.html">http://alla.cs.gsu.edu/~software/VISPA/vispa.html</a>
BIOA	read graph	<a href="https://bitbucket.org/nmancuso/bioa/">https://bitbucket.org/nmancuso/bioa/</a>
Hapler	read graph	<a href="http://nd.edu/~biocmp/hapler/">http://nd.edu/~biocmp/hapler/</a>
AmpliconNoise	probabilistic	<a href="http://code.google.com/p/ampliconnoise">http://code.google.com/p/ampliconnoise</a>
PredictHaplo	probabilistic	<a href="http://bmda.cs.unibas.ch/HivHaploTyper/">http://bmda.cs.unibas.ch/HivHaploTyper/</a>
QuasiRecomb	probabilistic	<a href="http://www.cbg.ethz.ch/software/quasirecomb">http://www.cbg.ethz.ch/software/quasirecomb</a>

ECCB'12 Tutorial 4

Inferring genetic diversity from next-generation sequencing data:

Computational methods and biomedical applications

# Comparative Assessment of Methods, Demonstration of Case Studies

**Niko Beerenwinkel**

**Volker Roth**

**Karin Metzner**

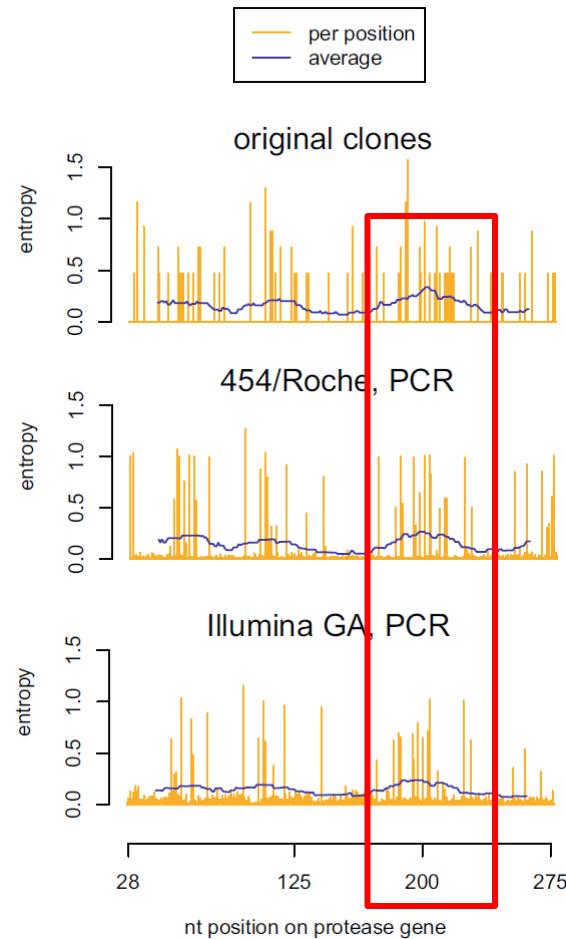
# Read length versus depth of coverage

- Compare 10-clones mix between
  - 454/Roche (long reads, low coverage)
  - Illumina GA (short reads, high coverage)

Platform	PCR amplification	Total reads	Reads mapped to protease (10-93)	Mapped read length (mean $\pm$ sd)	Reads included in the analysis	Error rate [%] (mean $\pm$ sd)
454/Roche	No	16,540	668	232 $\pm$ 18	668	0.59 $\pm$ 0.02
454/Roche	Yes	45,973	4,331	236 $\pm$ 18	4,331	1.09 $\pm$ 0.01
Illumina GA	No	12,559,696	1,505,619	36	11,835	0.17 $\pm$ 0.01
Illumina GA	Yes	12,242,508	1,346,481	36	8,904	0.38 $\pm$ 0.01

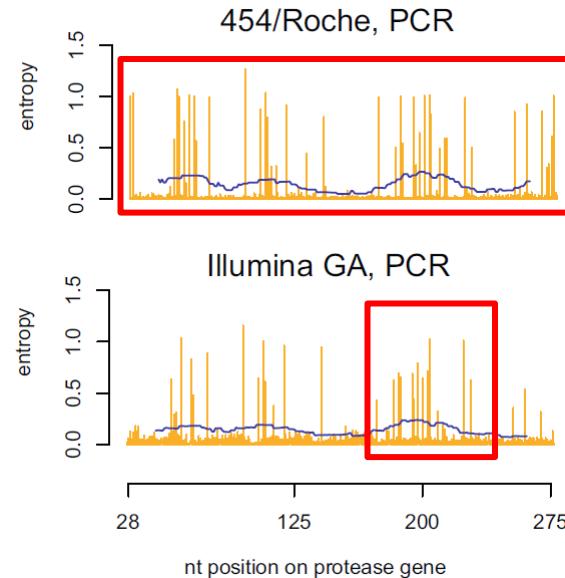
# Local comparison

- Idea: Use region of highest diversity for Illumina-based local analysis.



# Local comparison

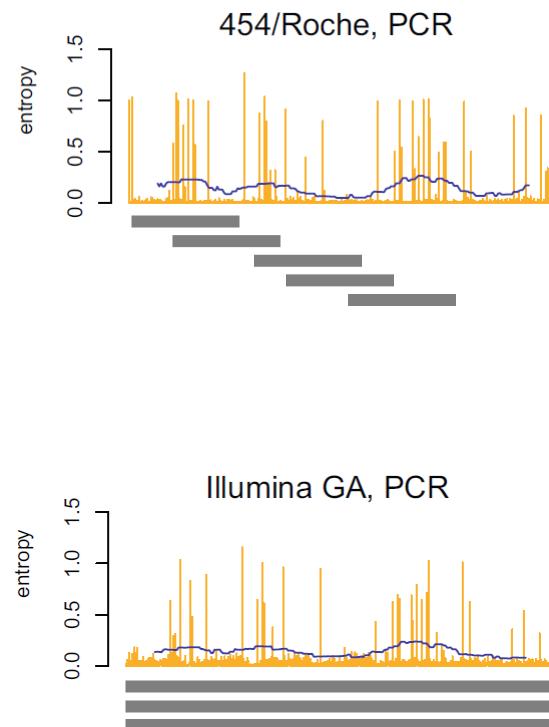
- Higher sensitivity and specificity with Illumina in detecting 10 clones.
- Low-frequency haplotypes go undetected with low coverage.



Platform	PCR amplification	Method	07-5 6681	07-548 25	07-569 51	08-597 12	08-041 34	08-013 15	08-026 59	08-578 81	08-045 12	Total
454/Roche	No	ShoRAH	10.6	14.1	14.1	13.9	4.9	—	—	—	—	57.6
454/Roche	No	Direct mapping	27.3	21.2	30.0	11.0	7.1	2.1	0.3	0.3	0.1	99.4
454/Roche	Yes	ShoRAH	3.6	15.7	22.0	11.4	7.0	0.3	—	—	—	60.0
454/Roche	Yes	Direct mapping	6.0	34.3	37.2	9.6	11.7	0.4	0.4	0.1	0.2	99.9
Illumina GA	No	ShoRAH	53.1	19.5	15.1	7.2	2.7	1.6	0.2	0.2	0.2	99.8
Illumina GA	No	Direct mapping	41.7	15.4	24.8	10.3	4.5	1.5	0.3	0.3	0.1	98.9
Illumina GA	Yes	ShoRAH	7.6	46.8	27.1	7.3	5.3	1.9	—	—	—	96.0
Illumina GA	Yes	Direct mapping	5.9	34.7	36.6	10.4	10.3	0.7	0.6	0.2	0.3	99.7

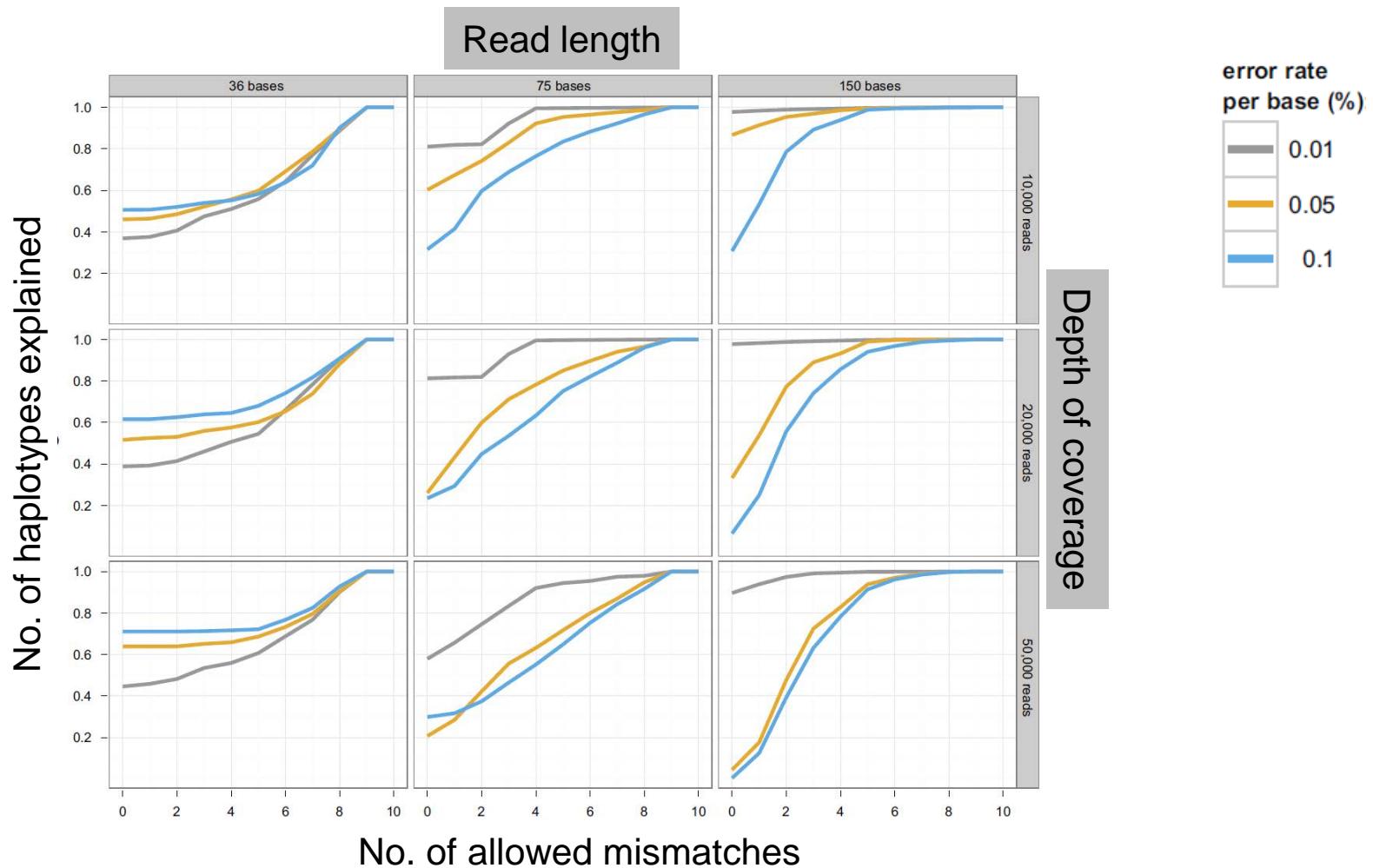
# Local versus global comparison

- Assemble short Illumina reads
- Locally reconstruct long 454 reads



Zagordi et al (PLOS ONE, in revision)

# Simulation study



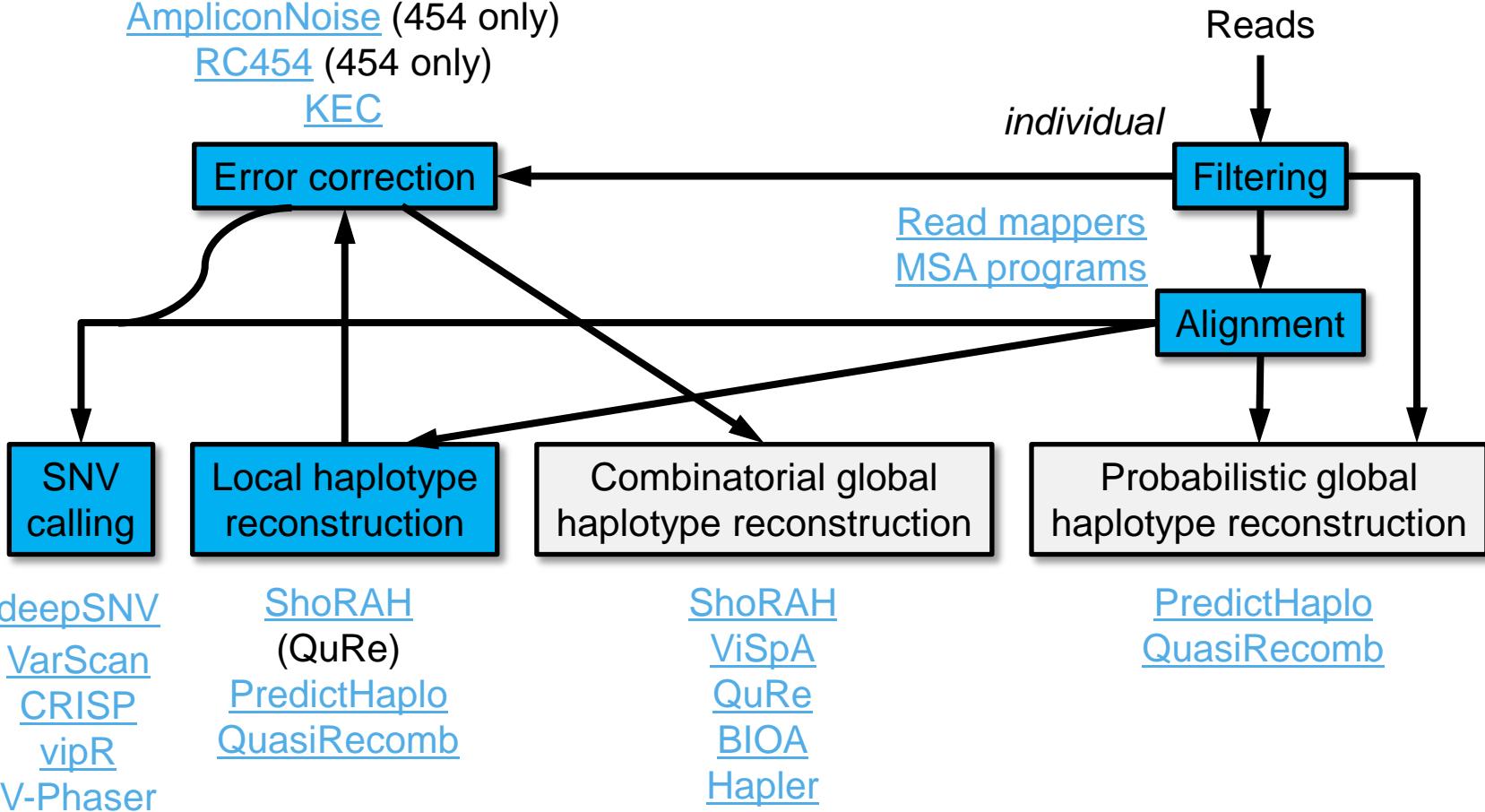
Zagordi et al (PLOS ONE, in revision)

# Conclusions

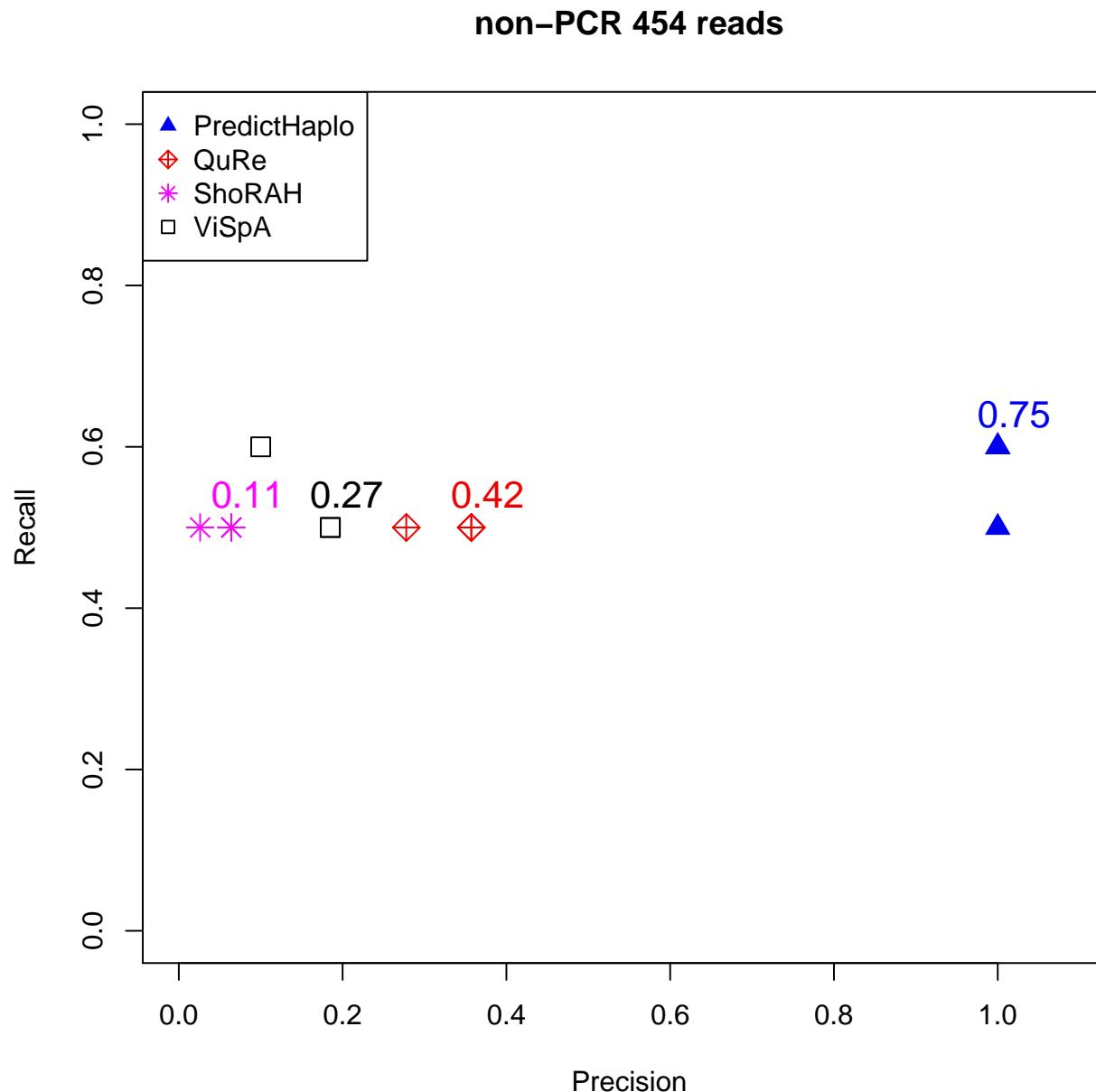
- Local reconstruction in high-diversity regions can provide most information about the number and frequency of clones (but not their full-length sequences).
- For detecting local variation, most notably SNVs, coverage is more critical than read length.
- For detecting global variation, read length is most critical:
  - If reads are too short, nothing helps.
  - If reads are long and errors are frequent, combinatorial reconstruction will generate too many false positives.
  - If reads are long and errors are rare, global reconstruction works.

# Software

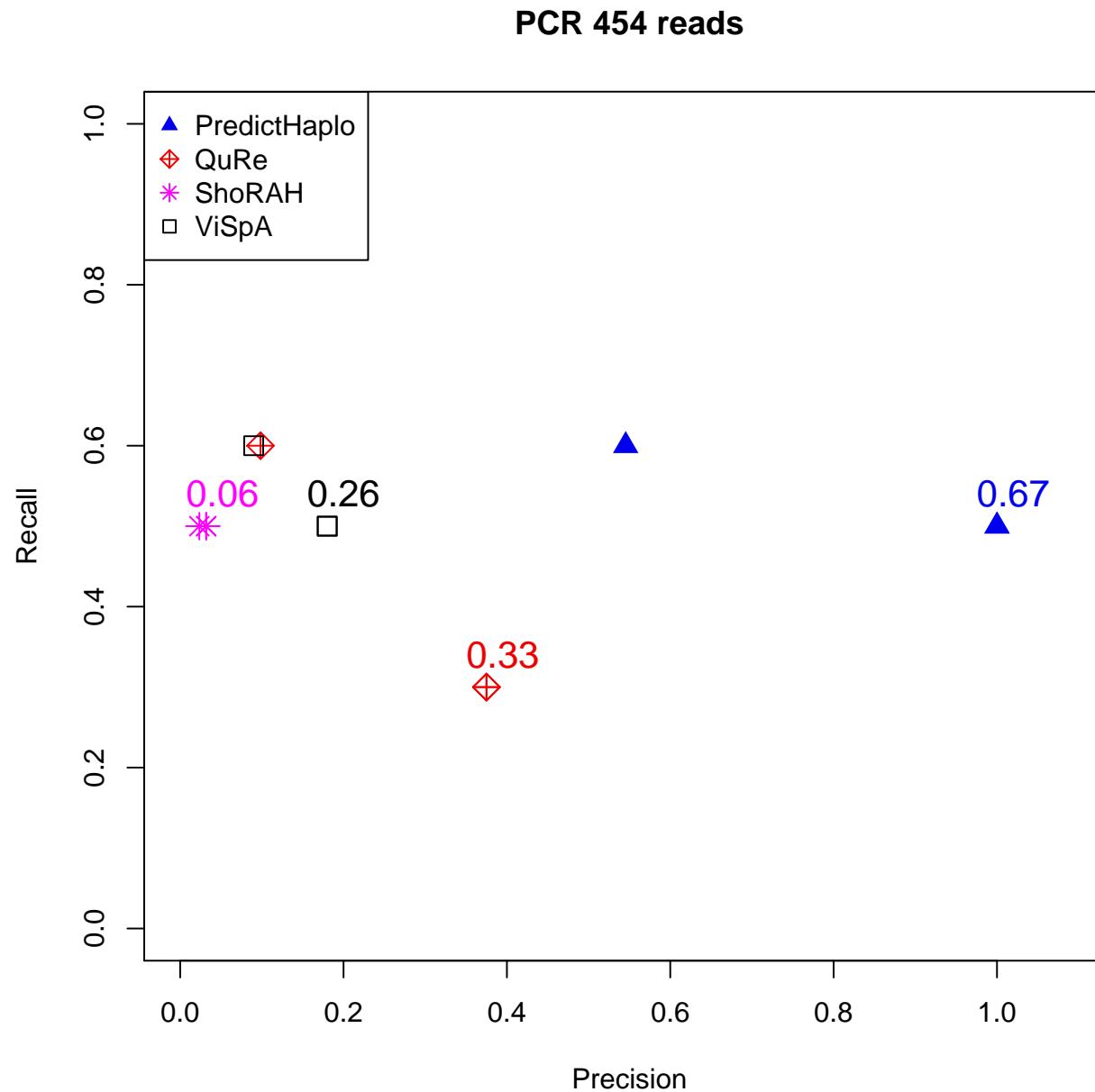
[AmpliconNoise](#) (454 only)  
[RC454](#) (454 only)  
[KEC](#)



# Comparing QuRe, ViSpA, ShoRAH, PredictHaplo



# Comparing QuRe, ViSpA, ShoRAH, PredictHaplo



# Comparing QuRe, ViSpA, ShoRAH, PredictHaplo

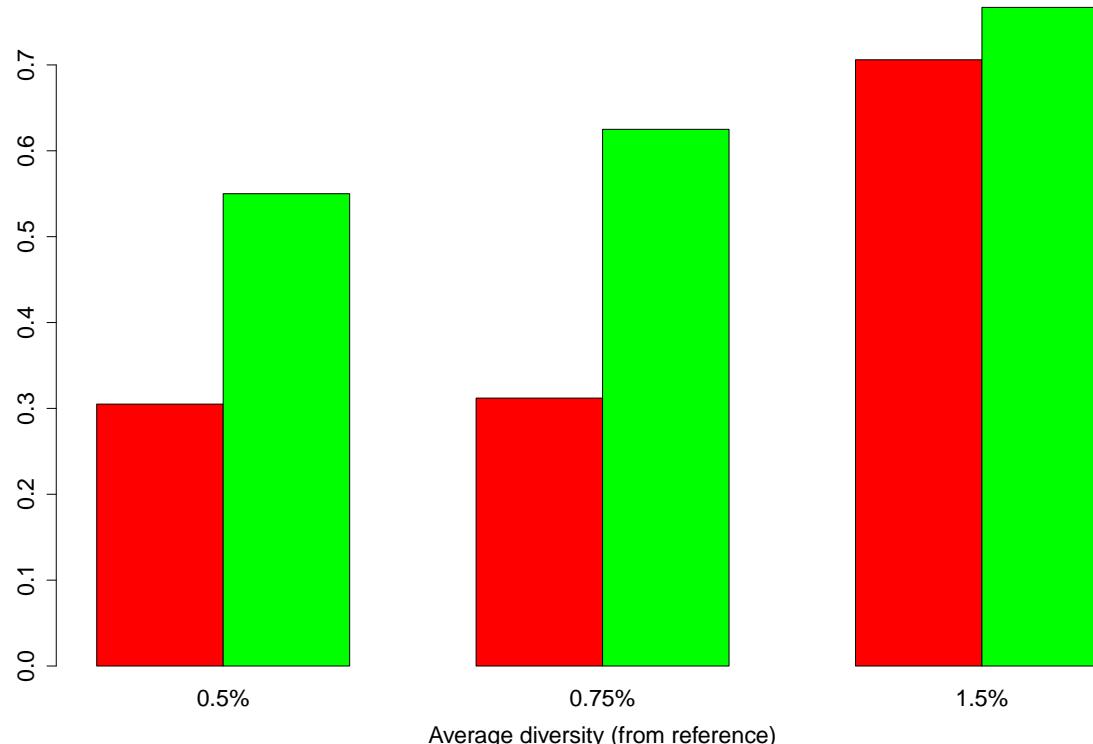
- Main performance difference between methods: **false positives**
- “Fuzziness” helps:
  - **Random path sampling** (QuRe) seems to be better than strict path cover or network flow
  - **Fully probabilistic approach** seems to work best.
- ...you might forget about all the numbers presented and **run your own experiments**: all methods and the dataset can be freely downloaded from the web.

# Evolution of the 454 Platform: Simulated Reads

Reads simulated with **MetaSim** at different read lengths and diversities.  
Ten clone mix, frequencies decrease by factor of two from clone to clone.  
Same number of reads in each experiments (200,000).

**Red:** 2008, avg read length  $\approx 340$

**Green:** 2012, avg read length  $\approx 700$ .



## Some Further Comments

- Length of reads is **the important quantity**.
- Preference for platforms producing long reads, like 454.
- Coverage is **important for detecting low-abundance haplotypes**.
- If read length is **too short to cover conserved regions**, increasing the coverage **will not help**.
- Next version of Solexa with  $2 \times 250$  paired reads might become highly interesting, as well as alternative platforms like Pacific Biosciences etc.

# ECCB 2012 Tutorial 4

## Summary and clinical applications

Karin J. Metzner

Division of Infectious Diseases and Hospital Epidemiology



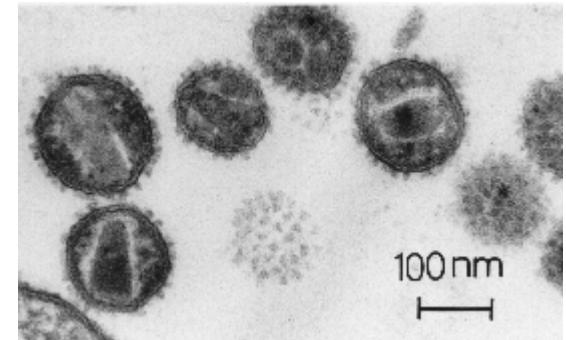
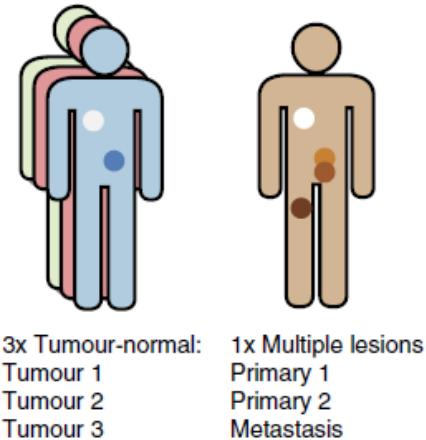
University of  
Zurich<sup>UZH</sup>



University Hospital  
Zurich

# Case studies/examples

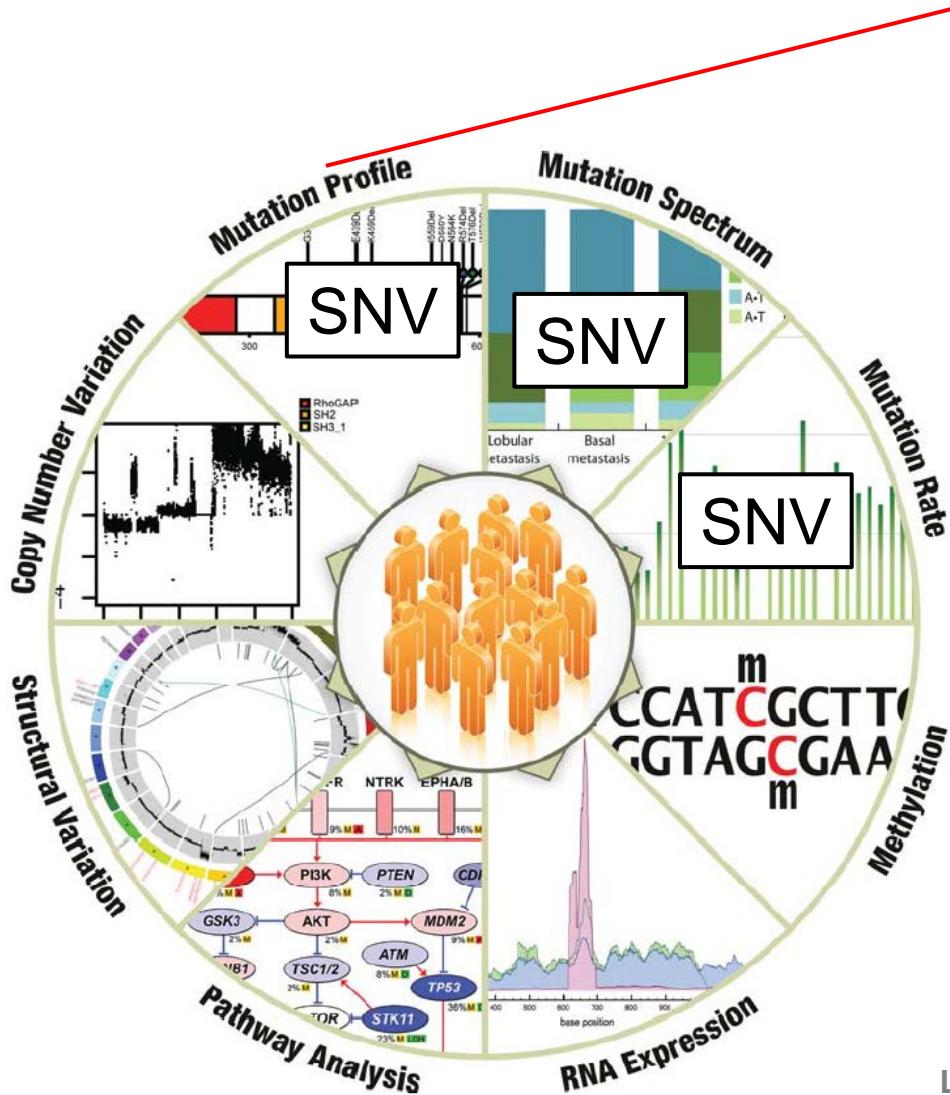
- Genetic diversity in tumors:  
Detecting low-frequency single-nucleotide variants (SNVs)
- Genetic diversity in virus populations:  
Local and global haplotype reconstruction



HR Gelderblom *et al.*, Virology 1987

M Gerstung *et al.*, Nat Comm 2012

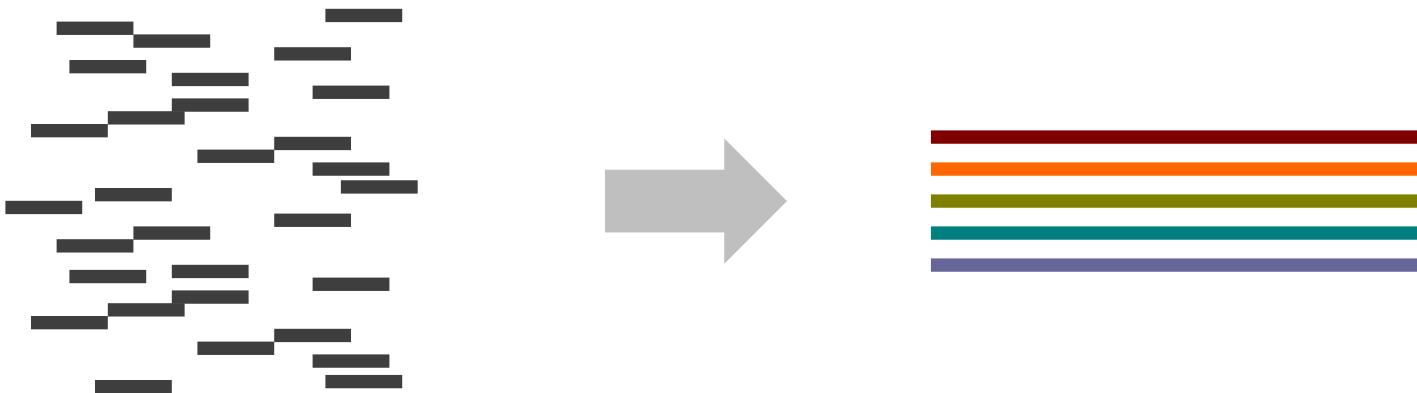
# Landscape of genomics analyses



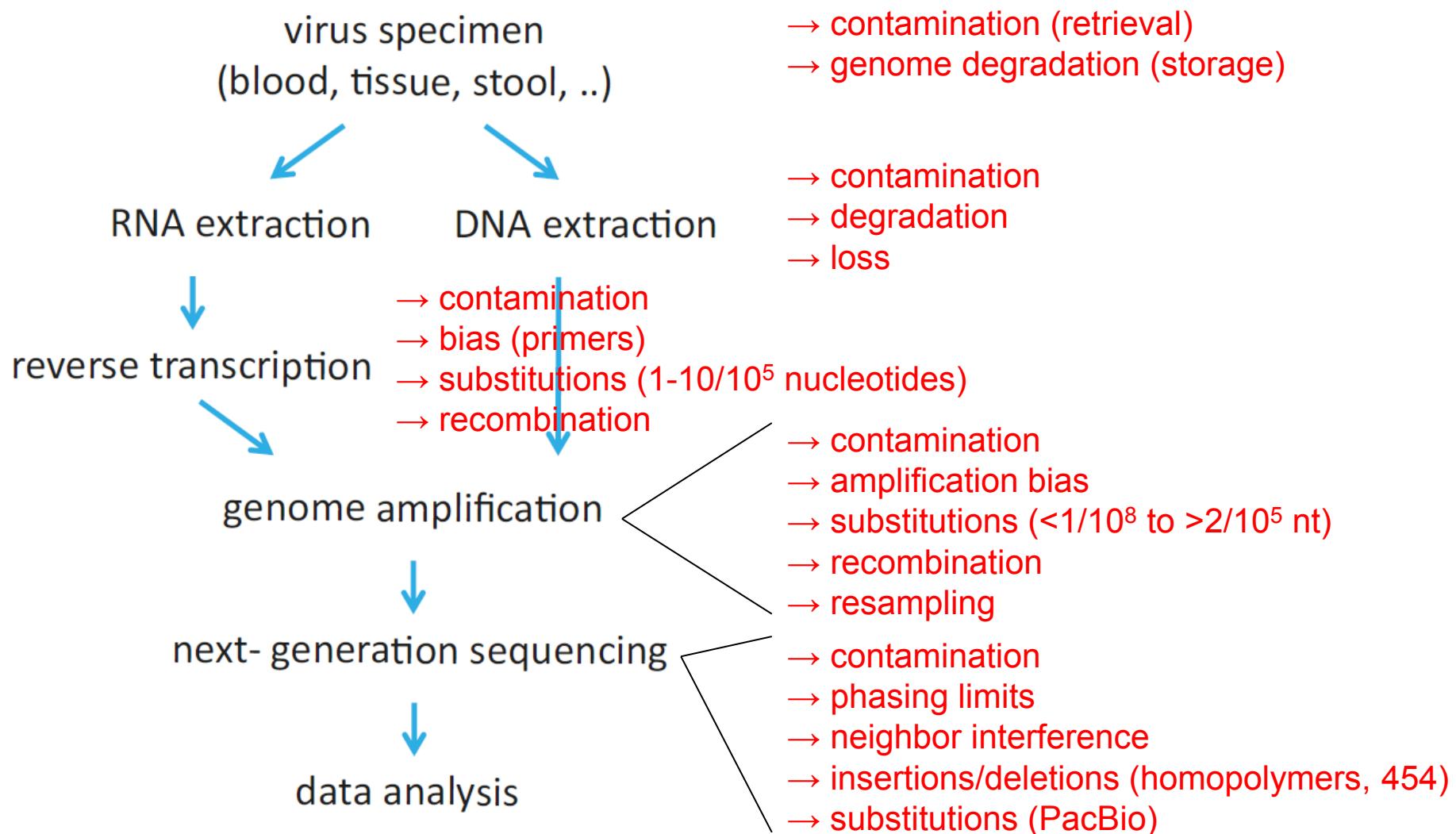
L Ding et al., Hum Mol Genetics 2010

# NGS-based diversity estimation: Main challenges

- Alignment (mapping) uncertainty
- Confounding sources of variation (errors) of multiple types
- Short read length relative to genomic region of interest



# Error sources in next-generation sequencing



# Comparison of next-generation sequencing platforms



454/Roche GS-FLX:  
up to 1'000'000 sequences/run  
length: 400-700 bp/read



Illumina HiSeq 2000:  
up to 1'500'000'000 seq./run  
length: 2x100 bp/read



ABI 5500 SOLiD :  
up to 900'000'000 seq./run  
length: 50-75 bp/read



Pacific Biosciences RS:  
up to 800'000 seq./run  
length: ~1'500 bp/read

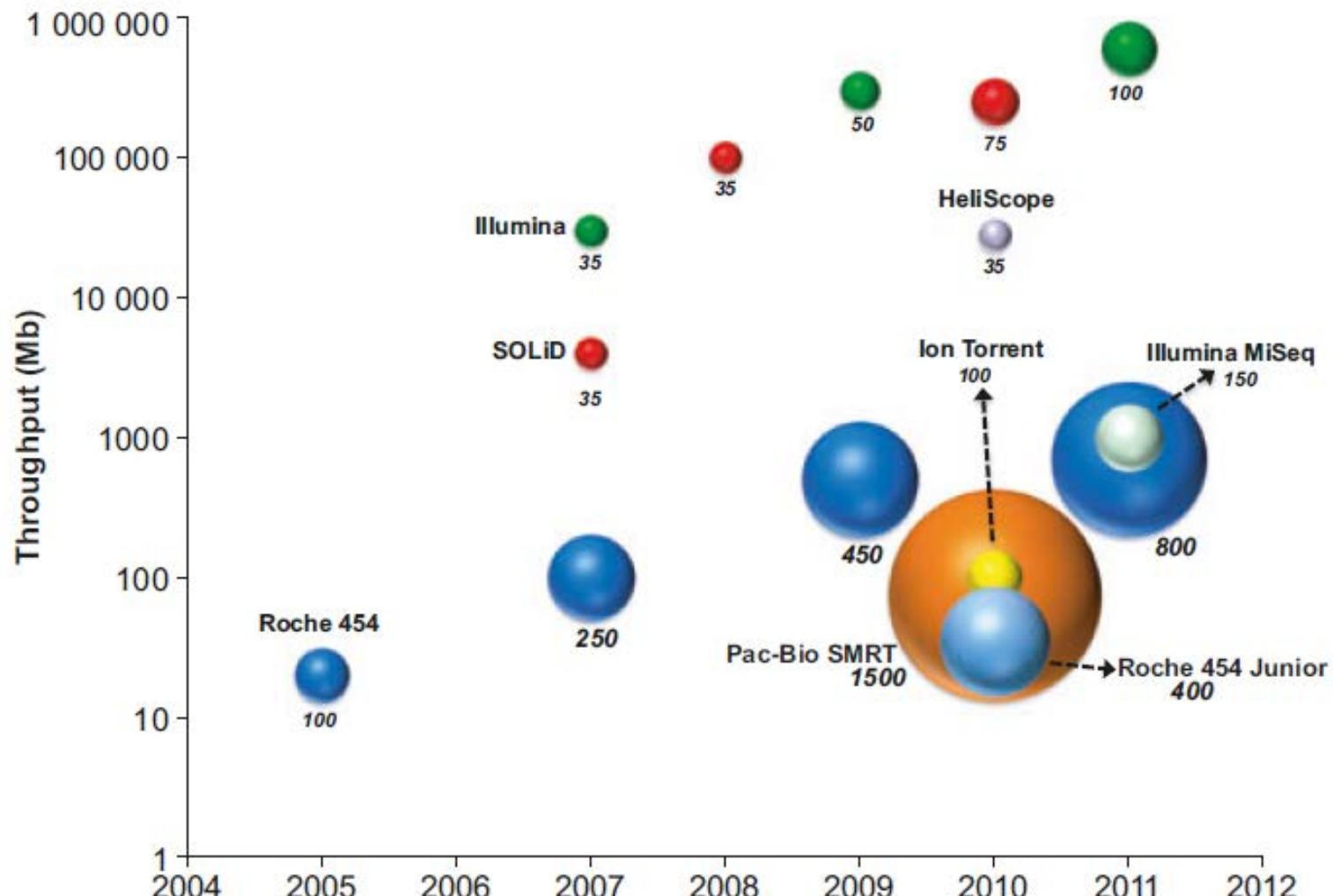


Ion Torrent PGM:  
up to 5'000'000 seq./run  
length: 35-200 bp/read



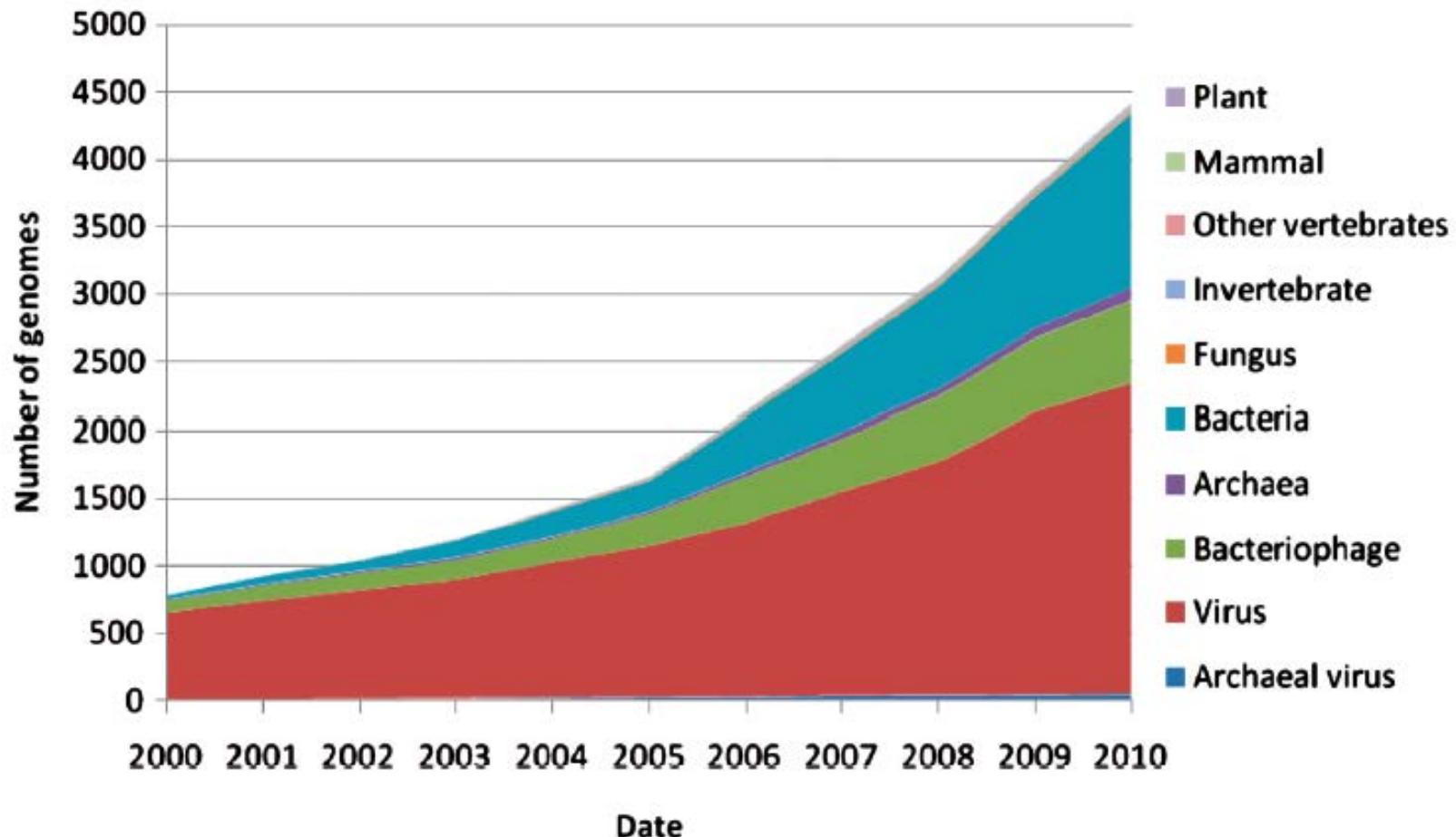
Helicos HeliScope:  
up to 800'000'000 seq./run  
length: 25-55 bp/read

# Historical development of next-generation sequencing technologies



S Shokralla et al., Mol Ecol 2012

# Growth in complete genomes



The International Nucleotide Sequence Database Collaboration, NAR 2010

# Faster turn-around and dropping costs

Table 5 Sequencing statistics of six individual human genomes

platform	individual	No. of reads (millions)	read length (bases)	read coverage	genome coverage (%)	SNPs (millions)	No. of runs	estimated cost (US\$)	references
Sanger	J. Craig Venter	31.9	800	7.5 ×	N/A	3.21	>340,000	70,000,000	Levy et al., 2007
Roche 454	James D. Watson	93.2	250	7.4 ×	95	3.32	234	1,000,000	Wheeler et al., 2008
SOLiD	James R. Lupski	238	35	29.6 ×	99.8	3.42	3	75,000	Lupski et al., 2010
Illumina Solexa	Yoruba male (NA18507)	3681	35	40.6 ×	99.9	4	40	250,000	Pushkarev 2009
	Han Chinese male (YH)	2950	35	36 ×	99.9	3.07	35	500,000	Wang et al., 2008
	Korean male (SJK)	1647	35, 74	29.0 ×	99.9	3.44	15	250,000	Ahn et al., 2009
	Korean male (AK1)	1910	36, 88, 106	27.8 ×	99.8	3.45	30	200,000	Kim et al., 2009
Helicos	Stephen R. Quake	2725	32	28 ×	90	2.81	4	48,000	Pushkarev et al., 2009

# The future of next-generation sequencing: Data analysis



EasyGenomics Beta

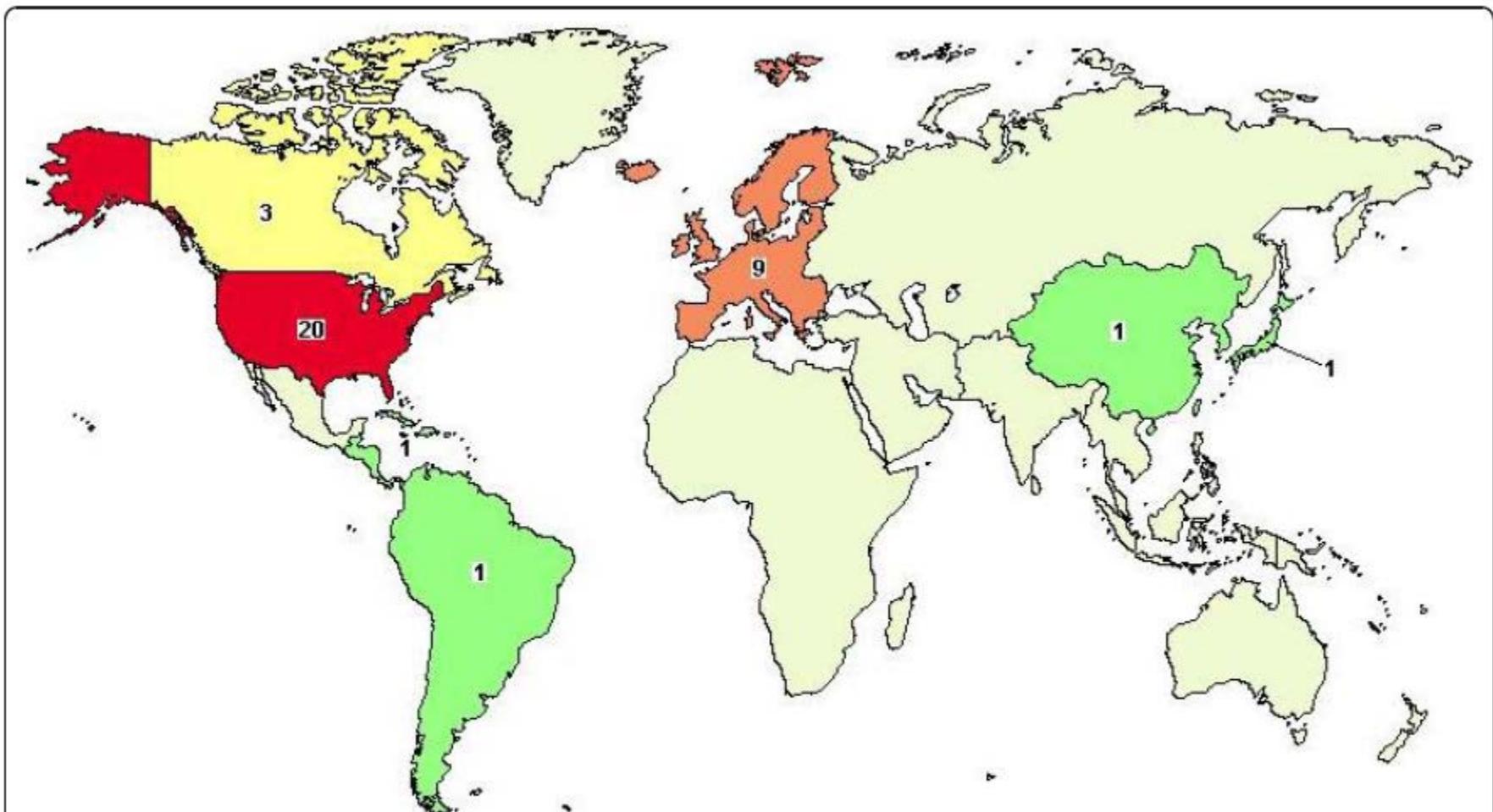
GenomeQuest

This block contains the EasyGenomics Beta logo at the top left, followed by a large graphic of a globe with a server tower inside it, wrapped in blue and purple ribbons. Below this is the GenomeQuest logo.



and more .. to come ...

# NGS and clinical trials



**Figure 1 Worldwide map of clinical trials registered in ClinicalTrials.gov.** Thirty-five studies were found by query of: *genomic sequencing*, based upon a recent search in [http://www.clinicaltrials.gov\[37\]](http://www.clinicaltrials.gov[37]) (July, 2011).

# Whole-genome sequencing studies in cancer

Study	Method	Cancer type	Number of samples sequenced	Aberration type
Ley <i>et al.</i> , 2008	Deep single-end whole-genome sequencing	AML	1	Point mutations, insertions, deletions
Campbell <i>et al.</i> , 2008	Shallow paired-end whole-genome sequencing	Lung	2	Deletions, amplifications, tandem duplications, interchromosomal rearrangements
Stephens <i>et al.</i> , 2009	Shallow paired-end whole-genome sequencing	Breast	24	Deletions, amplifications, tandem duplications, interchromosomal rearrangements, inversions
Pleasance <i>et al.</i> , 2010	Deep paired-end whole-genome sequencing	Melanoma	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements
Pleasance <i>et al.</i> , 2010	Deep paired-end whole-genome sequencing	Small-cell lung	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements
Mardis <i>et al.</i> , 2009	Deep paired-end whole-genome sequencing	AML	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements
Shah <i>et al.</i> , 2009	Deep paired-end whole-genome sequencing	Breast	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements
Ding <i>et al.</i> , 2010	Deep paired-end whole-genome sequencing	Breast	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements, inversions
Lee <i>et al.</i> , 2010	Deep paired-end whole-genome sequencing	Lung	1	Point mutations, insertions, deletions, amplifications, interchromosomal rearrangements, inversions

AML, acute myelogenous leukaemia.

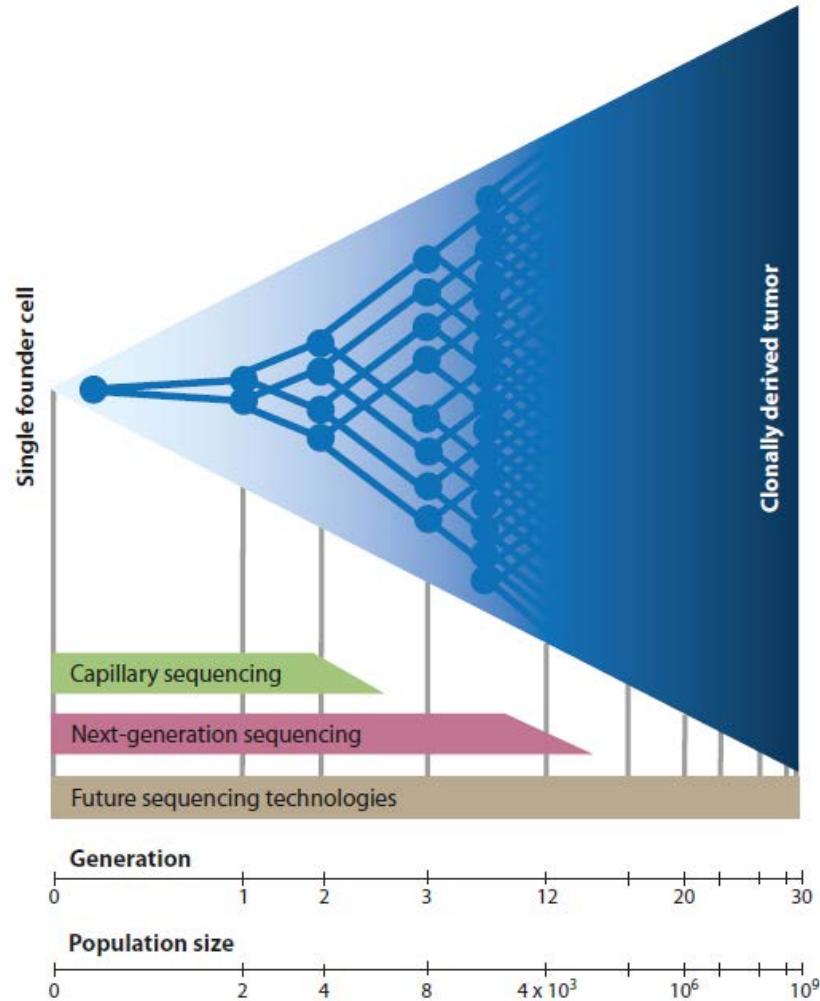
M Meyerson *et al.*, Nat Rev Genetics 2010

# Cancer genome sequencing studies

Study type	Number of genes screened	Total number of mutations	Number of genes mutated	Average number of mutations per tumor	Estimated number of driver mutations	Reference(s)
<b>Exomic</b>						
Breast ( <i>n</i> = 11)	18,191	1243	1137	84	140	87, 88
Colorectal ( <i>n</i> = 11)	18,191	942	848	76	140	87, 88
Diverse ( <i>n</i> = 210)	518	798	581	–	119	94
Pancreatic ( <i>n</i> = 24)	20,661	1163	1007	48	160	98
Glioblastoma ( <i>n</i> = 21)	20,661	748	685	47	155	102
Glioblastoma ( <i>n</i> = 91)	601	453	223	–	8	103
Lung ( <i>n</i> = 188)	623	1013	348	–	26	108
<b>Genomic</b>						
Acute myeloid leukemia ( <i>n</i> = 1)	–	500–1000	10	Not applicable	10	82

# Limits of subclonal detection

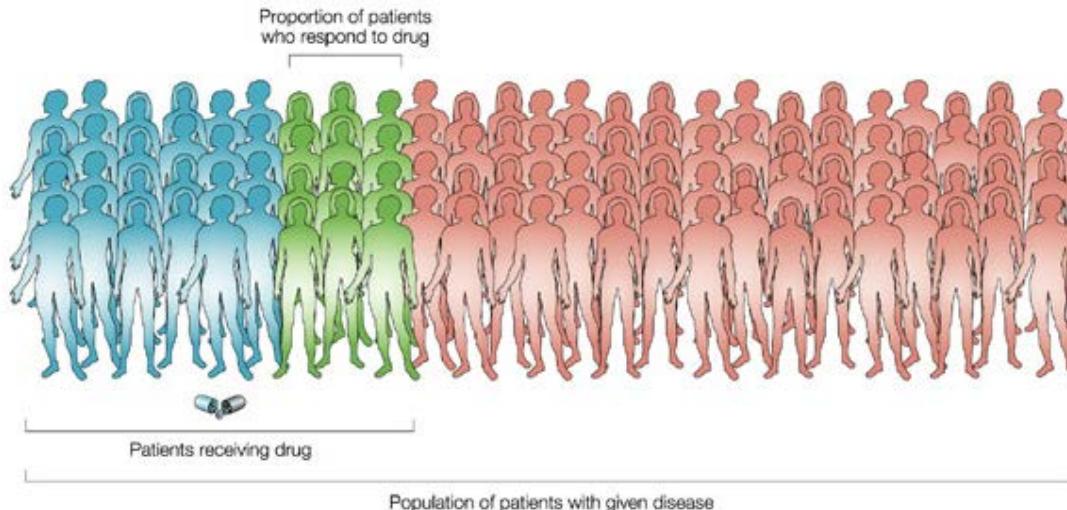
- capillary sequencing
  - 25%
- NGS
  - 0.0002%
- future technologies
  - 0.?????x%



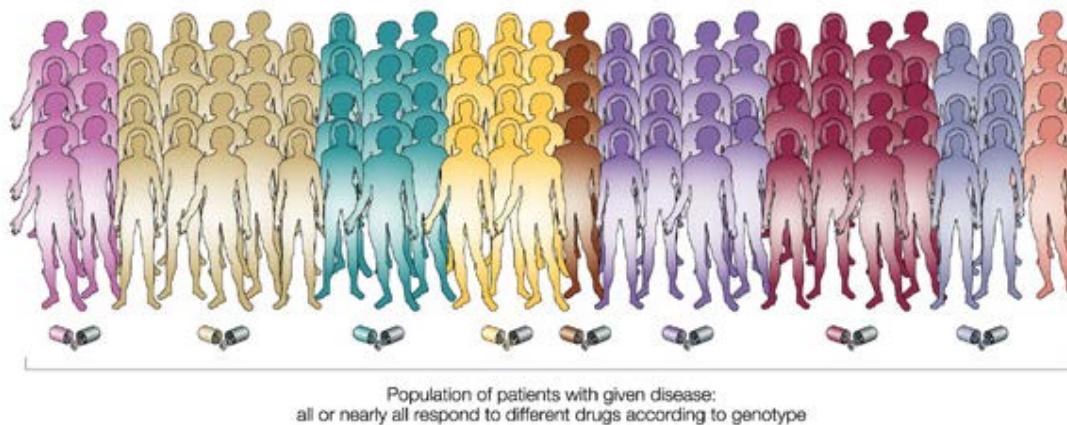
JJ Salk et al., Ann Rev Pathol Mech Dis 2010

# The future is personalized medicine

a Current state of drug development research

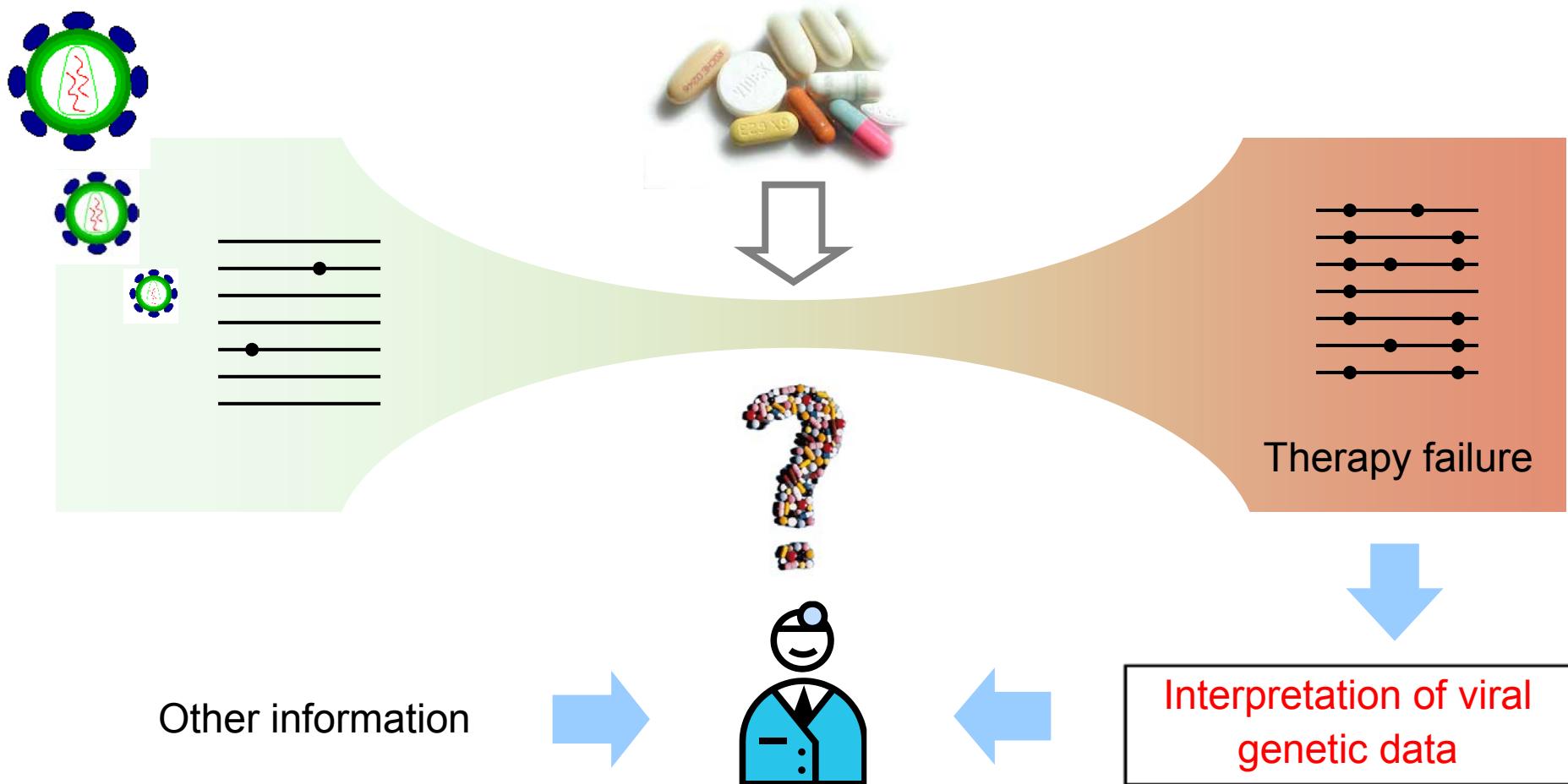


b Ideal future objective of drug development research



AM Issa, Nat Rev Drug Dis 2002

# HIV drug resistance, individualized treatment



# Some of numerous open questions in basic research

- Origin of viruses
- Are virus populations changing within the next decades?
  - Can we predict virus evolution?
- Do mutation rates vary within and between infected individuals?
  - Do host factors influence virus evolution?
- ....

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